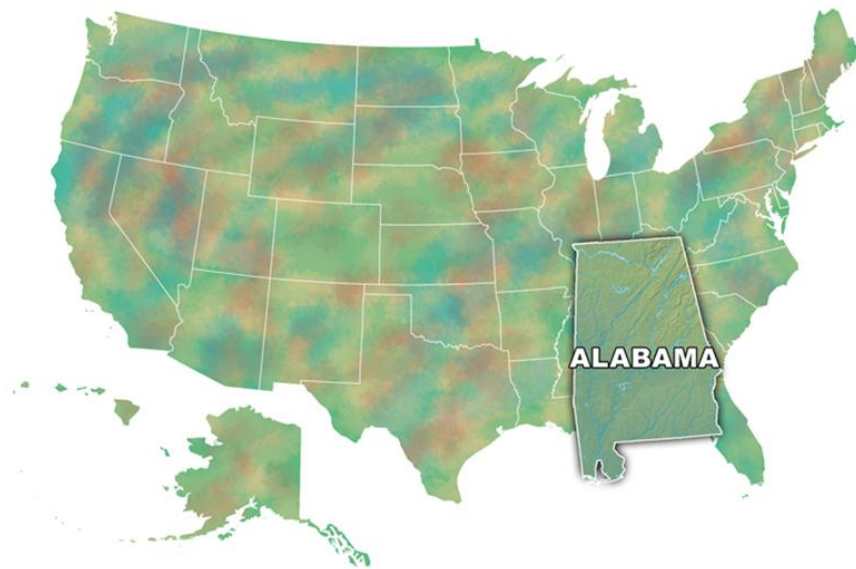


State Reports (Alabama-Montana)



Alabama

State Profile and Underage Drinking Facts

State Population: 4,779,736
Population Ages 12–20: 590,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	21.6	128,000
Past-Month Binge Alcohol Use	13.3	78,000
Ages 12–14		
Past-Month Alcohol Use	5.8	10,000
Past-Month Binge Alcohol Use	2.8	5,000
Ages 15–17		
Past-Month Alcohol Use	18.2	36,000
Past-Month Binge Alcohol Use	11.8	24,000
Ages 18–20		
Past-Month Alcohol Use	38.4	81,000
Past-Month Binge Alcohol Use	23.7	50,000
		Number
Alcohol-Attributable Deaths (under 21)		99
Years of Potential Life Lost (under 21)		5,930

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	18.0	26

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense
- Penalty may include driver's license suspension through a judicial procedure.

Provisions Targeting Retailers

- No statutory affirmative defense—statutes do not provide an affirmative defense related to retailer's belief that the minor was 21 years of age or older..

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver's License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver's License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: 90 days
- Maximum: 180 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 6 months
- No minimum supervised driving requirement with driver education; 30 hours without

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - No primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger, excluding parent or guardian
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols

Age of Decoy

- Minimum: 16
- Maximum: 19

Appearance Requirements

- Males: No beard
- Females: No heavy makeup

ID Possession

- Required

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Mandated

Penalty Guidelines for Sales to Minors

- Time period/conditions: 4 years
- First offense: \$750 fine and no hearing
- Second offense: \$1,000 fine and no hearing
- Third offense: Hearing required

Note: Board has the authority to impose fines up to \$1,000 or invoke a suspension/revocation of up to 1 year.

Responsible Beverage Service

Voluntary Beverage Service Training

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Incentives for Training

- Mitigation of fines or other administrative penalties for sales to minors
- Protection against license revocation for sales to minors

Minimum Ages for Off-Premises Sellers

- Beer: Not specified
- Wine: Not specified
- Spirits: 21

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Note: A minor employee of an off-premises retail licensee may handle, transport, or sell beer or table wine, provided there is an adult employee in attendance at all times.

Minimum Ages for On-Premises Sellers

- Beer: 19 for servers and 21 for bartenders
- Wine: 19 for servers and 21 for bartenders
- Spirits: 19 for servers and 21 for bartenders

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Dram Shop Liability

Statutory liability exists.

Social Host Liability Laws

Statutory liability exists.

Host Party Laws

Social host law is specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Possession, consumption.
- Property type(s) covered by liability law: Residence, other.
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence.
- Preventive action by the host negates the violation.

Note: Alabama's provision requires that the adult social host be in attendance at the gathering or party in order for a violation to occur. The "preventive action" provision in Alabama requires the prosecution to prove that the host failed to take preventive action.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are not permitted.

Keg Registration

Registration not required

Alcohol Pricing Policies

Home Delivery

- Beer: Prohibited
- Wine: Prohibited
- Spirits: Prohibited

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$1.05 per gallon.
- Wine (12 percent alcohol): Control State
- Spirits (40 percent alcohol): Control State

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price at specified day or time: Not prohibited, but not permitted before 10 a.m. or after 9 p.m.
- Unlimited beverages: Not prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Retailer credit: Not permitted

Spirits (40 percent alcohol)

- Control State

Alabama State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Alabama Alcoholic Beverage Control (ABC) Board	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> ABC Enforcement Agents work with local officers during investigations of licensed establishments, complaints, special details, and checkpoints. Although this primarily occurs when there is a special detail planned or a problem in the community, ABC Enforcement Agents work in communities on a daily basis and have contact with local officers while completing the numerous regulatory and law enforcement responsibilities with which they are charged.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Alabama ABC Board
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	1,058
Number pertains to the 12 months ending	09/30/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	4,977
Number of licensees that failed State compliance checks	544
Numbers pertain to the 12 months ending	09/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Unknown
Number of licensees checked for compliance by local agencies	No data
Number of licensees that failed local compliance checks	No data
Numbers pertain to the 12 months ending	No data
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	No
Total amount in fines across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	No
Total days of suspensions across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected

<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁵	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Selma Dallas Prevention Collaborative</i>	
Number of youth served	300
Number of parents served	22
Number of caregivers served	4
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Selma Dallas Prevention Collaborative implemented the Peer Reduction Of Underage Drinking Campaign (PROUD). This initiative addresses underage drinking in Dallas County, Alabama, by using environmental strategies to reduce the use of alcohol by underage drinkers in Dallas County. The PROUD Campaign reduces risk factors while increasing protective factors, and it also strengthens at-risk families. The Selma Dallas Prevention Collaborative collaborates with Cahaba Cares and the Selma PALS to host a “prevention camp” in the rural community.	
<i>Teen Headquarters Alcohol Awareness Program</i>	
Number of youth served	20
Number of parents served	8
Number of caregivers served	No data
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Teen Headquarters Alcohol Awareness Program targets 12- to 17-year-olds in Wetumpka who have aged out of existing summer youth programs that provide directed activities and academic enrichment as well as programs to reduce risk behavior patterns and strengthen protective community opportunities. The curriculum provides programs and education. School and community guests are invited to share in the children’s work. Bowling, swimming, skating, and outdoor activities are part of the program’s physical fitness activities. Board and group games are used to improve social interaction skills. Classes on underage drinking, anger management, healthy relationships, respect, motor vehicle safety, and drug awareness are also provided through the Teen Headquarters Alcohol Awareness Program and are taught by law enforcement personnel.	
<i>Parents Who Host</i>	
Number of youth served	3,500
Number of parents served	750
Number of caregivers served	No data

Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Provides community education and media campaigns to address underage drinking and parental responsibility	
Alcohol Surveillance Departments in Millbrook and Wetumpka	
Number of youth served	100
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Off-duty contracted law enforcement personnel patrol police jurisdictions within each city to target areas where risk violations may occur. These areas include major intersections and areas where youth activity may increase risky motor vehicle operation. Data are provided on motor violations resulting in citations, arrests, or warnings in target geographic areas for patrol time periods.	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking	
It's Party Time	
URL for more program information	No data
Program description: No data	
Ripple Effects for Kids	
URL for more program information	No data
Program description: No data	
Too Good for Drugs	
URL for more program information	No data
Program description: No data	
Regional Information Clearinghouses	
URL for more program information	No data
Program description: Disseminates underage drinking literature to consumers, parents, and practitioners statewide	
Teen Board	
URL for more program information	No data
Program description: No data	

Additional Information Related to Underage Drinking Prevention Programs	
State collaborates with federally recognized Tribal governments in the prevention of underage drinking	No
Program description: Not applicable	
State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing	Yes
Program description: Underage drinking programs funded with State monies through the Department of Education consist of the school system's alternative education programs. The number served below signifies the total student populations in these settings around the State. Following are the programs offered through the Department of Education:	

<p>1. It's Party Time is a seven-session drug and alcohol prevention curriculum for students in the 6th grade and up. It is a simulator in the form of a board game that lets kids experience the financial, social, and health consequences of using tobacco, drugs, and alcohol. The curriculum is designed for a class of 30 students at a time. Each team is required to manage a budget, which includes selecting their home, automobile, and fun and leisure activities. They attempt to maintain their lifestyles while experiencing the consequences of their specific drug of choice. This program is located in Shelby County and targets at-risk students in grades 6-12. The program serves 12,604 people, and the evaluation was implemented during the 2010-2011 year.</p> <p>2. Ripple Effects for Kids is a research-based tool to help children build resilience and handle the nonacademic issues that get in the way of school success. One-hundred and forty engaging, interactive, reading-based independent tutorials build key social-emotional abilities that are more connected to school success than intelligence quotient (IQ): self-understanding, empathy, impulse control, management of feelings, assertiveness, decisionmaking, and connection to community. The result is kids who know themselves, stand up for their beliefs, solve problems, feel for and connect with diverse others—and do well in school. The Ripple Effects for Kids program is located in Madison County and Talladega City, and targets at-risk students in grades K-12. The program serves 924 people in Madison County and 176 people in Talladega City for a total of 1,100 students. At this time, there is not a recorded evaluation available.</p> <p>3. Too Good for Drugs teaches critically important life skills to students in grades K-8. It is a universal school-based prevention program that reduces risk factors and enhances protective factors related to alcohol, tobacco, and drug use as well as other problem behaviors among students. Too Good for Drugs provides the support and develops the skills students need to make good decisions when facing the increasing challenges of stress, peer pressure, and temptation. The program is held in the following counties and cities: Bibb County, Calhoun County, Chambers County, Chilton County, Cleburne County, Dale County, Dallas County, Etowah County, Henry County, Jefferson County, Lauderdale County, Alexander City, Arab City, Attalla City, Eufaula City, Florence City, Guntersville City, Haleyville City, Huntsville City, Mountain Brook City, and Sylacauga City. The program also targets at-risk students in grades K-12 and serves a total of 21,310 students. Too Good for Drugs' State baseline is 1,294 for 2009-2010 and data are currently being collected on the course.</p> <p>4. Teen Board of West Alabama provides alcohol compliance checks. The theme of the program is "Parents Who Host Lose the Most." Services are provided to three high schools in Montgomery County; 20,000 people are served. This program is sponsored by the Council on Substance Abuse (COSA).</p> <p>5. North and South Regional Information Clearinghouses: The North Regional Information Clearinghouse and the South Regional Information Clearinghouse are located in Anniston, AL, and Mobile, AL, respectively. Both disseminate underage drinking materials and resources to the general public, providers, and coalitions. Underage drinking curricula, videos, DVDs, pamphlets, brochures, and services are available through each Clearinghouse's staff members. A total of \$233,500 is spent on educating Alabama communities on underage drinking.</p>	
State has adopted or developed best practice standards for underage drinking prevention programs	No
Best practice standards description: Not applicable	
Additional Clarification	
No data	
State Interagency Collaboration	
A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities	Yes
Committee contact information:	
Name: SMSgt. Dave Peterson	
Email: david.peterson9@us.army.mil	
Address: 1750 Congressman W. Dickerson Drive (or PO Box 3711), Montgomery, AL 36109	
Phone: 334-651-3031	

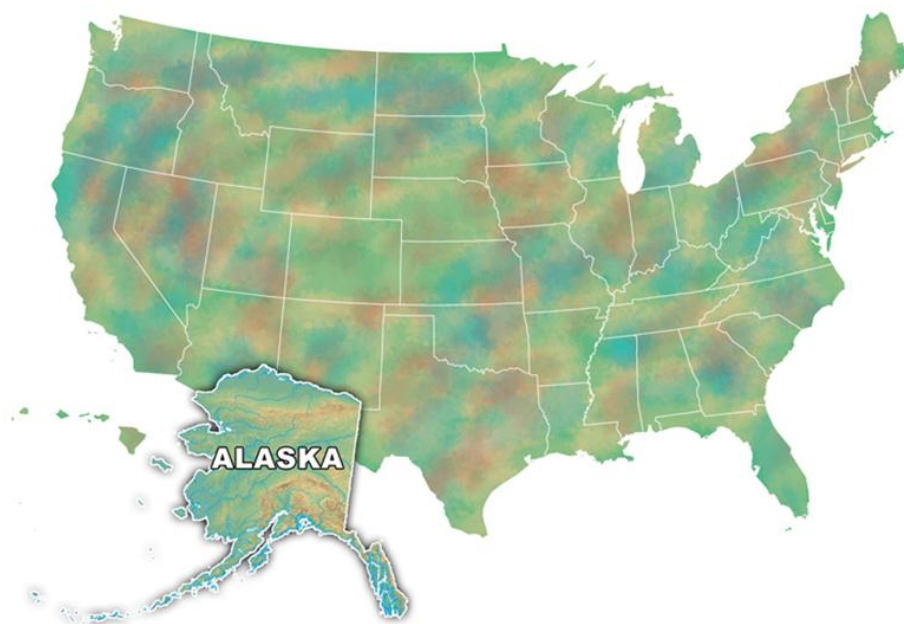
Agencies/organizations represented on the committee:	
Juvenile Court—Elmore County Department of Human Studies University of Alabama—Rural Health Southwest Alabama Mental Health Center Department of Labor—Opportunity Industrialization Center Alabama Coalition Against Domestic Violence (ACADV) United States Armed Forces—Drug Demand Reduction North Regional Information Clearinghouse South Regional Information Clearinghouse Homeless Children Services—Montgomery Public Schools Fed. United States Air National Guard—Center for Youth HIV Prevention Education—Calhoun County Health Department Children's Trust Fund of Alabama Partnership for a Drug Free Community Public Safety Alabama A&M University Department of Social Work Alabama Association of Child Care Agencies (AACCA)—Brewer Porch Children's Center Alabama State Department of Education—Prevention Support Services Office of Institutional Development Association for the Advancement of Retired Persons (AARP) in Alabama Alabama Campaign to Prevention Teen Pregnancy Big Lots Consumer Advocate Mobile County Sheriff's Office	
A Web site or other public source exists to describe committee activities	No
URL or other means of access: Not applicable	

Underage Drinking Reports	
State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: Alabama Epidemiological Outcomes Workgroup (AEOW)	
Plan can be accessed via	No data
State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: Alabama Epidemiological Outcomes Workgroup (AEOW) with the assistance of the following AEOW partners:	
<ul style="list-style-type: none"> Alabama Board of Pharmacy Alabama Governor's Office Department of Human Resources Mothers Against Drunk Driving Addiction Technology Transfer Center (ATTC) Department of Education Pardons and Parole Department of Youth Services Department of Rehabilitation Department of Public Health Administrative Office of the Courts Department of Revenue Sentencing Commission 	
Plan can be accessed via	No data
Additional Clarification	
AEOW annually prepares deliverables. The State Epidemiological Outcomes Workgroup (SEOW) program requests that all States receiving SEOW funding submit their project results to the program on a predetermined schedule. The submitted project results are called deliverables. The deliverables are the scheduled fulfillment to the grant. AEOW has submitted eight deliverables; following is a description of each.	

1. **Progress Reporting**—AEOW provided monthly progress reports to the SEOW program administrator for the first 6 months (May to October 2006). After that, AEOW provided quarterly progress reports. Progress reports include the following contents:
 - A summary of accomplishments for the period
 - Planned activities for the next period
 - Problems encountered and their resolution
 - Any anticipated issues or problems with a proposed approach to their resolution
 - Any technical assistance (TA) requirements that are anticipated
 - Finance reports, including (but not limited to) analyses of projected expenditures against proposed budget
2. **Work Plan and Goal Statement**—AEOW produced a charter that describes its principles, functions, and organization. The charter states the goals and purpose of AEOW and includes a work plan that identifies the following issues:
 - An appropriate mission statement for the group.
 - The date of AEOW's creation is April 11, 2006. The group will be a continuous workgroup subject to dissolution only with rescinding of Executive Order Number 23.
 - Chairperson/main point of contact for the group is the Director of Prevention Services/NPN from the Substance Abuse Services Division.
 - Specific activities that will be undertaken.
 - Proposed individual and organizational participants and their roles and responsibilities.
 - Sources and forms of data that will be used.
 - AEOW agreement deliverables.
 - Methods for measuring and monitoring progress and accomplishments.
3. **Sustainability Plan**—AEOW provided a document outlining steps taken and future plans for maintaining AEOW, updating and distributing State and community Epidemiological Profiles, and monitoring progress that included the following steps:
 - Reach consensus on how to do business and with whom:
 - Secure cooperative and collaborative interagency memoranda of understanding, executive directives, and/or other support documents from the top State executive whenever possible,
 - Include members from a variety of State agencies, nonprofit organizations, universities, private sectors, and citizens' groups.
 - Constitute and structure the AEOW by function in a matrix-based team format.
 - Recognize all the AEOW members, partners, and leaders for their accomplishments:
 - Maintain members' interests and identify a role for all participants.
 - Secure value-added (unpaid) staff from other agencies, universities, and nonprofits.
 - Build and strengthen interagency support and collaboration.
 - Define action items or products that have value for the AEOW membership.
 - Create a data inventory that supports other division grants.
 - Establish partnerships with stakeholders that ensure mutual benefits.
 - Establish and maintain interagency connections for cooperation and collaboration—namely, establish memoranda of understanding or memoranda of agreement that specify exactly how AEOW and stakeholders will collaborate.
 - Recognize the importance of community needs in AEOW deliberations:
 - Include needs-assessment language in the contract requirements to encourage communities that may be hesitant about working with data to embrace this important aspect of outcomes-based prevention.
 - Help local communities become more comfortable working with epidemiological data and provide training and TA for individuals at the local level.
 - Continually improve data infrastructure and data analysis capabilities:
 - Contact national and State data sources to assess whether and when data needs can be met.
 - Involve outside experts who can help overcome many of the analytic and political challenges associated with working across State agencies involved in a specific policy area.

- Coordinate AEW activities with those of the many State agencies involved in substance abuse prevention.
 - Establish an organizational structure that can be responsive to short-term data requests.
 - Work systematically to identify new data sources.
 - Analyze data as they become available to monitor emerging trends.
 - Identify data gaps and needs, and work diligently to pursue solutions to fill them.
 - Transform data into useful information and communicate those data to key groups using targeted messages.
 - Institutionalize the AEW:
 - Make AEW a formal subcommittee of ACPTSA.
 - Include AEW products in reports to the most senior State leadership.
 - Secure adequate and sustained funding for the AEW.
4. **State or Territory and Community Epidemiological Profiles**—AEOW produced two Epidemiological Profiles that summarize and characterize the nature, magnitude, and distribution of substance use and related consequences in the State and communities. Understanding the nature and extent of the array of substance use and related consequences in the State and communities is critical as a first step for determining prevention priorities. The work of AEW is framed by an outcomes-based prevention model that grounds prevention in a solid understanding of alcohol, tobacco, and drug use and related consequences. Following the outcomes-based prevention model, once priorities are established, prevention planners then identify the factors influencing the prioritized use patterns and consequences to align relevant and effective strategies to address them.
 5. **Submit Data Used for Epidemiological Profiles**—AEOW provided the Substance Abuse and Mental Health Services Administration (SAMHSA) Data Coordination and Consolidation Center (DCCC) with copies of or references to the sources of data and indicators used in the Epidemiological Profiles. The SEOW program administrator, the SEOW epidemiologist, and DCCC coordinated the methods and timing for the provision of data and references. DCCC is housed at SAMHSA's headquarters in Rockville, MD.
 6. **Dissemination Plan**—AEOW provided plans for dissemination of the State/- and community-level Epidemiological Profile and youth/adult consumption and consequences data to legislatures, prevention groups, private sectors, and the public, etc. The purpose of this dissemination plan is to provide target audiences with up-to-date substance use data trends and assist with data interpretation to inform their prevention programs and community groups. Four potential target audiences were identified: community coalitions, private sectors, State legislature, and media.
 7. **Substance Abuse Monitoring System**—AEOW created a systematic and ongoing substance abuse monitoring State- and community-level database system. The database system can help inform assessment ("What do substance use and related consequences look like in the State and community?"), planning ("What are the current prevention priorities that emerge after needs assessment?"), and monitoring/evaluation activities ("How are we doing in our efforts to address these issues?") to enhance substance abuse prevention. This database includes a key set of indicators that describe the magnitude and distribution of substance-related consequences and consumption patterns across the State and community. There are five inputs for each indicator: the name of the indicator, the most current value of the indicator, data source, frequency of data collection, and validity and reliability of using the variable. The data are mainly obtained from national surveys for adults, the PRIDE public school survey for youth, and other State/national resources. This comprehensive monitoring system will be used for tracking, communicating, and using data over time. In the future, an online monitoring database will be implemented to enable users to run queries and reports.
 8. **NOMs Community Data and Performance Measurement**—AEOW submitted NOMs data at the community level where available and not prepopulated by SAMHSA. A template designed by SAMHSA's Center for Substance Abuse Prevention (CSAP) was used. A description of the methods to collect the NOMs, data collection tools, and approved methodologies from SAMHSA was included.

State Expenditures for the Prevention of Underage Drinking		
<i>Compliance checks/decoy operations in retail outlets:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Checkpoints and saturation patrols:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Community-based programs to prevent underage drinking:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>		
Estimate of State funds expended		\$83,000
Estimate based on the 12 months ending		09/30/2010
<i>Programs targeted to institutes of higher learning:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Programs that target youth in the child welfare system:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Other programs:</i>		
Programs or strategies included		No data
Estimate of State funds expended		No data
Estimate based on the 12 months ending		No data
Funds Dedicated to Underage Drinking		
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>		
Taxes		No
Fines		No
Fees		No
Other		No data
<i>Description of funding streams and how they are used:</i> No data		
Additional Clarification		
No data		



Alaska

State Profile and Underage Drinking Facts

State Population: 710,231
Population Ages 12–20: 91,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	25.8	24,000
Past-Month Binge Alcohol Use	17.0	16,000
Ages 12–14		
Past-Month Alcohol Use	6.5	2,000
Past-Month Binge Alcohol Use	2.7	1,000
Ages 15–17		
Past-Month Alcohol Use	25.0	8,000
Past-Month Binge Alcohol Use	15.2	5,000
Ages 18–20		
Past-Month Alcohol Use	45.7	14,000
Past-Month Binge Alcohol Use	32.9	10,000
Alcohol-Attributable Deaths (under 21)		Number 17
Years of Potential Life Lost (under 21)		996

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with Bac > 0.01	36.0	4

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private location AND EITHER
- Parent/guardian OR
- Spouse

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private location AND EITHER
- Parent/guardian OR
- Spouse

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through an administrative procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- General affirmative defense—the retailer came to a good faith or reasonable decision that the purchaser was 21 years or older; inspection of an identification card not required.
- Retailer has the statutory right to sue a minor who uses a false ID to purchase alcohol for any losses or fines suffered by the retailer as a result of the illegal sale.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers age 14 or above
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

No use/lose law

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 40 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving prohibited after: 1 a.m.
- Primary enforcement of the night-driving rule
- Passenger restrictions exist: No passengers under 21 except siblings, unless at least one passenger is parent, guardian, or person at least 21 years old
- Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Private location AND EITHER
- Parent/guardian OR
- Spouse

Compliance Check Protocols

Age of Decoy

- Minimum: 18
- Maximum: 20½

Appearance Requirements

- No rings on left finger
- Age-appropriate dress
- Males: No facial hair
- Females: No excessive facial makeup or lipstick

ID Possession

- Required

Verbal Exaggeration of Age

- Permitted

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

Mandatory beverage service training for licensees, managers, servers:

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: 21
- Wine: 21
- Spirits: 21

Minimum Ages for On-Premises Sellers

- Beer: 21 for both servers and bartenders
- Wine: 21 for both servers and bartenders
- Spirits: 21 for both servers and bartenders

Dram Shop Liability

Statutory liability exists.

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Knowledge of underage status

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession
- Property type(s) covered by liability law: Residence
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for beer, wine, distilled spirits with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements: None

Reporting Requirements: None

Shipping Label Statement Requirements

- Contains alcohol—applies to wine shipments
- Recipient must be 21—applies to wine shipments

Keg Registration

Registration not required

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted—all orders must be in writing. Written information on fetal alcohol syndrome must be included in all shipments.
- Wine: Permitted—all orders must be in writing. Written information on fetal alcohol syndrome must be included in all shipments.
- Spirits: Permitted—all orders must be in writing. Written information on fetal alcohol syndrome must be included in all shipments.

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$1.07 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$2.50 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$12.80 per gallon (\$2.50 per gallon for alcohol content of less than 21 percent).

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

No pricing restrictions

Alaska State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> The Department of Public Safety	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The Alcoholic Beverage Control (ABC) Board coordinates efforts with the Alaska Bureau of Alcohol and Drug Enforcement (ABADE) of the Alaska State Troopers. The agency also depends on State and local police to enforce alcohol laws (Title 4). With four investigators and one enforcement unit supervisor, the ABC Board must rely on the assistance of local law enforcement and state troopers to enforce laws across the State. License fees are refunded to municipalities that have police departments and that enforce Title 4. The Alaska Court Systems has primary responsibility for enforcing the consequences related to any charges.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	The Department of Public Safety
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession by State law enforcement agencies ¹	3,685
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State law enforcement agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors</i>	
	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies ²	700
Number of licensees that failed State compliance checks	105
Numbers pertain to the 12 months ending	6/30/2010
<i>Local law enforcement agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors</i>	
	Don't know
Data are collected on these activities	No data
Number of licensees checked for compliance by local agencies ²	No data
Number of licensees that failed local compliance checks	No data
Numbers pertain to the 12 months ending	No data
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the State ³	5
Total amount in fines across all licensees	\$4,000
Numbers pertain to the 12 months ending	6/30/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes

Number of suspensions imposed by the State ⁴	5
Total days of suspensions across all licensees	52
Numbers pertain to the 12 months ending	6/30/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	6/30/2010
Additional Clarification	
Local police have been encouraged to operate compliance checks; however, the ABC Board does not collect this data.	
The Alcoholic Beverage Control Board maintains information in the minutes of its meetings and individual licensee files regarding fines, suspensions, and revocations; however, the data are not tallied for this type of purpose.	
Direct sales/shipment laws are enforced for dry communities (Alaska has local option).	
Number of minors found in possession by State law enforcement agencies includes only cases adjudicated by the courts, not all citations issued.	

¹ Or having consumed or purchased per State statutes

² Includes local arrests/citations.

³ Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

⁴ Does not include fines imposed by local agencies

⁵ Does not include suspensions imposed by local agencies

⁶ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Adult and Juvenile ASAP Program

Number of youth served	1,904
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	6/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information:	http://hss.state.ak.us/dbh/prevention/programs/asap/default.htm

Program description: The Alaska Juvenile Alcohol Safety Action Program (ASAP) provides substance abuse screening, case management, and accountability for driving while intoxicated (DWI) and other alcohol/drug-related misdemeanor cases. This involves screening cases referred from the district court into drinker classification categories as well as thoroughly monitoring cases throughout education and/or treatment requirements.

ASAP operates as a neutral link between the justice and healthcare delivery systems. This requires a close working relationship among all involved agencies: enforcement, prosecution, judicial, probation, corrections, rehabilitation, licensing, traffic records, and public information/education.

The benefits of ASAP monitoring include:

- Increased accountability of offenders.
- Reduced recidivism resulting from successful completion of required education or treatment.
- Significant reductions in the amount of resources spent by prosecutors, law enforcement officers, judges, attorneys, and corrections officers enforcing court-ordered conditions.

Increased safety for victims and the larger community; offenders are more likely to receive treatment, make court appearances, and comply with other probation conditions.

Much like the adult programs, the JASAP programs receive referrals for those under the age of 18 who have three or more minor possession or consuming offenses or who have a driving under the influence (DUI) type offense. In Alaska, ASAP is an integral part of the criminal justice and behavioral healthcare service systems, providing invaluable and necessary monitoring and tracking of clients referred to substance abuse services throughout the State. Five probation officers and five community grantees handle traditional adult misdemeanor ASAP referrals; an additional seven community grantees are funded to handle just juvenile cases. In addition to the Anchorage office, adult and juvenile grant programs are located in Fairbanks, Juneau, Kenai/Homer, Kotzebue, and Wasilla/Palmer. Juvenile-only programs are located in Anchorage, Dillingham, Ketchikan, Kodiak, Seward, Nome, and Bethel.

The ASAP program provides a standardized statewide network of alcohol screening and case management for cases referred by the criminal justice system. It offers a consistent process to ensure that clients complete required substance abuse education or treatment programs as prescribed by the courts. The ASAP programs, including the Anchorage office, monitor these cases to confirm with the court and the Department of Motor Vehicles (DMV) when clients have completed court-ordered assignments. This program requires a close working relationship among all involved agencies, including law enforcement, prosecutors, judges, probation officers, corrections, rehabilitative services, motor vehicle licensing, traffic records, public information/education, and treatment services. There are approximately 1,500 adult court-ordered referrals made to the six adult community programs quarterly. An additional 500 juvenile referrals are made to the 12 grantees.

In fiscal year 2010, the ASAP program incorporated motivational interviewing (MI), an evidence-based practice, as a model for increasing the engagement of clients during their first encounter with ASAP staff. Through the use of MI-styled interviews, the expected outcome is that clients will be motivated to change their personal behaviors and attitudes related to alcohol and drug use, thereby increasing their completion and success rates following the receipt of required services. Grantees are also responsible for engaging their community prevention coalition and for being involved in ASAP program outreach and education efforts in schools, community forums, and other appropriate venues.

Alcohol Drug Information School

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information: http://hss.state.ak.us/dbh/prevention/programs/adis/default.htm	

Program description: Alcohol Drug Information School (ADIS) programs provide education to first-time DWI and Minor Consuming offenders as well as those convicted of other alcohol/drug-related offenses if that person would not be diagnosed as a substance abuser. ADIS programs aim to reduce subsequent alcohol- and/or drug-related offenses and associated high-risk behaviors. ADIS programs cover the effects of alcohol and drugs on driving and social behaviors as well as health and legal consequences. Each ADIS program conforms to the same standards and is approved and monitored by the Division of Behavioral Health. These programs are designed to be available to all Alaskans involved in alcohol- and/or drug-related offenses.

Each Adult or Youth ADIS program uses an identical core curriculum that combines the most recent research in early intervention and prevention. Each program includes regionally specific information and is designed to be relevant to all segments of Alaska's diverse population while ensuring uniformity of the core ADIS program content statewide. The Adult program uses a core curriculum developed by the Change Company and the State of Alaska. Adult ADIS programs are appropriate for all adults over the age of 18.

Prime for Life

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes

Evaluation report is available URL for evaluation report URL for more program information: http://www.primeforlife.org/homepage.cfm?CFID=374289&CFTOKEN=39038970	No Not applicable
Program description: The youth program, Prime For Life-Under 21, is similar to the ADIS course but was developed by Prevention Research Institute (PRI) and is used for individuals between 14 and not yet 21 years of age.	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

Comprehensive Behavioral Health Prevention and Early Intervention Services

URL for more program information: <http://hss.state.ak.us/dbh/prevention/default.htm>;
http://hss.state.ak.us/dbh/prevention/grants/grantee_lists/200803_cp_grantees.pdf

Program description: Grant program funds a comprehensive array of promotion, prevention, and early intervention approaches that focus on community-designed and -driven services. These services are based on concepts and program strategies that have proven to be effective in prevention of behavioral health concerns; they have clearly defined qualitative performance outcomes. These grant dollars “blend, braid and pool” resources and programming concepts into an integrated approach to behavioral health prevention. We know that substance abuse, mental health, suicide, fetal alcohol spectrum disorders, underage alcohol use, family violence, juvenile delinquency, and other issues are interrelated. We want communities to have the freedom to connect these issues, to partner and collaborate with community members working on connected and interrelated issues, and to focus on what it will take to develop overall community health and wellness. Agencies throughout the State receive funding through this grant program in remote or rural, as well as hub and urban, communities. Each community applying for these funds must use the SAMHSA Center for Substance Abuse Prevention’s Strategic Prevention Framework (SPF) planning model to assess, plan, strategize, implement, and evaluate community-based services. Prevention strategies must be identified based on a clear assessment of local/regional data, selecting programs or practices that are data driven—what do the data indicate as the most important issues the community is facing? This model promotes a better connection between program selection and the critical issues facing the community, as evidenced by the available data.

Alaska SPF SIG

URL for more program information:
<http://hss.state.ak.us/dbh/prevention/programs/spfsig/default.htm>

Resiliency & Youth Development Program

URL for more program information: <http://hss.state.ak.us/dbh/prevention/programs/resiliency/default.htm>

Fetal Alcohol Spectrum Disorder

URL for more program information:
<http://hss.state.ak.us/dbh/prevention/programs/fasd/default.htm>

Alaska EUDL Program:

2010 highlights of Alaska’s EUDL program effort to reduce underage drinking included:

- A package of legislation strengthening laws concerning alcohol-related crimes was signed into law in June 2010. An increase in civil damages for purchasing alcohol for minors went into effect, which also encourages licensees to continue enforcement of underage drinking laws.
- State of Alaska, Department of Public Safety, Alaska State Troopers released a video entitled “Choices.” The video focuses on the choices children/young adults make by drinking at an early age and how these choices impact their future opportunities. This powerful video showcased DJJ’s EUDL grantee in Bethel. The film crew interviewed youth in a very frank and poignant way, and cast them as actors portraying scenes of alcohol abuse in their community.
- Several media campaigns focusing on underage drinking were developed this year by and with EUDL coalition members in rural Alaska. These powerful media campaigns are being promoted and aired throughout Alaska.
- In June 2010, Mr. Chris Phillips and Mr. Paul Saomal visited Alaska on behalf of SAMHSA and the Alaska Department of Health and Social Services to film video segments highlighting local underage drinking prevention programs. Our EUDL grantee in Nome was featured in this project.

- During the month of May, in preparation for graduation, Juneau hosted the national program, “Every 15 Minutes” at the High School. Students Against Destructive Decisions created public service announcements and assisted in the Safe and Alcohol Free Prom. Juneau has seen a decline in Minor Consuming Alcohol citations.

No website available for Alaska EUDL.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking

Yes

Description of collaboration: State of Alaska partners with Tribal health corporations to fund community-level prevention strategies that are driven by the needs of individual communities or regions. Currently, our prevention partners are Bristol Bay Health Corporation, Fairbanks Native Association, Manilliq, Akiachak Native Community, Southeast Alaska Regional Health Consortium, Asa' Carsarmuit, Cook Inlet Tribal Council, Copper River Native Association, Ketchikan Indian Corporation, Kodiak Area Native Health Association, Metlakatla Indian Community, Native Village of Gakona, Shishmaref IRA Council, Tanana Chiefs Conference, Yukon Kuskokwim Health Corporation, Association of Village Council Presidents, Eastern Aleutian Tribes, and Norton Sound Health Corporation. The State also relies on the input and support of leaders from the Alaska Native community through informal and formal avenues, including participation in the SPF SIG processes including the Advisory Committee, Epidemiological Committee, and Evidence Based Work Group. Representatives from the Alaska Native Justice Center are on the Alaska Interagency Committee to Prevent Underage Drinking.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing

No

State has adopted or developed best practice standards for underage drinking prevention programs

Yes

Best practice standards description: Recommendations are included in the State of Alaska Plan to Reduce & Prevent Underage Drinking, which can be found at http://hss.state.ak.us/dbh/prevention/docs/2009_underagedrinkplan.pdf. We are also currently in the process of developing “A Guide to Selecting Evidence-Based Strategies for Your Alaska Community,” which will target youth alcohol consumption and adult heavy and binge drinking.

Additional Clarification

We have so many efforts going on that some may not be captured in this Report; however, now that we are clear on what will be collected annually on underage drinking, a mechanism will be developed to ensure that we can communicate all State efforts occurring around underage drinking prevention.

State Interagency Collaboration

A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities

Yes

Committee contact information:

Name: Natasha Pineda
Email: natasha.pineda@alaska.gov
Address: 3600 C Street, Anchorage, AK 99503
Phone: 907-269-3781

Agencies/organizations represented on the committee:

Alaska Highway Safety Office
Department of Health and Social Services, Prevention & Early Intervention
Alaska Courts System, Bethel Superior Court
Department of Public Safety, Alcoholic Beverage Control Board
Alaska Network on Domestic Violence and Sexual Assault
Department of Juvenile Justice, EUDL
Department of Health and Social Service, ASAP Office

University of Alaska, Justice Center
Alaska Native Justice Center
Alaska National Guard

In October 2009, the Division of Behavioral Health (DBH), in partnership with the Alaska Interagency Committee to Prevent Underage Drinking (AKPUD), released the State of Alaska Plan to Reduce and Prevent Underage Drinking in response to the 2007 *Call to Action to Prevent and Reduce Underage Drinking* by the Acting Surgeon General. The AKPUD was organized in 2007 to begin looking at Alaska's data and needs related to youth alcohol use.

The plan was developed with input from the interagency committee, 25 town hall meetings on underage drinking, and public comment from a diverse group of Alaskans. It is organized to provide recommendations on three levels of interaction (national, State, and community) and eight strategy components (media campaign, alcohol advertising, limiting access, youth-oriented interventions, community interventions, government assistance and coordination, alcohol excise taxes, and research and evaluation).

The AKPUD continues to meet and is developing a plan for engaging communities in strategies for State and community action. Currently, DBH is conducting focused conversations with Alaskans (providers, youth, and grantees) to determine changes needed in the plan. Those recommendations will be incorporated into the update of this plan.

In partnership with the SAMHSA's Center for Substance Abuse Prevention, DBH has developed a video related to underage drinking in Alaska. The video showcases how Alaskans across the State are working together to decrease the negative effects of youth alcohol use. Specifically, the video highlights efforts in Barrow, Nome, Kodiak, and Anchorage related to limiting access, youth-oriented interventions, and community interventions. Statewide prevention efforts are having an impact on alcohol indicators. Using the strengths-based approach of the Strategic Prevention Framework, community coalitions, and interdepartmental collaboration, we will continue to have an impact on the negative consequences related to underage drinking.

URL for more committee information

Unavailable

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by Alaska Interagency Coordinating Committee on the Prevention of Underage Drinking	
URL for plan: http://www.hss.state.ak.us/dbh/prevention/docs/2009_underagedrinkplan.pdf	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by University of Alaska Justice Center	
URL for report: http://hss.state.ak.us/dbh/prevention/programs/spfsg/pdfs/10.underagedrinking.pdf	

Additional clarification:

We are currently in the process of developing a Web site that will be a clearinghouse of resources, training, and strategies with an Alaskan focus on preventing underage drinking.

State Expenditures for the Prevention of Underage Drinking

Compliance checks/decoy operations in retail outlets:

Estimate of State funds expended	\$167,654
Estimate based on the 12 months ending	6/30/2010

Checkpoints and saturation patrols:

Estimate of State funds expended	\$979,265
Estimate based on the 12 months ending	6/30/2010

Community-based programs to prevent underage drinking:

Estimate of State funds expended	\$5,311,036
Estimate based on the 12 months ending	6/30/2010

<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$551,605
Estimate based on the 12 months ending	6/30/2010
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	\$61,585
Estimate based on the 12 months ending	6/30/2010
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$270,005
Estimate based on the 12 months ending	6/30/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
<p>Programs or strategies included: Rural Human Services System Project (RHSSP) is a partnership between Department of Health and Social Services, Division of Behavioral Health, and the University of Alaska Fairbanks (UAF), College of Rural Alaska. The long-term outcome for the RHSSP is to have a trained, culturally competent, and stable/sustainable behavioral health workforce in all rural and remote Alaskan villages. The original vision for the Rural Human Services educational program was “a counselor in every village”; the vision remains the same today. First and foremost, the RHSSP is a workforce development and education/training program to build a stable system of well-trained and culturally competent rural behavioral healthcare providers. Grant dollars are available to rural or urban agencies serving a significant number of rural clients, and thereby provide funding for educational support and for part- or full-time internships at local agencies for students taking RHS classes and completing their certifications. Through financial support and supervision, these village-based student interns function as behavioral health paraprofessionals providing prevention, early intervention, and general counseling services to the entire community. The UAF Rural Human Services (RHS) educational program is the first step in the rural educational “pipeline” for rural students who can complete a 30-hour RHS certification program while living and working in their home community. Following the RHS certificate, students can continue in the Human Services Associate degree program and continue into the Intensive Rural Bachelor of Social Work program. Currently, RHSSP grants fund students through thirteen regional hub agencies in rural Alaska, from Kotzebue to the Eastern Aleutian Islands.</p>	
Estimate of State funds expended	\$1,991,565
Estimate based on the 12 months ending	6/30/2010

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	Yes
Fines	No
Fees	No
Other	No data

Description of funding streams and how they are used:

Alcohol tax funds go to treatment and prevention; 17% of those are directed to prevention. That 17% is blended into our comprehensive prevention funded grants.

Additional Clarification

The information provided for this section primarily reflects funds being spent in the Section of Prevention & Early Intervention. We did not include SPF-SIG funds or Block Grant funds in the totals. These are just State funds. It does not include efforts being funded by other sections, divisions, or departments unless I have communicated otherwise. We do not feel this reflects all funds being spent on prevention efforts because there may be other efforts underway. However, these numbers do reflect the work we are doing.

The dollar amount for A.2 came from the Alaska Highway Safety Office, which is not housed in the Division of Behavioral Health. The dollar amount for A.5 is only from DBH; there may be other efforts this writer is not aware of at this time. However, now that we know it is an area to be reported on we will seek to find out what other funds may be contributing to efforts in this area. The dollar amount in A.6 is from our EUDL grants in the Division of Juvenile Justice. There may in fact be other funds which are contributing to this area which have not been captured by this writer.



Arizona

State Profile and Underage Drinking Facts

State Population: 6,392,017
Population Ages 12–20: 825,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	25.5	211,000
Past-Month Binge Alcohol Use	16.6	137,000
Ages 12–14		
Past-Month Alcohol Use	6.7	17,000
Past-Month Binge Alcohol Use	4.0	10,000
Ages 15–17		
Past-Month Alcohol Use	23.0	65,000
Past-Month Binge Alcohol Use	15.1	43,000
Ages 18–20		
Past-Month Alcohol Use	44.9	129,000
Past-Month Binge Alcohol Use	29.2	84,000
Alcohol-Attributable Deaths (under 21)		Number 121
Years of Potential Life Lost (under 21)		7,222

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	19.0	20

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Note: Arizona has a statutory provision that makes it unlawful, “[f]or a person under the age of twenty-one years to have in the person’s body any spirituous liquor” (Ariz. Rev. Stat. § 4-244). Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting Internal Possession, for purposes of this report.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial or administrative procedure.

Provisions Targeting Retailers

- State provides incentives to retailers who use electronic scanners that read birthdate and other information digitally encoded on valid identification cards.
- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 18.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Discretionary

Length of Suspension/Revocation

- Minimum: 0 days
- Maximum: 180 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15 years, 6 months
- Minimum learner stage period: 6 months
- There is no minimum supervised driving requirement—with driver education; 30 hours without (10 of which must be at night)

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - No primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger under 18, except for siblings or if accompanied by a parent or legal guardian
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols

Age of Decoy

- Minimum: 15
- Maximum: 19

Appearance Requirements

- Age-appropriate appearance

ID Possession

- Discretionary

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Note: Arizona allows compliance checks if the law enforcement agency has reasonable suspicion that the licensee is violating underage furnish laws.

Penalty Guidelines for Sales to Minors

- Time period/conditions: Not specified
- First offense: \$1,000–\$2,000 fine and/or up to 30-day suspension
- Second offense: \$2,000–\$3,000 fine and/or up to 30-day suspension
- Third offense: \$3,000 fine and/or up to 30-day suspension

Note: The department may seek license revocation through the Office of Administrative Hearings.

Responsible Beverage Service***Voluntary Beverage Service Training***

- Applies to both on-sale and off-sale establishments.
- The law does not specify new or existing outlets.

Incentive for Training

- Mitigation of fines or other administrative penalties for sales to minors

Minimum Ages for Off-Premises Sellers

- Beer: 16
- Wine: 16
- Spirits: 16

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages:

- Manager/supervisor is present.

Note: Off-sale retailers may employ persons who are at least 16 years old to check out—if supervised by a person on the premises who is at least 19 years old—or package or carry merchandise, including spirituous liquor, in unbroken packages, for the convenience of the customer of the employer, if the employer sells primarily merchandise other than spirituous liquor.

Minimum Ages for On-Premises Sellers

- Beer: 19 for both servers and bartenders
- Wine: 19 for both servers and bartenders
- Spirits: 19 for both servers and bartenders

Dram Shop Liability

- Statutory liability exists.
- The courts recognize common law dram shop liability.

Social Host Liability Laws

- There is no statutory liability.
- The courts recognize common law social host liability.

Host Party Laws

Social host law is specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Possession, consumption.
- Property type(s) covered by liability law: Residence, outdoor, other.
- Standard for hosts' knowledge or action regarding the party: Negligence—host must have known or should have known of the event's occurrence.
- Exception(s): Family, resident.

Note: Arizona's social host provision applies to gatherings of two or more underage persons on unlicensed premises, where the person charged knows or should know that one or more of the underage persons is in possession of or consuming spirituous liquor.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Producer must verify age of purchaser.
- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements

- Producer must record/report purchaser's name—for out-of-State sales only.
- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Recipient must be 21.

Note: A licensed domestic farm winery that produces not more than 20,000 gallons of wine in a calendar year may make sales and deliveries of that wine to consumers who order by telephone, mail, fax, or Internet. Farm wineries can deliver such purchases, subject to the rules applicable to the delivery of spirituous liquors by the holder of a retail license having off-sale privileges. An independent contractor or the employee of an independent contractor is deemed to be an employee of the licensee when making a sale or delivery of spirituous liquor for the licensee (Ariz. Rev. Stat. §§ 4-205.04(D), 4-203(J) and Ariz. Admin. Code R19-1-221). The rules governing the retail delivery of spirituous liquor require age verification at the point of delivery and the deliverer recording the recipient's name.

Keg Registration

Registration not required

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.16 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.84 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$3.00 per gallon.

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Retailer credit: Not permitted

Spirits (40 percent alcohol)

- Retailer credit: Not permitted

Arizona State Survey Responses

State Agency Information		
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>		
Department of Liquor Licenses and Control - Investigations Division		
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>		
State, county, and local law enforcement agencies eliminate underage drinking in Arizona through six primary collaborative efforts:		
1. Cross-training of Arizona liquor law (Title 4) and fake identification (ID) enforcement		
2. Covert underage buyer (CUB) details		
3). Routine liquor inspections of licensed locations		
4. Large special event details (NASCAR, PGA, Super Bowl, spring training, university homecoming events, etc.)		
5. Emergency medical service rapid communication (referred to as TRACE, a program that traces liquor-related, underage death/injury back to the source of liquor)		
6. Liquor-related complaints received via Arizona Underage Drinking Hotline, Web site complaint page, and other sources		
Enforcement Strategies		
<i>State law enforcement agencies use:</i>		
Cops in Shops		No
Shoulder Tap Operations		No
Party Patrol Operations or Programs		Yes
Underage Alcohol-Related Fatality Investigations		Yes
<i>Local law enforcement agencies use:</i>		
Cops in Shops		No
Shoulder Tap Operations		No
Party Patrol Operations or Programs		Yes
Underage Alcohol-Related Fatality Investigations		Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>		
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	State of Arizona Department of Liquor Licenses and Control	Yes
Such laws are also enforced by local law enforcement agencies		Yes
Enforcement Statistics		
<i>State collects data on the number of minors found in possession</i>		
Number of minors found in possession ¹ by State law enforcement agencies		2,584
Number pertains to the 12 months ending		06/30/2010
Data include arrests/citations issued by local law enforcement agencies		No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>		
Data are collected on these activities		Yes
Number of licensees checked for compliance by State agencies		188
Number of licensees that failed State compliance checks		102
Numbers pertain to the 12 months ending		06/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>		
Data are collected on these activities		No
Number of licensees checked for compliance by local agencies		Data not collected
Number of licensees that failed local compliance checks		Data not collected
Numbers pertain to the 12 months ending		Data not collected
Sanctions		
<i>State collects data on fines imposed on retail establishments that furnish minors</i>		
Number of fines imposed by the State ³		151
Total amount in fines across all licensees		\$365,875

Numbers pertain to the 12 months ending	06/30/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the State ⁴	4
Total days of suspensions across all licensees	47
Numbers pertain to the 12 months ending	06/30/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	1
Numbers pertain to the 12 months ending	06/30/2010
Additional Clarification	
The Arizona Underage Drinking Hotline allows concerned citizens to call when they suspect that underage drinking, services, and/or sales have occurred. With each complaint, the Arizona Department of Liquor Licenses and Control will launch an investigation. The Underage Drinking Hotline number is 1-877-NOT-LEGL or 1-877-668-5345.	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

<i>Draw the Line Campaign</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: The Draw the Line Campaign is designed to inform adults in Arizona that underage drinking is not a rite of passage, is unhealthy for children, and is against the law. It is important for adults to realize the influence they have on children's behavior. This campaign provides useful tools and resources to help adults positively influence kids. The central campaign mission is to generate community involvement and conversation by making interactive tools and resources available throughout the State. The campaign uses a Web site along with a traveling exhibit to get the word out. The purpose is to go beyond merely informing the public about the risks of underage drinking and alter the perceptions and behavior of the target audience—parents and adults ages 25 to 54.</p>	
<i>Scottsdale Neighborhoods in Action (SNIA)</i>	
Number of youth served	1,000
Number of parents served	3,000
Number of caregivers served	3,000
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.spi-az.org

<p>Program description: SNIA is a community-driven collaboration meant to change neighborhood behaviors and norms that favor underage drinking. Strategies include providing the public with information, social marketing, environmental and community development, peer leadership, and community education. SNIA hosts underage drinking (UAD) prevention town hall meetings, cultural celebrations, life skills training for young/ adolescent children and parents, neighborhood walks with Promotoras, Los Líderes activities, and community workshops on UAD drinking laws and health-related consequences. Peer leaders will create UAD prevention messages for schools, community organizations, and other coalitions.</p>	
Parker Area Alliance for Community Empowerment (PAACE)	
Number of youth served	2,000
Number of parents served	300
Number of caregivers served	29
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	http://www.paace.org
<p>Program description: PAACE addresses underage drinking by implementing community development strategies and providing community education and training on the risks/harms/consequences of underage drinking for youth and adults. Activities include a life skills component, which is designed to help youth decrease favorable attitudes toward substance (ab)use and increase knowledge of the perceived risks and harm of underage drinking.</p>	
Luz Southside Coalition	
Number of youth served	11,000
Number of parents served	40,000
Number of caregivers served	250,000
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://luzsocialservices.org/southside.html
<p>Program description: Luz Southside Coalition implements the Juntos Podemos (Together We Can) Project using a two-prong approach to combat substance abuse: (1) recruiting, training, and empowering parents in communication skills and healthy family interactions, and (2) using media literacy and cultural competency to educate community members about alcohol consumption and abuse, the alcohol industry's disrespect of Latino/Mexican culture via ads, and liquor licensing hearings to increase opposition to new licenses.</p>	
Chandler Coalition on Youth Substance Abuse	
Number of youth served	900
Number of parents served	55,000
Number of caregivers served	400,000
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: Underage drinking is the primary substance (ab)used by youth ages 14 to 17 at parties in the Chandler Redevelopment Area. Thus, the Improving Chandler Area Neighborhoods (ICAN) Prevention Program seeks to decrease contributing variables like social and retail access to alcohol, cultural and social norms favoring underage drinking, and low perception of enforcement of alcohol and its consequences. ICAN implements:</p> <ul style="list-style-type: none"> • Peer leadership programming with youth ages 13 to 18 and adults 18+ in the area. • Community development to include enforcement/compliance activities like party patrols, shoulder tapping, CUB operations, and social host ordinance advocacy. 	

<ul style="list-style-type: none"> • Training for merchants, law enforcement, first responders, and school faculty to enhance knowledge of local community health issues related to underage drinking and enforcement. • Public information/social marketing campaigns targeting adult enablers. 	
Way Out West (WOW) Coalition	
Number of youth served	650
Number of parents served	1,500
Number of caregivers served	35,000
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.wayoutwestcoalition.org
<p>Program description: The WOW Coalition focuses on substance abuse prevention and implementation of public information/social marketing, community education, and community development strategies that target underage drinking. Environmental and individual strategies are used. The coalition is working to pass a social host ordinance in the town of Buckeye and to limit access to alcohol by creating party patrols with local law enforcement. Community education will help the public understand the new ordinance and why it is important to the community.</p>	
Pima County—Tucson Commission on Addiction Prevention and Treatment	
Number of youth served	750
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: Pima County—Tucson Commission on Addiction Prevention and Treatment provides community assessment, mobilization, and public policy development for substance abuse treatment and prevention to decrease underage drinking.</p>	
Community Outreach Prevention Education (COPE) Coalition	
Number of youth served	1,642
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.copecoalitionaz.org
<p>Program description: The COPE Coalition uses environmental strategies, the Strategic Prevention Framework, and cultural competency to achieve community-level change in reducing underage drinking. The coalition serves the Maryvale community, where most residents are Hispanic and nearly 60 percent of youth report engaging in underage drinking. As a result, school suspensions, dropouts, expulsions, violent and property crimes, and drunk driving have increased. The Coalition seeks to reduce the accessibility and availability of alcohol to underage Hispanic youth by promoting and implementing: (a) Support and enforcement of a social host/unruly gatherings ordinance for the City of Phoenix; (b) Community education trainings targeting retail and social access to alcohol; (c) Youth Council meetings to engage youth in coalition activities; (d) A Promotoras program; and (e) Education on youth alcohol access and the need for restriction via various media outlets.</p>	
Copper Basin Coalition	
Number of youth served	100
Number of parents served	2,900
Number of caregivers served	12,000

Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.copperbasincoalition.weebly.com
Program description: The Copper Basin Coalition addresses binge drinking, underage drinking, and alcohol-related vehicle crash injuries among youth. The main targets are parents; social host programs/laws and parents pledge to maintain a safe home by using the following two Community Anti-Drug Coalitions of America (CADCA) prevention strategies: changing social norms and enacting social host policies.	
Making Alliances Through Neighborhood Organizing (MANO) Coalition	
Number of youth served	4,000
Number of parents served	500
Number of caregivers served	500
Numbers pertain to the 12 months ending	12/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The MANO Coalition focuses on reducing the number of adults who are willing to provide alcohol to underage youth. Lunch n' Learn sessions are held with parents at local businesses to increase awareness about the harms and legal consequences of providing alcohol to youth, while town hall meetings mobilize prevention of underage drinking. The coalition works with local merchants, retailers, schools, and businesses to promote the Draw the Line campaign and partners with other coalitions to work on passing a social host ordinance in the City of Phoenix.	
Mesa Prevention Alliance	
Number of youth served	8,000
Number of parents served	76
Number of caregivers served	900
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.communitybridgesaz.org
Program description: The Mesa Prevention Alliance (MPA) aims to strengthen collaboration throughout Mesa to reduce youth substance (ab)use by working with Mesa Public Schools to improve enforcement, educate parents on the ramifications of providing alcohol to youth, and engage in social marketing to change youth perceptions of underage drinking. The alliance partners with Mesa Police to increase enforcement targeting weekend parties. Alcohol vendors receive education and discouragement on product placement of alcohol aimed at youth.	
Stop Teen Underage Drinking Coalition	
Number of youth served	150
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Stop Teen Underage Drinking Coalition builds and sustains substance abuse prevention coalitions and youth advisory councils; each participating coalition operates in a grassroots approach. The project partners with other local agencies to determine necessary strategies for reducing and preventing substance (ab)use in Mohave County.	

South Mountain WORKS Coalition	
Number of youth served	4,000
Number of parents served	100
Number of caregivers served	400
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.facebook.com/pages/South-Mountain-WORKS-Coalition/106360516070777
<p>Program description: The South Mountain WORKS Coalition addresses the high rate of alcohol use among the community's youth and contributing variables, including ease of social access to alcohol. The program uses life skills development, peer leadership, public information and social marketing, community education, and community development, in which the Strategic Prevention Framework is used with community members. This process includes community assessment, capacity building, planning, implementation, and evaluation. Prevention Specialists offer program activities using evidence-based curricula (e.g., Project Alert) after school, between sessions, and during summer.</p>	
Urban Indian Coalition of Arizona	
Number of youth served	15
Number of parents served	15
Number of caregivers served	10,006
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.uicaz.org
<p>Program description: The Urban Indian Coalition provides community-based prevention programs to address underage drinking among Native American youth. The Coalition achieves this by promoting healthy lifestyles for Native American youth, families, and community members. The coalition seeks to reach a broad audience and build capacity through:</p> <ul style="list-style-type: none"> • Community education for parents and community members. • Public information and social marketing. • Life skills development in group settings for youth • Early identification and referral of individuals who may be at risk for substance abuse and other behavioral health issues. 	
Covert Underage Buyer (CUB) Program	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: In an effort to curb the sale of liquor to underage persons, the CUB program was instituted in May 2003. This program provides the resources necessary for the Department of Liquor License and Control (DLLC) to investigate complaints of liquor-licensed businesses suspected of underage liquor law violations. When DLLC has reasonable suspicion that a liquor-licensed establishment is selling liquor to underage customers, the agency will send in a covert underage buyer, or CUB, to attempt to purchase liquor. The CUBs are between the ages of 15 to 19 and are carefully trained by DLLC investigators to understand and follow State laws, including DLLC's CUB investigation guidelines. DLLC provides CUB program training to all Arizona law enforcement agencies, allowing the program to operate statewide.</p>	

TRACE (Target Responsibility for Alcohol Connected Emergency)	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.uicaz.org
<p>Program description: TRACE began in December 2004 to develop a system of effective and rapid communication between local law enforcement and emergency medical services personnel in high-profile cases that involve underage drinking. Each TRACE case is concluded only when the source of liquor is traced back to the supplier and the supplier is charged with a criminal and/or administrative violation. TRACE is now statewide with one full-time investigator available for immediate response to alcohol-related emergencies involving an underage person.</p>	
Underage Alcohol Enforcement and Education	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: This federally funded program allows overtime to enhance enforcement and education related to underage liquor activities in Arizona. Liquor activities include, but are not limited to, persons under the age of 21 years purchasing, possessing, and/or consuming spirituous liquor.</p>	
DUI Underage Drinking Enforcement	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	http://www.uicaz.org
<p>Program description: This federally funded program supports personnel, personnel expenses, and materials and supplies needed to conduct underage driving under the influence (DUI) enforcement and Title 4 (Arizona liquor law) training to law enforcement agencies throughout Arizona.</p>	
Enforcing the Underage Drinking Laws Program	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: The Enforcing the Underage Drinking Laws (EUDL) Program supports and enhances efforts by States and local jurisdictions to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. (Minors are defined as individuals less than 21 years old.)

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**

MASH Coalition

URL for more program information: <http://mashcoalition.org>

Program description: This prevention program focuses on developing life skills through seven sessions on decisionmaking, violence prevention, anger management, and conflict resolution. In emphasizing peer leadership, the Teen Outreach leadership program encourages students to volunteer in their local communities and gain useful skills and goal-oriented behaviors. Students are also provided with structured discussions, group exercises, role plays, guest speakers, and informational presentations to help them cope with important developmental tasks.

HEAAL Coalition

URL for more program information: <http://www.tdccorp.org/p/heaal-coalition.html>

Program description: Help Enrich African American Lives (HEAAL) Coalition provides teens with skills to maintain a drug-free life, to increase positive social interaction, and to increase healthy self-esteem and motivation for long-term goals. The program aims to reduce risk factors while building protective factors for substance abuse prevention. It offers interactive teen workshops, provides cultural competency trainings for professionals, and hosts Community Teen Forums to increase substance abuse awareness in the African American community.

MATForce

URL for more program information: <http://www.MATForce.org>

Tempe's Coalition to Prevent Underage Alcohol & Drug Use

URL for more program information: <http://www.tempe.gov/coalition>

Arizona Youth Partnership

URL for more program information: <http://azyp.org/>

Campesinos Sin Fronteras

URL for more program information: <http://campesinossinfronteras.org/>

Coconino County Juvenile Court

URL for more program information: <http://www.coconino.az.gov/courts.aspx?id=249>

Compass Health Care

URL for more program information: <http://www.compasshc.org/>

Jewish Family & Children's Services of Southern Arizona

URL for more program information: <http://www.jfcstucson.org/>

Parenting Arizona

URL for more program information: <http://www.parentingaz.org/>

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking Yes

Program description: Arizona has intergovernmental agreements with the Gila River Indian Community and Pascua Yaqui Tribe to provide alcohol-related substance abuse prevention services. Arizona subcontracts to private nonprofit corporations (Regional Behavioral Health Authorities) that:

1. Provide alcohol-related substance abuse prevention services directly to the San Carlos Apache Tribe and the Fort McDowell Nation.
2. Provide alcohol-related prevention services to the Ak-Chin Indian Community, Tohono O'odham Nation, Navajo Nation, and Hopi Nation.
3. Work collaboratively to write grants and develop capacity to deliver alcohol-related substance abuse prevention services with the Havasupai Nation, Hualapai Nation, Prescott Yavapai Nation, and White Mountain Apache Tribe.

The Arizona DLLC meets quarterly with three groups to discuss liquor-related concerns and solutions. Of the three groups, two represent all 22 of Arizona's federally Recognized Tribal Governments and are identified with an asterisk before the group name: *Indian Country Intelligence Network (ICIN), Arizona Police Chiefs Association, and *Tribal Gaming Office (TGO). All licensed establishments on Tribal land in the State of Arizona operate under Title 4 (Arizona liquor law).	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Program description: Luz Southside Coalition (in a southside neighborhood of Tucson) works with billboard companies in their neighborhood to limit billboards advertising alcohol. The Mesa Prevention Alliance (MPA; City of Mesa) partners with alcohol vendors to provide education and discourage product placement of alcohol aimed at youth.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
<p>Best practice standards description: A team of prevention experts convenes to review each prevention program and determine if the program is evidence based using the following criteria developed by the SAMHSA's Center for Substance Abuse Prevention (CSAP) guidance document. To be deemed evidence based, a program/strategy must meet one of the following three definitions below:</p> <ol style="list-style-type: none"> 1. Included on Federal Lists or Registry of evidence-based interventions; OR 2. Reported (with positive effects) in peer-reviewed journals; OR 3. Documented effectiveness supported by other sources of information and the consensus judgment of informed experts, as described in the following set of guidelines, all of which must be met: <ul style="list-style-type: none"> • Guideline 1: The intervention is based on a theory of change that is documented in a clear logic or conceptual model; AND • Guideline 2: The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature; AND • Guideline 3: The intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to scientific standards of evidence and with results that show a consistent pattern of credible and positive effects; AND • Guideline 4: The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review; local prevention practitioners; and key community leaders as appropriate, e.g., officials from law enforcement and education sectors or elders within indigenous cultures. Decisions are based on consensus. 	
Additional Clarification	
No data	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<p><i>Committee contact information:</i></p> <p>Name: Jeanne Blackburn Email: jblackburn@az.gov Address: 1700 West Washington Street, Suite 101, Phoenix, AZ 85007 Phone: 602-542-6004</p>	
<p><i>Agencies/organizations represented on the committee:</i></p> <p>Office of Governor Janice Brewer Governor's Office for Children, Youth and Families Arizona Department of Education Arizona Health Care Cost Containment System (State Medicaid)</p>	

Joint Counter Narcotic Task Force, Arizona National Guard Arizona Administrative Office of the Courts Arizona DLLC Governor's Office of Highway Safety Arizona Department of Public Safety Attorney General's Office Veterans' Administration Arizona Department of Economic Security Parker Area Alliance for Community Empowerment (substance abuse prevention coalition) Arizona Department of Health Services/Division of Behavioral Health Services Yuma County Sheriff's Office High Intensity Drug Trafficking Area Program, Office of National Drug Control Policy Arizona Department of Corrections COPE Community Services, Inc. (substance abuse treatment service provider) Arizona Department of Juvenile Corrections Arizona Criminal Justice Commission Phoenix Police Department Campus Health Center, Arizona's Institute of Higher Education Network Arizona State Liquor Board Arizona Mothers Against Drunk Driving Governor's Youth Commission Casa Grande Alliance (substance abuse prevention coalition) Arizona Students Against Destructive Decisions Hualapai Nation (Tribal) Arizona Governor's Commission on Service and Volunteerism Cenpatico Behavioral Health of Arizona (regional behavioral health authority) Gila County Sheriff's Office Graham County Anti-Meth Coalition Urban Indian Coalition of Arizona Coconino County Alliance Against Drugs Arizona Youth Partnership Meth-Free Alliance Greenlee County Sheriff's Office Pima County Community Prevention Coalition Arizona State University Treatment Assessment Screening Center, Inc. (substance abuse treatment service provider and provider of drug testing [urinalysis] services) Indian Health Service First Things First (birth to age 5 school readiness agency)	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://gocyf.az.gov/SAP/BRD_ASAP.asp	

Underage Drinking Reports

<i>State has prepared a plan on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Underage Drinking Prevention Committee	
Plan can be accessed via	No data

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Underage Drinking Prevention Committee	
Report can be accessed via	No data

Additional Clarification

The Arizona Substance Abuse Partnership (ASAP) was established by Executive Order 2007-12 in June 2007. Staffed by the Governor's Office for Children, Youth and Families and chaired by the Governor's Deputy Policy Director, ASAP is composed of representatives from State governmental bodies, Federal entities, and community organizations as well as individuals in recovery. ASAP serves as the single statewide council on substance abuse prevention, enforcement, treatment, and recovery efforts and,

through its Chair, is able to communicate the needs of the State to the Governor. ASAP works on strategic focus areas, including underage drinking, which are addressed through clear action steps carried out by member agencies. It is ASAP's mission to ensure community-driven, agency-supported outcomes to prevent and reduce the negative effects of alcohol, tobacco, and drugs by building and sustaining partnerships between prevention, treatment, recovery, and enforcement professionals.

Arizona's Underage Drinking Prevention Committee (UAD Committee) was established as a subcommittee under ASAP in 2005. The Governor's Office for Children, Youth and Families staffs this interagency committee, which is responsible for coordinating underage drinking prevention efforts across relevant State systems. The committee has worked tirelessly to address the problem of underage drinking in Arizona by assessing statewide epidemiological data, resources, strategies, and policies, and by building relationships with Tribes, youth, law enforcement, government agencies, and community coalitions. Partners on the committee include deputy directors, decisionmakers, and representatives from government and advocacy agencies that directly influence underage drinking. The UAD Committee works to maintain a comprehensive statewide prevention system to prevent and reduce underage drinking and to improve the health and safety of the citizens of Arizona by:

- Influencing State-level infrastructure change to improve cross-coordination and collaboration between agencies working to reduce UAD.
- Modifying social and economic norms, conditions, and adverse consequences resulting from alcohol availability, manufacturing, distribution, promotion, sales, and use.
- Effectively addressing at-risk and underserved youth populations and their environments.

A sample of the accomplishments of the UAD Committee includes:

- Expanded an online database (SYNAR) to collect information on vendors and their use of point-of-sale advertising for alcohol.
- Contributed to the passing of local social host ordinances that target adults who fail to use reasonable precaution to keep alcohol out of the hands of minors in several communities in Arizona.
- Created a "How to Restrict Public Drinking" brochure and provided training to community-based substance abuse coalitions on this environmental prevention strategy.
- Hosted webinar trainings for prevention providers on environmental prevention strategies.
- Attempted to discontinue the sale of Old Navy merchandise that promotes binge drinking among youth.
- Recruited schools in Tribal nations and non-Tribal communities to participate in the 2010 Arizona Youth Survey.
- Encouraged community coalitions to use the Draw the Line Campaign Web site's tools, templates, and information to change parental perceptions of underage alcohol use as a rite of passage.
- Prepared materials for workplace training on the legal aspects of underage alcohol use and parenting skills.
- Completed an inventory of community-based substance abuse prevention coalitions in Arizona, which revealed that more than 100 coalitions are actively implementing substance abuse prevention programs in Arizona.

The Community Advisory Board (CAB), as described in the ASAP Executive Order, represents counties and Tribal communities. CAB provides perspective to ASAP on community-specific issues throughout the State with a special emphasis on rural communities. CAB brings representatives from community coalitions around the State together to improve substance abuse prevention, treatment, and enforcement policy and programs. CAB provides an essential link between communities and ASAP, ensuring that Arizona has a comprehensive substance abuse infrastructure responsive to and inclusive of its citizens. Continuing efforts focus on expanding the use of social media to connect community coalitions across the State and to share best practices and advocate for prevention, and building prevention capacity at State and local levels through ASAP and its member agencies and organizations. Moving forward, the efforts of CAB will be combined with those of the UAD Committee to provide an essential link between community partners and their efforts and the Arizona agencies and entities responsible for State-level underage drinking policy. This expanded group has the capacity to coordinate and address underage drinking prevention activities through its connection to ASAP. This important body will continue to bring a voice to ASAP, reporting on important issues in Arizona's communities in a way that is productive and facilitates

work in the Strategic Focus Areas; will help communities improve their capacity to identify emerging trends; and will help them take action and report them to the proper institutions. Using the data available through the Substance Abuse Epidemiology Work Group, this group will effectively target populations and programs. Furthermore, this body will serve as a resource for communities and the State to identify the most effective ways of influencing substance abuse by working collaboratively and targeting limited resources where they are most needed.

State Expenditures for the Prevention of Underage Drinking		
<i>Compliance checks/decoy operations in retail outlets:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Checkpoints and saturation patrols:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Community-based programs to prevent underage drinking:</i>		
Estimate of State funds expended		\$100,000
Estimate based on the 12 months ending		06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Programs targeted to institutes of higher learning:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Programs that target youth in the child welfare system:</i>		
Estimate of State funds expended		Data unavailable
Estimate based on the 12 months ending		Data unavailable
<i>Other programs:</i>		
Programs or strategies included		No data
Estimate of State funds expended		No data
Estimate based on the 12 months ending		No data
Funds Dedicated to Underage Drinking		
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>		
Taxes		Yes
Fines		No
Fees		No
Other		No data
<i>Description of funding streams and how they are used:</i>		
No data		
Additional Clarification		
No data		



Arkansas

State Profile and Underage Drinking Facts

State Population: 2,915,918
Population Ages 12–20: 346,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.4	81,000
Past-Month Binge Alcohol Use	14.9	52,000
Ages 12–14		
Past-Month Alcohol Use	7.8	9,000
Past-Month Binge Alcohol Use	3.6	4,000
Ages 15–17		
Past-Month Alcohol Use	21.5	26,000
Past-Month Binge Alcohol Use	14.2	17,000
Ages 18–20		
Past-Month Alcohol Use	40.8	46,000
Past-Month Binge Alcohol Use	26.8	30,000
Alcohol-Attributable Deaths (under 21)		Number
		60
Years of Potential Life Lost (under 21)		3,595

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	23.0	22

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Note: Arkansas provides that “intoxicating liquor, wine, or beer in the body of a minor is deemed to be in his or her possession” (Ark. Code Ann. § 3-3-203(a)(2)). Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting Internal Possession, for purposes of this report.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure for those under 18 years old and through an administrative process for those 18 to 21 years old.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.
- It is a criminal offense to manufacture or distribute a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- No statutory affirmative defense—statutes do not provide an affirmative defense related to retailer’s belief that the minor was age 21 or older..
- Retailer has the authority to detain a minor suspected of using a false ID in connection with the purchase of alcohol.

Note: Under Ark. Code Ann. § 5-27-503(b), a seller’s detention of a person under 21 for use of false identification “shall not include a physical detention.”

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Provisions Applicable to Minors Under Age 18

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver’s License Sanction

- Discretionary

Length of Suspension/Revocation

- Minimum: 0 days
- Maximum: Not specified

Provisions Applicable to Minors Ages 18 to 21

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 60 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14
- Minimum learner-stage period: 6 months
- No minimum supervised driving requirement

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 11 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one unrelated minor passenger under 21, unless accompanied by driver in front seat who is 21 or older.
- Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s): EITHER

- Parent/guardian OR
- Spouse

Note: Arkansas’s statute regarding furnishing alcohol to any person under 21 includes an exception for “family” members, but does not specify which family members. For the purposes of this report, the phrase “family” is interpreted as including a spouse.

Compliance Check Protocols

Age of Decoy

- Minimum: 16
- Maximum: 19

Appearance Requirements

- Males: No facial hair.
- Females: No excessive jewelry or makeup

ID Possession

- Required

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified—watch video

Penalty Guidelines for Sales to Minors

No data

Responsible Beverage Service

Voluntary Beverage Service Training

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Incentive for Training

- Mitigation of fines or other administrative penalties for sales to minors

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 21

Minimum Ages for On-Premises Sellers

- Beer: 19 for servers and 21 for bartenders
- Wine: 19 for servers and 21 for bartenders
- Spirits: 19 for servers and 21 for bartenders

Dram Shop Liability

Statutory liability exists.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Exception(s): Family

Note: Arkansas's social host provision applies only to a person who is present and in control of the private property at the time the consumption occurs.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are not permitted.

Keg Registration

- Keg definition: Liquid capacity of more than 5 gallons
- Prohibited:
 - Possessing an unregistered, unlabeled keg—maximum fine/jail: \$1,000/90 days
 - Destroying the label on a keg—maximum fine/jail: \$1,000/90 days
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit required: \$75
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Prohibited
- Wine: Prohibited
- Spirits: Prohibited

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.24 per gallon
- Ad valorem excise tax (off-premises sales): 1 percent

\$0.20 per gallon for alcohol content of more than 6.25 percent, with 3 percent off-premises ad valorem retail rate. Ad valorem tax applied at retail level.

Wine (12 percent alcohol)

- Specific excise tax: \$0.75 per gallon
- Ad valorem excise tax (off-premises sales): 3 percent

Ad valorem tax applied at retail level.

Spirits (40 percent alcohol)

- Specific excise tax: \$2.50 per gallon
- Ad valorem excise tax (on-premises sales): 14 percent
- Ad valorem excise tax (off-premises sales): 3 percent

\$1.00 per gallon for alcohol content of less than 26.25 percent but more than 6.25 percent. Ad valorem tax applied at retail level.

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit not permitted

Wine (12 percent alcohol)

- Retailer credit not permitted

Spirits (40 percent alcohol)

- Retailer credit not permitted

Arkansas State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
This duty is shared among all law enforcement agencies in the State. However, the Arkansas Beverage Control Division has the power and duty assigned to it by the Arkansas General Assembly to regulate, supervise, and control the manufacture, distribution, and sale of all alcoholic beverages and the issuance of permits.	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>	
Local law enforcement agencies work in conjunction with agents of the Alcohol Beverage Control Division and Arkansas State Police to enforce underage drinking laws. Partially funded by the Enforcing Underage Drinking Laws grant, local and State law enforcement have conducted roadblocks, compliance checks, Shoulder Taps, and Cops in Shops as part of the joint effort in enforcing the underage drinking laws.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws	Yes
addressing direct sales/shipments of alcohol to minors	Alcohol Beverage Control Enforcement
Such laws are also enforced by local law enforcement agencies	Unknown
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	Yes
Number pertains to the 12 months ending	No data
Data include arrests/citations issued by local law enforcement agencies	No data
	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	3,012
Number of licensees that failed State compliance checks	269
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	No
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes
Total amount in fines across all licensees	247
Numbers pertain to the 12 months ending	\$126,400
	12/31/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of suspensions imposed by the State ⁴	8
Total days of suspensions across all licensees	23
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Underage Drinking Prevention & Education</i>	
Number of youth served	359
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data
<p>Program description: Funded by subgrant issued by the Arkansas Department of Finance and Administration under the Enforcing Underage Drinking Laws grant. A collaborative prevention-based initiative focusing on the reduction of the onset and current use of alcohol among youth in Hempstead, Howard, Little River, and Miller counties. The primary goal is to provide an opportunity for youth leaders to be formally trained in prevention, advocacy, substance education, and leadership to serve as peer leaders and role models for the youth population at large. The secondary goal is to offer opportunities for the trained youth to make positive decisions—to take the training they receive and work in conjunction with law enforcement and adult task forces to present community educational programs, parent meetings, family-building workshops, and mentoring to younger peers.</p>	
<i>Strategies To Reduce Underage Drinking in Madison County</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for report:	No data
URL for more program information:	No data
<p>Program description: Through collaboration, the Madison County Sheriff's Office, Huntsville School District, Huntsville Police Department, and Madison County Community Coalition have implemented the following strategies:</p> <ul style="list-style-type: none"> • Compliance checks (environmental enforcement strategy) • Fatal vision education (educational strategy) • Education through Red Ribbon Week (educational strategy) • Education through 8th-grade Teen Summit (educational strategy) • Social norm advertising campaign (environmental strategy) 	

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**
Arkansas Collegiate Drug Education Committee (ACDEC)

 URL for more program information: <http://www.acdec.org>

Program description: Funded in part by a subgrant from Arkansas Department of Finance and Administration under the Enforcing Underage Drinking Laws grant, ACDEC is a collaboration of colleges and universities across the State. ACDEC provides support to member colleges in the form of minigrants for underage drinking prevention and education initiatives. ACDEC is a unique program in the state of Arkansas in that it focuses on the college-age population. ACDEC provides an array of innovative and impactful education and prevention programming, such as Mock-tail parties, Spring Break programs, and leadership training.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No recognized Tribes
Program description	Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Best practice standards description: <ul style="list-style-type: none"> • Conduct a statewide media campaign that has been researched for proven effectiveness. The campaign needs to target adults and their responsibilities for preventing/reducing underage drinking. It also needs to target youth to prevent the onset of underage drinking. • Engage community coalitions to serve as messengers on the local level to address social norms, alcohol access/availability, media messages, policies, and enforcement. • Collaborate with statewide media sources to promote positive outcomes that occur when young people do not drink alcohol. • Evaluate current underage drinking-related statutes to determine if an Omnibus Law is needed for consistent support of enforcement. • Acknowledge that comprehensive social host laws are needed to hold individuals responsible for serving alcohol to minors. 	

Additional Clarification

No data

State Interagency Collaboration

<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
--	-----

Committee contact information:

No data

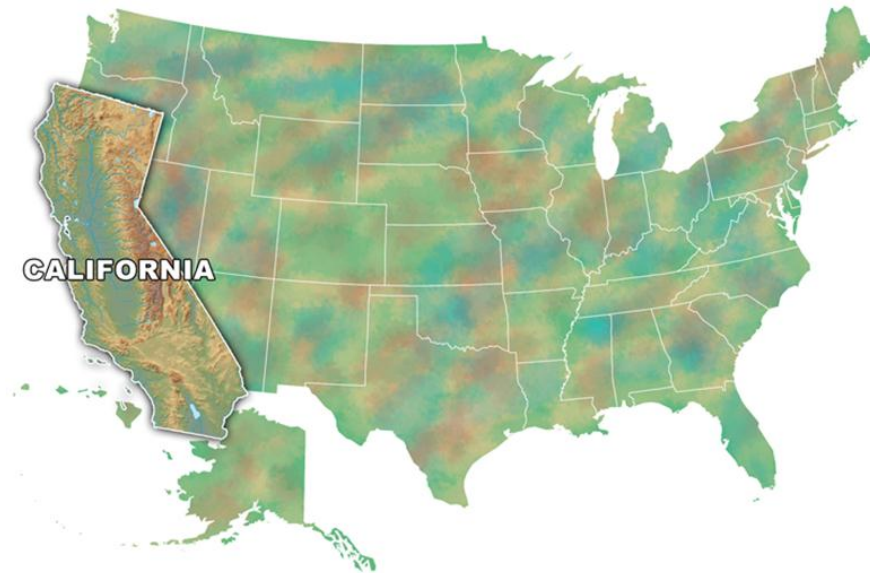
Agencies/organizations represented on the committee:

Mothers Against Drunk Driving
 University of Arkansas for Medical Sciences—College of Medicine
 Arkansas Department of Education
 Arkansas Collegiate Drug Education Committee
 Arkansas Beverage Control Enforcement
 Arkansas State Police Highway Safety Office
 Arkansas State Drug Director
 Director of Prevention Services
 Arkansas Department of Health & Human Services
 Arkansas Division of Youth Services

<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://www.arunderagedrinking.com/task_force.asp	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Office of Alcohol and Drug Abuse Prevention	
Plan can be accessed via: http://www.arunderagedrinking.com/statistics.asp	
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used</i>	No data
Additional Clarification	
No data	



California

State Profile and Underage Drinking Facts

State Population: 37,253,956
Population Ages 12–20: 4,853,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	25.6	1,244,000
Past-Month Binge Alcohol Use	17.0	826,000
Ages 12–14		
Past-Month Alcohol Use	5.2	78,000
Past-Month Binge Alcohol Use	2.7	41,000
Ages 15–17		
Past-Month Alcohol Use	23.2	382,000
Past-Month Binge Alcohol Use	14.3	235,000
Ages 18–20		
Past-Month Alcohol Use	46.1	783,000
Past-Month Binge Alcohol Use	32.4	550,000
Alcohol-Attributable Deaths (under 21)		Number 542
Years of Potential Life Lost (under 21)		32,127

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	27.0	130

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private location OR EITHER
- Parent/guardian OR
- Spouse

Note: California’s “Any Private Location” exception excludes possession in motor vehicles. California’s statute regarding possession of alcohol by a person under 21 includes an exception for “responsible adult relative” but does not specify which relatives are included. For purposes of this report, the phrase “responsible adult relative” is interpreted as including a spouse.

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under 21 are easily distinguishable from those for drivers 21 and older.
- Retailers are permitted to seize apparently false IDs.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.01
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 365 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15 years, 6 months
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 11 p.m.
 - No primary enforcement of the night-driving rule
- Passenger restrictions exist: No passengers under 20, unless accompanied by a parent, guardian, instructor, or licensed driver over 25
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols

Age of Decoy

- Minimum: Not specified
- Maximum: 19

Appearance Requirements

- No hats, sunglasses, tattoos, visible body piercing, clothing with college or alcohol verbiage/logos
- Minimal jewelry
- Not large in stature
- Appropriate dress for age
- Hair that does not obscure facial features
- Male: No facial hair, really short hair, balding, or receding hairline

- Female: Minimal makeup, no provocative clothing

ID Possession

- Discretionary

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

- Time period/conditions: 3 years
- First offense: \$3,000 fine or 15-day license suspension
- Second offense: Between \$2,500 and \$20,000 fine or 25-day license suspension
- Third offense: License revocation

Note: Retailer has option to accept fine in lieu of suspension. Lists of aggravating and mitigating factors are provided.

Responsible Beverage Service

Voluntary Beverage Service Training

- The law does not specify on- or off-sale establishments.
- The law does not specify new or existing outlets.

Incentive for Training

- Mitigation of fines or other administrative penalties for sales to minors

Minimum Ages for Off-Premises Sellers

- Beer: Not specified
- Wine: Not specified
- Spirits: Not specified

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Minimum Ages for On-Premises Sellers

- Beer: 18 for servers and 21 for bartenders
- Wine: 18 for servers and 21 for bartenders
- Spirits: 18 for servers and 21 for bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Minor must be obviously intoxicated at time of furnishing alcohol

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Knowledge of underage status

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements: None

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: 6 gallons or more
- Prohibited: Possessing unregistered, unlabeled keg—maximum fine/jail: \$1,000/6 months
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Not required
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.20 per gallon
- Wine (12 percent alcohol): Specific excise tax is \$0.20 per gallon
- Spirits (40 percent alcohol): Specific excise tax is \$3.30 per gallon

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Not prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Price posting requirements: Post
- Retailer credit: Restricted—30 days maximum

Wine (12 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Retailer credit: Restricted—30 days maximum

California State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Alcoholic Beverage Control	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> Please visit http://www.abc.ca.gov/programs/programs.html for more information on coordinated efforts by enforcement agencies that enforce laws prohibiting underage drinking.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Alcoholic Beverage Control
Such laws are also enforced by local law enforcement agencies	Unknown
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	506
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	4,769
Number of licensees that failed State compliance checks	670
Numbers pertain to the 12 months ending	06/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	8,551
Number of licensees that failed local compliance checks	1,207
Numbers pertain to the 12 months ending	06/30/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	1,267
Total amount in fines across all licensees	\$3,801,000
Numbers pertain to the 12 months ending	06/30/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	No
Total days of suspensions across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of license revocations imposed ⁵	40
Numbers pertain to the 12 months ending	06/30/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
Not applicable	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	No data
Program description: Please refer to clarification at the end of this section.	
Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking	
Safe and Drug Free Schools and Communities (SDFSC)—Governor's Program	
URL for more program information: http://www.adp.ca.gov/FactSheets/	
Program description: The SDFSC Governor's Program is designed to serve children and youth who are not normally served by State or local educational agencies and populations that need special services or additional resources such as youth in juvenile detention facilities, runaway or homeless children and youth, pregnant and parenting teenagers, and school dropouts.	
Negotiated Net Amount (NNA) Contract for Substance Abuse Prevention and Treatment Block Grant (SAPT-BG)-Funded Primary Prevention Services	
URL for more program information: http://www.adp.ca.gov/FactSheets/	
Program description: Through the NNA Contract, the State Department of Alcohol and Drug Programs (ADP) funds counties to address problems and priorities determined through the Strategic Prevention Framework. Based on their local needs assessment, counties prioritize and identify strategies, best practices, policies, and programs to best suit local needs. Prevention programs throughout the State offer a comprehensive approach that may focus on alcohol and drug issues.	

Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No
Program description	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description	Not applicable
Additional Clarification	
<p>State ADP does not collaborate directly with recognized Tribal governments; however, through needs assessments at the county/local level, counties may identify this population as a priority population and collaborate in the prevention of underage drinking. State ADP does not directly implement programs. Through the SPF, many of California's 58 counties have identified underage alcohol use as a priority area. According to the California Outcomes Measurement Service for Prevention Annual Report for fiscal year 2008-09, 31 counties identified underage drinking as a priority area in their strategic plans and 24 counties identified youth access to alcohol as a priority area in their strategic plans.</p>	
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<p><i>Committee contact information:</i> Name: Denise Bennett, GPAC Coordinator Email: dbennett@adp.ca.gov Address: 1700 K Street, 4th Floor, Sacramento, CA 95811 Phone: 916-327-4076</p>	
<p><i>Agencies/organizations represented on the committee:</i> Department of Alcohol and Drug Programs Alcoholic Beverage Control Attorney General's Office California Community Colleges California Conservation Corps Department of Public Health California Highway Patrol California Emergency Management Agency Office of the Chancellor, California State University Office of the President of the University of California Office of Traffic Safety Department of Mental Health Department of Social Services Superintendent of Public Instruction, Department of Education Department of Rehabilitation California National Guard Governor's Office of Planning and Research</p>	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access:	http://www.adp.ca.gov/Prevention/gpac.shtml
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
<p>Prepared by: The most recent Biennial California Student Survey (CSS) was conducted by the Crime and Violence Prevention Center of the California Attorney General's Office, Department of Alcohol and Drug Programs, and the California Department of Education through a contract with WestEd. Please note that the Crime and Violence Prevention Center was closed as of August 2008. Another survey with alcohol, tobacco, and drug data related to youth is the California Healthy Kids Survey (CHKS).</p>	

Plan can be accessed via:	The 12th Biennial CSS Report for 2007-2008 is available at http://www.wested.org/online_pubs/hhdp/css_12th_highlights.pdf . The CHKS results are available at http://chks.wested.org/indicators .
---------------------------	--

Additional Clarification

The Governor's Prevention Advisory Council (GPAC) was established to coordinate the State's strategic efforts to achieve reductions in the incidence and prevalence of the inappropriate use of alcohol, tobacco, and drugs. GPAC members maintain autonomy while carrying out GPAC prevention objectives through their respective organizations. GPAC subcommittees address such issues as alcohol policy and underage drinking prevention.

State Expenditures for the Prevention of Underage Drinking

Compliance checks/decoy operations in retail outlets:

Estimate of State funds expended	\$4,425,685
Estimate based on the 12 months ending	06/30/2010

Checkpoints and saturation patrols:

Estimate of State funds expended	\$4,205,910
Estimate based on the 12 months ending	09/30/2010

Community-based programs to prevent underage drinking:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

K-12 school-based programs to prevent underage drinking:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Programs targeted to institutes of higher learning:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Programs that target youth in the juvenile justice system:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Programs that target youth in the child welfare system:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Other programs:

Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	No data

Description of funding streams and how they are used: No data

Additional Clarification

Under the directive of SAMHSA, States are required to provide data on all Substance Abuse Prevention and Treatment Block Grant (SAPT BG)-funded primary prevention services. Counties enter their data into the California Outcomes Measurement Service for Prevention, which is then used to provide data for the SAPT BG application. Funding is tracked by the six Primary Prevention CSAP strategies and three Institute of Medicine (IOM) categories. Prevention program data is not broken down by cost per service or identified issues such as underage drinking.

Underage drinking is being addressed in California; however, prevention programming throughout the State is not narrowly defined to address one topic. Therefore, data is not collected by cost per service. When asking other agencies how much they invest in underage drinking, we sometimes find that they do not view their services as having this specific purpose and thus cannot isolate the dollar amounts requested in the survey. For example, the Department of Education and Higher Education Systems may consider underage drinking as a component of a program to improve overall academic performance and reduce dropout rates, but data on the cost per service specific to underage drinking is not collected.



Colorado

State Profile and Underage Drinking Facts

State Population: 5,029,196
Population Ages 12–20: 570,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	32.1	183,000
Past-Month Binge Alcohol Use	19.7	112,000
Ages 12–14		
Past-Month Alcohol Use	10.1	19,000
Past-Month Binge Alcohol Use	3.5	7,000
Ages 15–17		
Past-Month Alcohol Use	29.6	58,000
Past-Month Binge Alcohol Use	18.5	36,000
Ages 18–20		
Past-Month Alcohol Use	57.2	106,000
Past-Month Binge Alcohol Use	37.4	69,000
Alcohol-Attributable Deaths (under 21)		Number 74
Years of Potential Life Lost (under 21)		4,442

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	20.0	14

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private location AND
- Parent/guardian

Note: Colorado's exception requires the knowledge and consent of the owner of the private property when minors possess alcohol (in addition to the consent and presence of a parent or guardian).

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private location AND
- Parent/guardian

Note: Colorado's exception requires the knowledge and consent of the owner of the private property when minors consume alcohol (in addition to the consent and presence of a parent or guardian).

Internal Possession by Minors

Internal possession is prohibited with the following exception(s):

- Private location AND
- Parent/guardian

Note: Colorado's exception requires the knowledge and consent of the owner of the private property when minors possess or consume alcohol (in addition to the consent and presence of a parent or guardian).

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial procedure.

Note: In Colorado, the license revocation period for a first conviction of obtaining or attempting to obtain an alcoholic beverage by misrepresentation of age is 24 hours of public service, if ordered by the court, or 3 months.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.
- Retailer has the authority to detain a minor suspected of using a false ID in connection with the purchase of alcohol.

Laws Targeting Underage Drinking and Driving**BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)**

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: Not specified
- Maximum: 90 days

Graduated Driver’s License***Learner Stage***

- Minimum entry age: 15
- Minimum learner-stage period: 12 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - No primary enforcement of the night-driving rule
- Passenger restrictions exist: For first 6 months, no passengers under 21 unless immediate family member; second 6 months, only one passenger under 21 who is not immediate family
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Private location AND
- Parent/guardian

Compliance Check Protocols

Age of Decoy

- Minimum: 18
- Maximum: 20

Appearance Requirements

- Age-appropriate appearance with no age enhancements

ID Possession

- Discretionary

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

- Time period/conditions: 1 year
- First offense: Written warning to up to 15-day license suspension—accepting fine in lieu of 15 days of suspension is discretionary
- Second offense: 25-day suspension, 10 days served, 15 held in abeyance
- Third offense: 44-day suspension, 20 served and 24 held in abeyance
- Fourth offense: 45-day or more license suspension or license revocation

Note: Lists of aggravating and mitigating factors are provided. Responsible alcohol vendors may receive a warning on the first offense.

Responsible Beverage Service

Voluntary Beverage Service Training

- The law does not specify on- or off-sale establishments.
- The law does not specify new or existing outlets.

Incentive for Training

- Mitigation of fines or other administrative penalties for sales to minors.

Note: No person shall be employed to serve alcohol beverages or provide security within a “common consumption area,” that is, an area designed as a common area in an “entertainment district” approved by the local licensing authority that uses physical barriers to close the area to motor vehicle traffic and limit pedestrian access, unless the server has completed the server and

seller training program established by the director of liquor enforcement. An “entertainment district” is a designated area within a municipality with no more than 100 acres containing at least 20,000 feet of premises licensed as a tavern, hotel and restaurant, brew pub, retail gaming tavern, or vintner’s restaurant when the district is created.

Minimum Ages for Off-Premises Sellers

- Beer: 21
- Wine: 21
- Spirits: 21

Note: Although employees must be at least 21 years old to sell malt, vinous, or spirituous liquors in a retail liquor store, employees at least 18 years old may sell fermented malt beverages containing not more than 3.2 percent alcohol by weight in establishments where fermented malt beverages are sold at retail in containers for off-premises consumption.

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Note: Persons under 21 years old employed to sell or dispense malt, vinous, or spirituous liquors must be supervised by another person who is on premise and has attained 21 years of age.

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$280,810 per person
- Limitations on elements/standards of proof: Knowledge of underage status

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$280,810 per person
- Limitations on elements/standards of proof: Knowledge of underage status

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirement

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

Not required

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted—State permit required
- Wine: Permitted—State permit required
- Spirits: Permitted—State permit required

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.08 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.32 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$2.28 per gallon.

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Minimum markup/Maximum discount: Yes—no sales below cost
- Retailer credit: Restricted—30 days maximum

Wine (12 percent alcohol)

- Minimum markup/Maximum discount: Yes—no sales below cost
- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Minimum markup/Maximum discount: Yes—no sales below cost
- Retailer credit: Restricted—30 days maximum

Colorado State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Department of Revenue/Liquor Enforcement Division (LED)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> LED provides training to and conducts enforcement with local and county law enforcement agencies. These operations include compliance checks, party patrols, and event patrols. LED and local law enforcement also conduct independent enforcement operations. LED, as recipient of the Enforcing Underage Drinking Laws (EUDL) Grant, awards subgrants to several local and county law enforcement agencies and works jointly with these agencies in enforcing underage drinking laws. EUDL subgrantees work with community-based organizations that provide programs and services that enhance local enforcement efforts.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws	Department of Revenue/Liquor Enforcement Division
addressing direct sales/shipments of alcohol to minors	Enforcement Division
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	331
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	1323
Number of licensees that failed State compliance checks	253
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Data not collected
Total amount in fines across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of suspensions imposed by the State ⁴	269
Total days of suspensions across all licensees	No data
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Persistent Drunk Driver

Number of youth served	246,724
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: Pursuant to legislation passed in 1998, penalties were increased for high blood alcohol concentration (BAC) and repeat driving under the influence (DUI) offenders. Referred to as the Persistent Drunk Driver (PDD) Act of 1998, this legislation defined the PDD program and created the PDD Cash Fund, which is funded by a surcharge imposed on convicted driving while alcohol impaired (DWAI)/DUI offenders. Monies in the PDD fund are subject to annual appropriation by the general assembly, with the scope of their use stipulated by statute. Overall, the primary purpose of the fund is to support programs intended to prevent persistent drunk driving or intended to educate the public, with particular emphasis on the education of young drivers regarding the dangers of persistent drunk driving.

Authorizing legislation/grant: C.R.S 42-3-303, et seq. (House Bill 98-1334) sponsors Hopper/Hagedorn.

Population served: Programs, practices, and approaches cover a wide range of prevention activities including collaboration with local organizations; conducting educational programs for young people, parents, enforcement officials, community and business leaders, healthcare providers, school personnel, and others; promoting governmental and voluntary policies to promote alcohol-free activities for citizens; and restricted access to alcoholic beverages.

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

Law Enforcement Assistance Funds

URL for more program information: No data

Program description: The Law Enforcement Assistance Fund (LEAF) increases the capacity for comprehensive impaired driving education and underage drinking prevention at the local level.

Authorizing legislation/grant: CRS 43-4-401 et seq. allocates a portion of funds to the Colorado Department of Human Services, Division of Behavioral Health. These dollars are used to establish a statewide program for the prevention of driving after drinking, including educating the public about the problems of driving after drinking, preparing and disseminating educational materials dealing with the effects of alcohol and other drugs on driving behavior, and preparing and disseminating educational curriculum materials for use at all levels of school.

Population served: Across Colorado, individuals, organizations, and community coalitions are actively engaged in broad-based and coordinated activities designed to reduce underage access to alcohol and to prevent impaired driving. These programs, practices, and approaches cover a wide range of prevention activities including collaboration with local organizations; conducting educational programs for young people, parents, enforcement officials, community and business leaders, healthcare providers, school personnel, and others; and promoting governmental and voluntary policies to promote alcohol-free activities for citizens and to restrict access to alcoholic beverages for underage persons.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking Yes

Description of collaboration: Ignacio, CO, a multiethnic community with a Native American presence, has undertaken a 5-year approach affirming a course correction in its prevention strategy. With the Boys and Girls Club (BGC) of the Southern Ute Indian Tribe and the Ignacio School District, Southern Ute Community Action Programs (SUCAP) conducts evidence-based programming covering a younger age group. The BGC Stay Smart program targets youth ages 9 to 11, with a Native Hip Hop adaptation of the Smart Leaders program creating a role for youth ages 12 and older. SUCAP operates Project Venture, an afterschool activity combining classroom concept-building with challenging outdoor activities developed for Native communities. Outreach is based on relationship building with youth in the schools and the Ignacio Teen Center to create a continuum of contacts and dosage along with process and outcome evaluation.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program description: Corona Insights, on behalf of Peter Webb PR, conducted the following research to aid the development of a social norming campaign for Western State College as part of the PDD program. This research, conducted during the beginning of the Fall semester 2010, works to identify the current state of student perceptions regarding alcohol use and driving while impaired, actual behavior related to driving under the influence, and awareness of drinking and driving media messages. This survey's goal was to establish a baseline for the 2010-2011 campaign as well as to continue informing future campaigns.

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Best practice standards description: Each funded agency is required to present and follow programs that are evidence based and data driven. They are allowed to choose from many different evidence-based curriculums with the intention of reducing the percentage of underage drinking in their particular community. Data is collected from each provider every month. At the end of the fiscal year, evaluation reports with aggregate data will be collected to determine the overall effectiveness of each individual program as well as the underage drinking prevention program as a whole.

Additional Clarification

No data

State Interagency Collaboration

A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Christine Flavia
Email: christine.flavia@state.co.us
Address: 3824 W Princeton Circle, Denver, CO 80236
Phone: 303-866-7503

<i>Agencies/organizations represented on the committee:</i>	
Colorado Department of Revenue/Division of Motor Vehicles	
Colorado Department of Transportation/Office of Transportation Safety	
Colorado Judicial Department/Division of Probation Services	
Colorado Department of Human Services/Division of Behavioral Health	
<i>A Web site or other public source exists to describe committee activities</i>	No
URL or other means of access	Not applicable

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Unsure
Prepared by	No data
Plan can be accessed via	No data
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Colorado Department of Human Services/Division of Behavioral Health	
Plan can be accessed via: http://www.colorado.gov/cs/Satellite?c=Page&childpagename=CDHS-BehavioralHealth%2FCBONLayout&cid=1251581449373&pagename=CBONWrapper	
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$665,914
Estimate based on the 12 months ending	06/30/2011
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included: Persistent Drunk Driver Law Enforcement Assistance Funds	
Estimate of State funds expended	\$665,914
Estimate based on the 12 months ending	06/30/2011

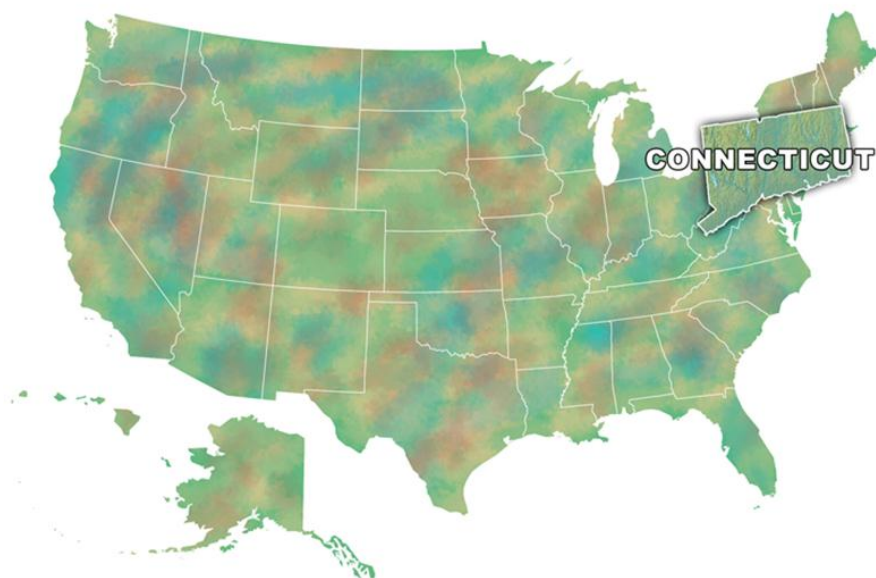
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	Yes
Fees	Yes
Other	No data

Description of funding streams and how they are used:

The Division of Behavioral Health's Community Prevention Programs are committed to the development, expansion, and maintenance of the State prevention system by allocating Federal and State resources to support this effort. The strategies align with the SAMHSA Strategic Prevention Framework (SPF) model. Comprehensive primary prevention programs, practices, and approaches are activities and services provided in a variety of settings for both the general population and targeted subgroups who are at high risk for substance abuse. Primary prevention is directed at individuals who do not require treatment for substance abuse. In implementing comprehensive primary prevention programs, practices, and approaches, the State of Colorado uses a variety of strategies and initiatives to address alcohol, tobacco, and drug use. The intention of the PDD fund is for each agency to work from its unique entry point and begin to move through various steps of the SPF model over the period of the contract.

Additional Clarification

No data



Connecticut

State Profile and Underage Drinking Facts

State Population: 3,574,097
Population Ages 12–20: 428,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	32.7	140,000
Past-Month Binge Alcohol Use	24.2	104,000
Ages 12–14		
Past-Month Alcohol Use	4.9	7,000
Past-Month Binge Alcohol Use	2.4	3,000
Ages 15–17		
Past-Month Alcohol Use	31.6	49,000
Past-Month Binge Alcohol Use	23.2	36,000
Ages 18–20		
Past-Month Alcohol Use	60.6	85,000
Past-Month Binge Alcohol Use	46.3	65,000
Alcohol-Attributable Deaths (under 21)		Number 34
Years of Potential Life Lost (under 21)		2,000

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	43.0	14

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s): EITHER

- Parent/guardian OR
- Spouse

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial procedure.

Provisions Targeting Retailers

- State provides incentives to retailers who use electronic scanners that read birthdate and other information digitally encoded on valid identification cards.
- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver's License Suspension, Revocation, or Denial

- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 30 days

Note: In addition to the 30-day suspension penalty listed above, Connecticut imposes a license suspension of 60 days if underage possession occurs “on any public street or highway” (see Conn. Gen. Stat. §§ 14-111e(a), 30-89(b)(1)).

Graduated Driver’s License

Learner Stage

- Minimum entry age: 16
- Minimum learner-stage period: 4 months with driver education; 6 months without
- Minimum supervised driving requirement: 40 hours

Intermediate Stage

- Minimum age: 16 years, 4 months
- Unsupervised night driving
 - Prohibited after: 11 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: First 6 months, limited to one parent, instructor, or licensed adult who is at least 20 years old; second 6 months, expands to include immediate family
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18—passenger restrictions expire 12 months after issuance of intermediate license; unsupervised night-driving restrictions remain until age 18.

Note: A parent or guardian of any applicant less than 18 to whom a learner’s permit is issued on or after August 1, 2008, shall attend 2 hours of safe driving instruction with such applicant.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s): EITHER

- Parent/guardian OR
- Spouse

Compliance Check Protocols

Age of Decoy

- Minimum: Not specified
- Maximum: Not specified

Appearance Requirements

- No sweatshirts or other clothing appropriate for someone of legal age (e.g., military sweatshirts)

ID Possession

- Discretionary

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 15
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$250,000 per person.
- Limitations on elements/standards of proof: Minor must be intoxicated at time of furnishing.
- The courts recognize common law dram shop liability.

Note: A common law cause of action is not precluded by the dram shop statute. Under common law, the limitations on damages may be avoided.

Social Host Liability Laws

- There is no statutory liability.
- The courts recognize common law social host liability.

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Preventive action by the host negates the violation
- Exception(s): Family

Note: The “preventive action” provision in Connecticut requires the prosecution to prove that the host failed to take preventive action.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Producer must verify age of purchaser—ID check is required at some point prior to delivery.
- Common carrier must verify age of recipient—ID check is required at some point prior to delivery.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Producer must record/report purchaser's name.
- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: 6 gallons or more
- Prohibited: Possessing an unregistered, unlabeled keg—maximum fine/jail: \$500/3 months
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Passive—no purchaser action required
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.24 per gallon

Connecticut imposes a tax of \$7.20 per barrel, defined as “not less than twenty-eight nor more than thirty-one gallons,” and \$0.24 per wine gallon or fraction thereof on quantities less than a quarter barrel.

Wine (12 percent alcohol)

- Specific excise tax: \$0.72 per gallon

Spirits (40 percent alcohol)

- Specific excise tax: \$5.40 per gallon

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Banned
- Minimum markup/Maximum discount: Yes—no sales below cost
- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Wine (12 percent alcohol)

- Volume discounts: Banned
- Minimum markup/Maximum discount: Yes—no sales below cost
- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Volume discounts: Banned
- Minimum markup/Maximum discount: Yes—no sales below cost
- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Connecticut Survey Responses

State Agency Information		
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>		
Department of Consumer Protection - Liquor Control Division		
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>		
No data		
Enforcement Strategies		
<i>State law enforcement agencies use:</i>		
Cops in Shops		No
Shoulder Tap Operations		No
Party Patrol Operations or Programs		Yes
Underage Alcohol-Related Fatality Investigations		Yes
<i>Local law enforcement agencies use:</i>		
Cops in Shops		Yes
Shoulder Tap Operations		Yes
Party Patrol Operations or Programs		Yes
Underage Alcohol-Related Fatality Investigations		Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>		
Yes		
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors		Liquor Control Division
Such laws are also enforced by local law enforcement agencies		Unknown
Enforcement Statistics		
<i>State collects data on the number of minors found in possession</i>		
Yes		
Number of minors found in possession ¹ by State law enforcement agencies		2,761
Number pertains to the 12 months ending		12/31/2010
Data include arrests/citations issued by local law enforcement agencies		Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>		
Yes		
Data are collected on these activities		Yes
Number of licensees checked for compliance by State agencies		492
Number of licensees that failed State compliance checks		124
Numbers pertain to the 12 months ending		12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>		
Yes		
Data are collected on these activities		No
Number of licensees checked for compliance by local agencies		Data not collected
Number of licensees that failed local compliance checks		Data not collected
Numbers pertain to the 12 months ending		Data not collected
Sanctions		
<i>State collects data on fines imposed on retail establishments that furnish minors</i>		
Yes		
Number of fines imposed by the State ³		110
Total amount in fines across all licensees		\$248,125
Numbers pertain to the 12 months ending		12/31/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>		
Yes		
Number of suspensions imposed by the State ⁴		110
Total days of suspensions across all licensees		539
Numbers pertain to the 12 months ending		12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>		
Yes		
Number of license revocations imposed ⁵		2
Numbers pertain to the 12 months ending		12/31/2010

Additional Clarification

No data

- ¹ Or having consumed or purchased per State statutes
- ² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors
- ³ Does not include fines imposed by local agencies
- ⁴ Does not include suspensions imposed by local agencies
- ⁵ Does not include revocations imposed by local agencies

**Underage Drinking Prevention Programs Operated or Funded by the State:
 Programs SPECIFIC TO Underage Drinking**
Partnerships for Success

Number of youth served	6,710
Number of parents served	1,238
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	http://www.ct.gov/dmhas/prevention pending
URL for more program information	http://www.ct.gov/dmhas/prevention/PHPCompendium.pdf

Program description: The Partnerships for Success Grant (PFS) is a 5-year, \$11.5 million grant awarded to Connecticut through a competitive bid from SAMHSA's Center for Substance Abuse Prevention (CSAP). PFS allows Connecticut to continue successful community-based approaches that prevent underage drinking through the use of the Strategic Prevention Framework. This data-driven public health approach builds on existing successes of over 20 community-based coalitions that specifically address underage drinking, including several other State and federally funded coalitions and community-based programs currently in place covering each region of the State. The PFS uses environmental prevention approaches to produce measurable reductions in alcohol consumption patterns and their negative consequences. The University of Connecticut Health Center conducts evaluations at the State and community levels to track performance targets. Goals include:

- Reduction of past-month alcohol use rates for individuals ages 12–20.
- Preventing the onset and reducing the progression of childhood/underage drinking.
- Strengthening capacity and infrastructure at the State and community levels to implement data-driven, evidence-based policies, practices, and programs.
- Collaborative approach aligning State and community strategies, redirecting existing services, and leveraging human and fiscal resources to sustain efforts.

Strategy types: Twenty funded community coalitions throughout the State use a public health approach in over 30 municipalities and statewide across college campuses to decrease alcohol consumption in youth ages 12 to 20. Additionally, coalitions build on existing resources to implement environmental strategies known to be effective in reducing youth alcohol use rates, such as curtailing retail and social access, policy change, enforcement, media advocacy, and parental and merchant education as well as measure changes in underage drinking that use student survey and social indicator data.

Connecticut has recently completed implementation of the SAMHSA-funded strategic prevention framework (SPF) initiative, which identified underage drinking as a State priority. The SPF was a 5-year, \$11 million initiative that brought evidence-based programs, policies, and practices to communities through a coalition approach to regions across the State. Coalitions were charged with conducting needs and resource assessments, building community capacity to address underage drinking, developing strategic plans, implementing evidence-based programs, and evaluating and sustaining efforts once the initiative ended. The majority of the coalitions were continued through SAMHSA's Partnership for Success Grant. Highlights included: Prioritizing and addressing underage drinking at the State and community levels; leveraging, redirecting, and realigning resources in support of the SPF and the reduction of underage drinking; and strengthening State/local capacity and infrastructure in support of prevention. Findings demonstrated the following:

- A 4 percent reduction in past-month alcohol use among Connecticut high school students from 2005 to 2009.
- A 12.9 percent reduction in binge drinking among high school students.
- A 17.4 percent reduction in early-onset drinking (i.e., before age 13; Youth Risk Behavior Survey).
- A reduction in alcohol-related motor vehicle fatalities, dropping from 47 percent in 2005 to 42 percent in 2008.
- A 34 percent increase in the number of evidence-based practices, programs, and policies, including environmental strategies, funded by the Department of Mental Health and Addiction Services (DMHAS).
- A statistically significant increase in community readiness to implement effective substance abuse prevention strategies and practices from 2006 to 2010.

In comparisons of local student survey data collected before and after implementing the SPF in funded communities, 71 percent showed a decrease in the percentage of students reporting past-month alcohol use.

Connecticut Statewide Healthy Campus Initiative

Number of youth served	No data
Number of parents served	42
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.ct.gov/dmhas/prevention/PHPCompendium.pdf

Program description: The purpose of the Connecticut Statewide Healthy Campus Initiative is to develop a comprehensive prevention system that is responsive to the needs of young adults ages 18 to 25 who are attending public universities throughout Connecticut. The Initiative is based on a 3-in-1 Framework recommended by the National Institute on Alcohol Abuse and Alcoholism (NIAAA). The goal is to change the culture of drinking and other substance use/abuse using broad-based, comprehensive, integrated programs with multiple complementary components that target individuals, including at-risk or alcohol-dependent drinkers; the student population as a whole; and the college and the surrounding community.

Objectives of the initiative are to:

- Address gaps in substance abuse prevention and early intervention services.
- Support culturally responsive, age-appropriate, and evidence-based approaches for young adults.
- Further develop Connecticut's prevention data infrastructure and capacity to collect and analyze outcome data and report on key performance measures.

The primary target population is college students ages 18 to 25. Secondly, programs may target family members, peers, schools, and communities at large.

This initiative requires that programs use multiple strategies within the 3-in-1 framework (community, campus, and individual-level strategies known to be effective). The following is a summary list of activities:

1. Monthly meetings of the Connecticut Healthy Campus Initiative, open to all Connecticut institutions of higher education. Meetings include training by national experts, technical assistance, networking, and coalition organizational tasks geared toward sustaining efforts and promoting evidence-based activities on college campuses. Forty colleges have signed on to participate in the Initiative.
2. Grantee funding opportunities: Following a competitive request-for-proposal (RFP) process, 10 CT colleges received awards to implement evidence-based environmental strategies including policy review and creation, enforcement of underage drinking laws and policies, coalition capacity building, and social marketing. Colleges receiving the awards implement the CORE survey before and after implementation to measure the effectiveness of the strategies at reducing past-month alcohol use and binge drinking.
3. Technical assistance (TA) is provided by CT Center for Prevention, Wellness and Recovery staff to CT Institutions of Higher Education. TA includes face-to-face, telephone, and electronic consultation as requested by college staff. An electronic listserv of CT colleges will be maintained and used to provide updates on national and State alcohol and drug prevention news and information.

Best Practice Initiative	
Number of youth served	1,340
Number of parents served	912
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.ct.gov/dmhas/prevention/PHPCompendium.pdf
<p>Program description: The Best Practice Initiative consists of 14 multifocused Substance Abuse Prevention and Treatment (SAPT) Block Grant-funded programs across the State. They were originally created in the mid-1990s to apply science- and research-based innovations to populations across the lifecycle. In 2009, following extensive review of State epidemiological data on underage alcohol use and related consequences, the funded agencies were refocused to apply the Strategic Prevention Framework and related strategies to address underage drinking and other substances that were data-identified as problems in chosen communities.</p> <p>Target Population(s): All Best Practice agencies are required to use a portion of their block grant funds to reduce underage drinking and related consequences.</p> <p>Strategy Type: The population-level approach requires agencies to use environmental strategies endorsed by CSAP, such as law and policy development and enforcement and media and marketing campaigns.</p>	
Office of Policy and Management—Enforcement of Underage Drinking Laws	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.ct.gov/opm/cwp
<p>Program description: The Office of Policy and Management and the Juvenile Justice Advisory Committee (JJAC) support comprehensive programs designed to combat underage drinking. Another initiative sponsored by the Office of Policy and Management is called “SetTheRulesCT.” This is a statewide media campaign educating parents and adults about Connecticut’s social host law and the impact of alcohol on teenage brain development. As of June 2009, the JJAC made awards to five agencies totaling \$409,260 in the “combating underage drinking” category for fiscal year 2009/2010.</p> <p>Enforcing the Underage Drinking Laws Program (EUDL): This program supports and enhances State efforts, in cooperation with local jurisdictions, to enforce laws prohibiting the sale of alcoholic beverages to, or the consumption of alcoholic beverages by, individuals under 21 years of age. Each State receives an annual allocation of a set amount and may also enter into competitive bids for discretionary grants.</p>	
Governor’s Prevention Partnership/Connecticut Coalition to Stop Underage Drinking	
Number of youth served	54
Number of parents served	52
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.preventionworksct.org/ccsud

Program description: The Governor's Prevention Partnership (GPP), a statewide resource link, serves as a nonprofit entity between State government and businesses with a mission to keep Connecticut's youth safe, successful, and drug free. The GPP provides leadership and services to help schools, communities, colleges, and businesses create and sustain quality programs in the following areas: mentoring, coalition building, underage drinking, school-based substance abuse and violence prevention, campus community partnerships, parent education, and media. The GPP works closely with DMHAS, State agencies, and community-based organizations to maximize prevention efforts and services based on State needs and policy plans.

The GPP and State and local coalitions have mobilized toward a statewide coalition, the Connecticut Coalition to Stop Underage Drinking (CCSUD). CCSUD, in collaboration with Connecticut's myriad stakeholders, has used State and Federal funding to achieve the following successes:

- Passage of several alcohol-related laws intended to curb underage drinking and related harms (keg registration, a "zero tolerance" law lowering the blood alcohol level to 0.02 for a driving under the influence [DUI] conviction for persons under 21, and prohibition of drive-up alcohol sales).
- A reduction in compliance check failure rates from 75 percent of merchants selling alcohol to minors to less than 18 percent over the last 8 years.
- A reduction of 8.9 percent among underage youth who report consuming alcohol in the past 30 days.

Regional Action Councils

Number of youth served	55,951
Number of parents served	17,289
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.ct.gov/dmhas/preventionPHPCompendium.pdf

Program description: Regional Action Councils (RACs) comprise 13 public/private subregional planning and action councils covering the State that have responsibility for planning, development, and coordination of behavioral health services in their respective regions. RACs are resource links for DMHAS and are legislatively mandated to:

1. Determine the extent of substance abuse problems within their subregions.
2. Determine the status of resources to address such problems.
3. Identify gaps in the substance abuse service continuum.
4. Identify changes to the community environment that will reduce substance abuse.

This information is used by DMHAS to inform decisions related to service system plans and enhancements. RAC membership consists of diverse members of the community, including the chief elected official, the chief of police, the superintendent of schools of each municipality within the subregion, business and professional leaders, members of the General Assembly, service providers, representatives of minority populations, religious organizations, representatives of private funding organizations, and the media. Every 2 years, RACs produce Subregional Prevention Priority Reports to describe:

1. The burden of substance abuse, problem gambling, and suicide in the subregions.
2. Prioritized prevention needs.
3. The capacity of the subregions' communities to address those needs.

These reports are based on data-driven analyses of issues in the subregions with assistance from key community members. The reports and accompanying data are used as building blocks for State- and community-level processes, including capacity and readiness building, strategic planning, implementation of evidence-based programs and strategies, and evaluation of efforts to reduce substance abuse and promote mental health. The subregional priority-setting process conducted by the RACs was instrumental in assisting community coalitions with developing strategic plans to address underage drinking in their respective communities. Priority-setting strategies include:

1. Compiling subregional sociodemographic and indicator data using data provided by SEOW and additional community-level data and information, such as student surveys and focus group results.

2. Producing subregional epidemiological profiles describing magnitude, impact, and response capacity.	
3. Convening Community Needs Assessment Workgroups to conduct the priority ranking process.	
RACs have also received Drug Free Coaliton (DFC) and Sober Truth on Preventing (STOP) Underage Drinking Act grants to address underage drinking in their regions.	
Center for Prevention, Wellness & Recovery—Wheeler Clinic/Connecticut Clearinghouse	
Number of youth served	602
Number of parents served	156
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.ctclearinghouse.org
Program description: Connecticut Clearinghouse, a program of Wheeler Clinic's Connecticut Center for Prevention, Wellness & Recovery (CCPWR), is a statewide library and resource center for information on substance use and mental health disorders, prevention and health promotion, treatment and recovery, wellness, and other related topics. The CCPWR serves as a resource link for DMHAS. Resources and services are available to anyone who lives or works in the State, including families, teachers, students, professionals, community members, and children. Connecticut Clearinghouse serves as the State's Regional Alcohol and Drug Awareness Resource (RADAR) Network Center as designated by CSAP.	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking	
Local Prevention Councils	
URL for more program information	http://www.ct.gov/dmahs/preventionPHPCompendium.pdf
Program description: This DMHAS initiative supports more than 120 local, municipal-based alcohol, tobacco, and drug abuse prevention councils. The intent of this grant program is to facilitate the development of prevention initiatives at the local level with the support of the Chief Elected Officials. The specific goals of Local Prevention Councils are to increase public awareness of substance use prevention and to stimulate the development and implementation of local prevention activities primarily focused on youth.	
Tobacco Use Prevention/Control, Connecticut Department of Public Health	
URL for more program information	http://www.ct.gov/dph
Program description: These programs work to address all risks associated with the use of tobacco products. They are focused on preventing the initiation of tobacco use among youth and adults, eliminating exposure to environmental tobacco smoke, promoting cessation of tobacco use, and working to eliminate tobacco-related disparities among target populations such as pregnant women, individuals of low socioeconomic status, and ethnic groups with above-average use of tobacco products.	
Multicultural Leadership Institute, a DMHAS resource link	
URL for more program information	http://www.mli-inc.org
Department of Children and Families Prevention Services	
URL for more program information	http://www.ct.gov/dcf
Safe and Drug Free Schools	
URL for more program information	http://www.sde.ct.gov/sde
Connecticut Department of Transportation DUI Enforcement Program	
URL for more program information	http://www.ct.gov/dot
Additional Information Related to Underage Drinking Prevention Programs	
State collaborates with federally recognized Tribal governments in the prevention of underage drinking	Yes

<p>Program description: CT has two federally recognized Tribal nations, the Mashantucket Pequot Nation (population: 227), and the Mohegan Tribe (population: 1,700). CT also has four State-recognized Tribal nations, the Eastern Pequot Nation, the Golden Hill Paugusset Tribe, the Pawcatuck Eastern Pequot Tribe (population: 150), and the Schaghticoke Indian Tribe (population: 300). A seventh Tribal nation, Nipmuc Indian Association of CT, is currently seeking Federal recognition. The two federally recognized Indian tribes in CT, the Mashantucket Pequot Nation and the Mohegan Tribe, are located in the Norwich/New London area of eastern CT. Both have casinos that contribute 25 percent of all slot revenues to the State. Outside of the Federal government, these casinos are the second-largest contributors to CT's economy. As a result, the casinos have provided a stable economic foundation for the Tribes and have allowed for the preservation of culture and the establishment of Tribal departments that provide a broad range of health/social benefits to members on the reservations. Coalitions/RACs in close proximity to CT's two tribes have formal linkages and include Tribal communities within their community interventions. At the State level, DMHAS is currently working with Tribal leadership to educate them on the PFS initiative and engage Tribal representatives to serve in an advisory role, providing advice on issues facing American Indians who wish to participate in underage drinking and related substance abuse prevention programs.</p>	
<p><i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i></p>	Yes
<p>Program description: "SetTheRulesCT" is a statewide media campaign educating parents and adults about Connecticut's social host law and the impact of alcohol on teenage brain development. The U.S. Department of Justice's Office of Juvenile Justice and Delinquency Prevention (OJJDP) is addressing the growing problem of underage drinking through numerous initiatives, including public advertising programs. "SetTheRulesCT" is funded entirely through the EUDL program. "SetTheRulesCT" was developed by the Office of Policy and Management (OPM) and the JJAC Subcommittee on Combating Underage Drinking, which is composed of representatives from the following State agencies and departments:</p> <ul style="list-style-type: none"> • Commission on Children • Department of Children and Families • Department of Consumer Protection, Liquor Control • Department of Education • Department of Mental Health and Addiction Services • Department of Motor Vehicles • Department of Public Safety • Department of Transportation, Division of Public Defender Services • Department of Public Health • Judicial Branch • Office of Policy and Management • Juvenile Justice Policy and Planning Division • Juvenile Justice Advisory Committee • Office of the Chief State's Attorney 	
<p>Additionally, Connecticut DMHAS Partnership for Success and Best Practice grantee agencies will implement social marketing campaigns as a strategy to address priority underage drinking risk factors in local communities throughout the State.</p>	
<p><i>State has adopted or developed best practice standards for underage drinking prevention programs</i></p>	Yes
<p>Best practice standards description: The DMHAS-funded Connecticut PFS grantees use evidence-based programs (EBPs) including the recently updated (2009) guidance document from CSAP, <i>Identifying and Selecting Evidence-Based Interventions for Substance Abuse Prevention</i>. An EBP Subcommittee of SEOW was established to review and approve community plans that include EBPs based on the Guidance Document. CT's Resource Links will continue to provide training/TA on selecting and implementing EBP strategies that will most effectively assist PFS coalitions with achieving performance target outcomes. DMHAS, in conjunction with Connecticut prevention provider agencies and organizations, developed <i>Cultivating Programs That Work: Operating Standards for Prevention and Health Promotion Programs</i> for prevention programs funded by DMHAS. The standards, guidelines, and</p>	

supporting documents link state-of-the-art prevention theory to effective, comprehensive, and accountable prevention practice and abide by principles that are divided into eight categories critical for all prevention programs:

1. Human Relationships
2. Program Planning
3. Program Activities
4. Program Settings
5. Health and Safety
6. Program Implementation
7. Program Administration
8. Evaluation

Implementation of the standards should result in positive outcomes for programs, staff, and participants. The purpose of these standards is to provide assurances to the public that alcohol and drug abuse prevention and early intervention programs are regulated under a set of minimum standards established by DMHAS. These standards establish a minimum level of program operation intended to reflect quality substance abuse prevention programs. The operating standards articulate a service philosophy that helps individuals, families, schools, and communities throughout Connecticut prevent the use, misuse, or abuse of legal or illegal substances. To support prevention staff training and certification, the Prevention Training Collaborative provides a wide range of prevention training across the State. There are three levels of prevention certification for paraprofessionals: volunteers, and prevention program staff with and without 4-year degrees.

Additional Clarification

No data

State Interagency Collaboration

<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
--	-----

Committee contact information:

Name: Dianne Harnad, MSW, Director of Prevention, Department of Mental Health and Addiction Services

Email: Dianne.Harnad@po.state.ct.us

Address: 410 Capitol Ave, PO Box 341431, MS-14PIT, Hartford, CT 06134

Phone: 860-418-6828

Agencies/organizations represented on the committee:

Department of Mental Health & Addiction Services
 Department of Consumer Protection
 Department of Public Health
 Department of Public Safety
 Department of Transportation
 Department of Children and Families
 Department of Transportation
 Department of Social Services
 Office of Policy and Management
 Connecticut State University System
 Department of Higher Education
 Office of the Chief State's Attorney and Judicial Branch

<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access	http://www.ct.gov/dmhas

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
---	-----

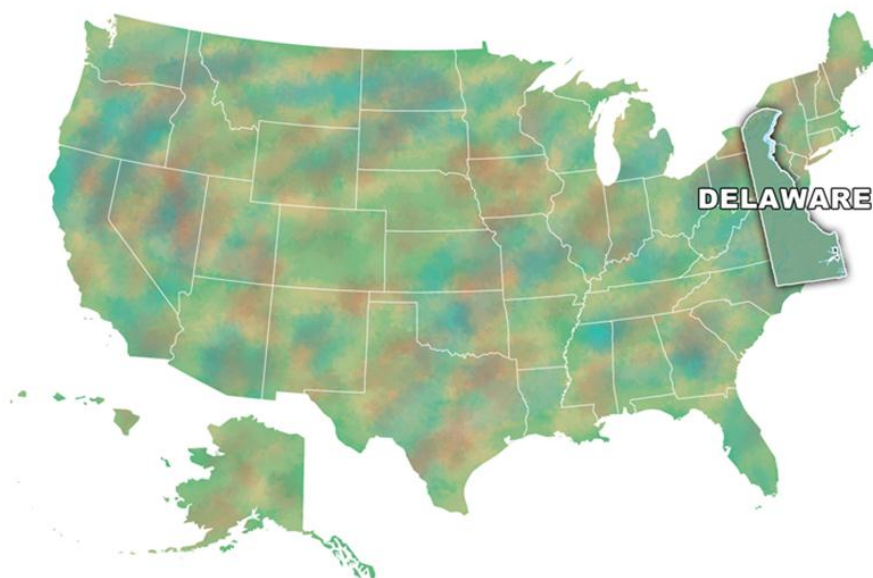
Prepared by: DMHAS and The Connecticut Alcohol and Drug Policy Council

Plan can be accessed via <http://www.ct.gov/dmhas> pending

State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: The Connecticut Alcohol and Drug Policy Council Final Evaluation Report of the Strategic Prevention Framework State Incentive Grant First Year Evaluation Report of the PFS	
Plan can be accessed via	http://www.ct.gov/dmhas pending
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$2,211,562
Estimate based on the 12 months ending	06/30/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$76,090
Estimate based on the 12 months ending	06/30/2010
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$1,515,987
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$6,036,032
Estimate based on the 12 months ending	06/30/2010
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	\$524,558
Estimate based on the 12 months ending	06/30/2010
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$1,012,845
Estimate based on the 12 months ending	06/30/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	\$182,471
Estimate based on the 12 months ending	06/30/2010
<i>Other programs:</i>	
Programs or strategies included	Best practices programs
Estimate of State funds expended	\$143,523
Estimate based on the 12 months ending	06/30/2010

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other	General funds
<i>Description of funding streams and how they are used:</i> State agency collaboration: the funding stream is actually staff time in kind for each state agency member of the Alcohol and Drug Policy Council.	
Additional Clarification	
No data	



Delaware

State Profile and Underage Drinking Facts

State Population: 897,934
Population Ages 12–20: 107,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	30.4	32,000
Past-Month Binge Alcohol Use	21.4	23,000
Ages 12–14		
Past-Month Alcohol Use	5.7	2,000
Past-Month Binge Alcohol Use	2.2	1,000
Ages 15–17		
Past-Month Alcohol Use	24.2	9,000
Past-Month Binge Alcohol Use	13.2	5,000
Ages 18–20		
Past-Month Alcohol Use	56.8	21,000
Past-Month Binge Alcohol Use	45.4	17,000

	Number
Alcohol-Attributable Deaths (under 21)	12
Years of Potential Life Lost (under 21)	745

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	28.0	7

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence AND EITHER
- Parent/guardian OR
- Spouse

Note: Delaware’s exception includes “members of the same family” and allows possession if in “private home of any of said members” (Del. Code Ann. tit. 4, § 904). For purposes of this report, the phrase “members of the same family” is interpreted as including a spouse.

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private residence AND EITHER
- Parent/guardian OR
- Spouse

Note: Delaware’s exception includes “members of the same family” and allows consumption if in “private home of any of said members” (Del. Code Ann. tit. 4, § 904). For purposes of this report, the phrase “members of the same family” is interpreted as including a spouse.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Note: Delaware makes it an offense for “[w]hoever, being under the age of 21 years, has alcoholic liquor in his or her possession at any time, or consumes or is found to have consumed alcoholic liquor” (Del. Code Ann. tit. 4, § 904). Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting Internal Possession, for purposes of this report.

Underage Purchase of Alcohol

Purchase is NOT prohibited and there is no specific allowance for youth purchase for law enforcement purposes.

Note: Delaware does not have a statute that specifically prohibits purchase, but it does prohibit “obtaining” alcohol in connection with making a false statement (see Del. Code Ann. tit. 4, § 904(b)).

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- No driver's license suspension procedure.

Provisions Targeting Retailers

- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Note: Although Del. Admin. Code § 2000 2215 states that “persons under 21 years of age have noted on their licenses ‘Under 21,’” research revealed that no Delaware statute or regulation expressly requires distinguishing licenses for persons under 21 years old.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver's License Suspension, Revocation, or Denial

- Underage possession
- Underage consumption

Authority To Impose Driver's License Sanction

- Mandatory

Length of Suspension/Revocation

- 30 days

Graduated Driver's License

Learner Stage

- Minimum entry age: 16
- Minimum S: 6 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16 years, 6 months
- Unsupervised night driving
 - Prohibited after: 10 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: One passenger, except for immediate family members
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Private residence AND EITHER
- Parent/guardian OR
- Spouse

Note: Delaware’s exception includes “members of the same family” and allows furnishing if in the “private home of any of said members” (see Del. Code Ann. tit. 4, § 904). For purposes of this report, the phrase “members of the same family” is interpreted as including a spouse.

Compliance Check Protocols

Age of Decoy

- Minimum: 16
- Maximum: 20

Appearance Requirements

- Males: No facial hair
- Females: No excessive makeup

ID Possession

- Required

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Recommended

Penalty Guidelines for Sales to Minors

- Time period/conditions: 5 years
- First offense: \$500 fine
- Second offense: \$2,500 fine
- Third offense: 30-day license suspension
- Fourth offense: 60-day license suspension

Note: Mitigating and/or aggravating circumstances may be considered.

Responsible Beverage Service

Mandatory Beverage Service Training for Licensees, Managers, Servers

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: 21
- Wine: 21
- Spirits: 21

Minimum Ages for On-Premises Sellers

- Beer: 19 for servers and 21 for bartenders
- Wine: 19 for servers and 21 for bartenders
- Spirits: 19 for servers and 21 for bartenders

Dram Shop Liability

There is no statutory liability.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are not permitted.

Keg Registration

Registration is not required.

Alcohol Pricing Policies**Home Delivery**

- Beer: Prohibited
- Wine: Prohibited
- Spirits: Prohibited

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.16 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.97 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$3.75 per gallon (\$2.50 per gallon for alcohol content of 25 percent or less).

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Price posting requirements: Post and hold—5 days minimum
- Retailer credit: Restricted—commissioner shall not control credit transactions to extent they are permitted by Federal law.

Wine (12 percent alcohol)

- Price posting requirements: Post and hold—5 days minimum
- Retailer credit: Restricted—commissioner shall not control credit transactions to extent they are permitted by Federal law.

Spirits (40 percent alcohol)

- Price posting requirements: Post and hold—5 days minimum
- Retailer credit: Restricted—commissioner shall not control credit transactions to extent they are permitted by Federal law.

Delaware Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Department of Safety and Homeland Security Division of Alcohol and Tobacco Enforcement (DATE)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>	
DATE has three programs: Cops in Shops, Cooperating Underage Witness Program, and Fake ID Sweeps. DATE and Delaware's police departments collaboratively implement all three programs.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	45
Number pertains to the 12 months ending	04/30/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	44
Number of licensees that failed State compliance checks	5
Numbers pertain to the 12 months ending	04/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	30
Total amount in fines across all licensees	\$20,000
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	3
Total days of suspensions across all licensees	90
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
Number of license revocations imposed ⁵	1

Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
All Stars	
Number of youth served	1,248
Number of parents served	119
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	Not available
URL for more program information	No data
Program description: All Stars is an innovative, science-based prevention program that promotes prosocial behavior and attitudes. When done as intended, the effects on students can be profound. All Stars is designed for use by professionals who work with children ages 9 to 11 in community settings. All Stars can be delivered after school and in community settings such as churches and recreation centers.	
Too Good for Drugs	
Number of youth served	147
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	No data
Program description: Too Good for Drugs is a school-based prevention program proven to reduce the intention to use alcohol, tobacco, and illegal drugs in middle and high school students. The program is for kindergarten through 12th grades (5- through 18-year-olds) and has a separate, developmentally appropriate curriculum for each grade level. The program is designed to address:	
<ul style="list-style-type: none"> • Personal and interpersonal skills relating to alcohol, drugs, tobacco, and illegal drugs. • Appropriate attitudes toward alcohol, tobacco, and illegal drug use. • Knowledge of the negative consequences of alcohol, tobacco, and illegal drug use. • Benefits of a drug-free lifestyle. • Positive peer norms. 	
The number of youth served were 147 at-risk youth in the City of Wilmington.	
Project Towards No Drug Abuse	
Number of youth served	128
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No

URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: Project Towards No Drug Abuse is a highly interactive school-based program designed to help high school youth, ages 14-19, resist substance use. The program teaches participants increased coping and self-control skills that allow them to:</p> <ul style="list-style-type: none"> • Grasp the cognitive misperceptions that may lead to substance use. • Express a desire not to abuse substances. • Understand the sequence of substance abuse and the consequences of using substances. • Correct myths concerning substance use. • Demonstrate effective communication, coping, and self control skills. • State a commitment to discuss substance abuse with others. <p>The Media Matters program, which is part of this project, is a hands-on media workshop in which youth translate content and attitudes about consequences of violence and substance abuse with the freedom of form and expression necessary for youth to take ownership of their creative projects and to take pride in discovering the power of their authentic voices. Examples include public service announcements, video diaries, and interviews.</p>	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

Parents Step Up Campaign

URL for more program information: <http://parentsstepup.org>

Program description: Using various communication media, the Step Up campaign:

- Helps adults recognize and change behaviors that facilitate underage drinking.
- Provides ideas for effective house rules to help adults protect kids from underage drinking.
- Encourages parents to block teens from access to alcohol.
- Highlights the consequences of underage drinking to discourage alcohol use.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No
Program description	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description:	Not applicable

Additional Clarification

The Division of Substance Abuse and Mental Health (DSAMH) services (Single State Agency) has recently developed our Prevention Web site as of this year and we continue to enhance our site. <http://www.dhss.delaware.gov/dhss/dsamh/prevention.html>

DSAMH's subrecipient, Division of Prevention and Behavioral Health Services, provides services to youths age 17 and younger. Their Web site is at: http://kids.delaware.gov/fs/fs_prevent.shtml

State Interagency Collaboration

<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information:	

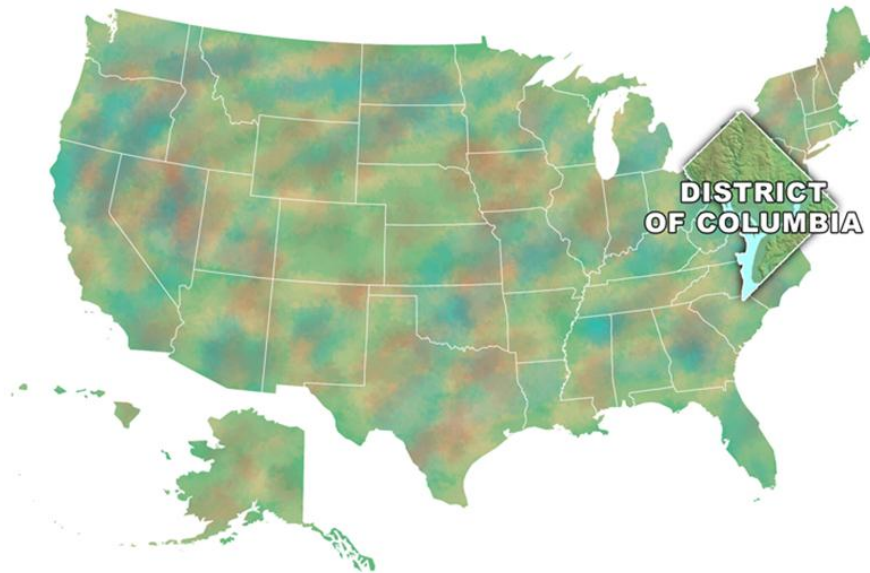
Name: Dr. Marc Richman Email: Marc.Richman@state.de.us Address: DHSS-Division of Substance Abuse and Mental Health Services, 1901 N. DuPont Hwy, Main Admin Bldg, New Castle, DE 19720 Phone: 302-255-9416	
<i>Agencies/organizations represented on the committee:</i> Department of Health and Social Services (DHSS) Division of Substance Abuse and Mental Health Services Division of Alcohol and Tobacco Enforcement Office of Highway Safety Delaware Department of Education Division of Prevention and Behavioral Health Services University of Delaware Center for Drug and Alcohol Studies	
<i>A Web site or other public source exists to describe committee activities</i> URL or other means of access: http://dhss.delaware.gov/dhss/dsamh/prevention.html	Yes

Underage Drinking Reports	
<i>State has prepared a plan on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Report can be accessed via	Not applicable
Additional Clarification	
<p>The DHSS Division of Substance Abuse and Mental Health Services convenes a State-level interagency governmental body/committee that coordinates and addresses across-the-lifespan prevention activities targeting State priorities: alcohol, marijuana, prescription opiates, and heroin. Delaware continues to enhance its efforts by making this committee an inclusive body.</p> <p>On January 25, 2011, Delaware's Substance Abuse Prevention Strategic Plan was approved; it addresses the misuse and abuse of alcohol across the lifespan. On October 28, 2010, the Strategic Prevention Framework (SPF) State Incentive Grant (SIG) Cohort IV strategic plan was approved; one of its two priorities is to reduce past-month alcohol misuse and abuse by Delaware residents ages 12 to 25. Indicators of change may include, but are not limited to: a decrease in underage and binge drinking (consumption) and a decrease in alcohol-related traffic crashes, death, and/or injuries (consequences).</p> <p>The Office of Highway Safety (OHS) implemented the Underage Drinking Task Force in the past through the support/requirement of the Office of Juvenile Justice and Delinquency Prevention (OJJDP) Grant. In the near future, it is projected that through reappointment of responsibilities, Delaware's Division of Alcohol and Tobacco Enforcement will become responsible for continuing the efforts of the Underage Drinking Task Force. DATE will begin receiving Federal Fiscal Year 2011 funds through OJJDP so that OHS can focus on drinking and driving. Delaware does not have a stand-alone underage drinking report. However, data on underage drinking are included in the State and substance epidemiological profiles, which are released annually. These reports are prepared by the University of Delaware Center for Drug and Alcohol Studies and can be found at http://www.udel.edu/delawaredata.</p>	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$20,000
Estimate based on the 12 months ending	04/30/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$300,000
Estimate based on the 12 months ending	09/30/2010

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$500,000
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$1,138,678
Estimate based on the 12 months ending	06/30/2010
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
<p>Programs or strategies included: The "Under 21. Think. Don't Drink." campaign is a two-pronged approach to increase awareness of the issue of underage drinking in Delaware. The awareness campaign rolled out in two phases. The first was launched in April when Delaware Governor Jack Markell recorded a radio spot for OHS aimed at parents. The goal was to make parents more aware of the dangers and legal consequences of underage drinking. An ad coming from the State's First Parent was predicted to be effective. The second portion of the campaign was the development and implementation of a video public service announcement (PSA) contest. OHS contacted every school and college in the State to ask for video submissions on why it was important that individuals under age 21 think and not drink. Submissions (30 for the first year) were loaded onto the Web site and the public voted for their favorites. Votes figured into the official voting by OHS staff members and members of a public relations firm. A red-carpet-style awards banquet was held and awards for first through third place were distributed. Winning submissions will be used as TV and movie theatre PSAs in 2011. Additionally, transit advertising at the college level was implemented. The contest Web site can be found at http://www.ohs.delaware.gov/UnderageDrinking.</p>	
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i> No data	
Additional Clarification	
No data	



District of Columbia

State Profile and Underage Drinking Facts

State Population: 601,723
Population Ages 12–20: 62,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	30.9	19,000
Past-Month Binge Alcohol Use	19.4	12,000
Ages 12–14		
Past-Month Alcohol Use	7.3	1,000
Past-Month Binge Alcohol Use	2.5	1,000
Ages 15–17		
Past-Month Alcohol Use	23.4	4,000
Past-Month Binge Alcohol Use	12.7	2,000
Ages 18–20		
Past-Month Alcohol Use	52.2	13,000
Past-Month Binge Alcohol Use	35.5	9,000
Alcohol-Attributable Deaths (under 21)		Number 23
Years of Potential Life Lost (under 21)		1,360

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	50.0	1

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial procedure.

Provisions Targeting Retailers

- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver's License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver's License Sanction

- Mandatory

Length of Suspension/Revocation

- 90 days

Graduated Driver's License

Learner Stage

- Minimum entry age: 16
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 40 hours—must log additional 10 hours of night-time driving at intermediate stage with driver over 21

Intermediate Stage

- Minimum age: 16 years, 6 months
- Unsupervised night driving
 - Prohibited after: 11 p.m. on Sunday–Thursday and 12:01 a.m. on Saturday–Sunday from September through June; 12:01 a.m. in July and August
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: First 6 months, one licensed driver at least 21, and any parent or sibling. After 6 months, no more than two passengers under 21 (except parents or siblings) until age 18
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols

No data

Responsible Beverage Service

Mandatory Beverage Service Training for Managers

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for servers and 21 for bartenders
- Wine: 18 for servers and 21 for bartenders
- Spirits: 18 for servers and 21 for bartenders

Dram Shop Liability

- There is no statutory liability.
- The courts recognize common law dram shop liability.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for beer, wine, distilled spirits with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements: None

Reporting Requirements: None

Shipping Label Statement Requirements: None

Keg Registration

- Keg definition: 4 gallons or more
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
 - Address where keg will be consumed
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit: Not required
- Provisions specifically address disposable kegs

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.09 per gallon
- Ad valorem excise tax (on-premises sales): 10 percent
- Ad valorem excise tax (off-premises sales): 10 percent

General sales tax rate of 6 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 10 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 4

percent. The offsite ad valorem tax of 10 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 4 percent.

Wine (12 percent alcohol)

- Specific excise tax: \$0.30 per gallon
- Ad valorem excise tax (on-premises sales): 10 percent
- Ad valorem excise tax (off-premises sales): 10 percent

General sales tax rate of 6 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 10 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 4 percent. The offsite ad valorem tax of 10 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 4 percent.

Spirits (40 percent alcohol)

- Specific excise tax: \$1.50 per gallon
- Ad valorem excise tax (on-premises sales): 10 percent
- Ad valorem excise tax (off-premises sales): 10 percent

General sales tax rate of 6 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 10 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 4 percent. The offsite ad valorem tax of 10 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 4 percent.

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Not prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Restricted—45 days maximum

Wine (12 percent alcohol)

- Retailer credit: Restricted—45 days maximum

Spirits (40 percent alcohol)

- Retailer credit: Restricted—45 days maximum

District of Columbia Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> The Metropolitan Police Department	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The Department works collectively with the National Capital Coalition to Prevent Underage Drinking (NCCPUD) and the Alcoholic Beverage Regulation Administration (ABRA) to implement enforcement to eliminate or decrease underage drinking.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws	No
addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	Yes
Number pertains to the 12 months ending	43
Data include arrests/citations issued by local law enforcement agencies	12/31/2010
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	1,277
Number of licensees that failed State compliance checks	129
Numbers pertain to the 12 months ending	09/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,277
Number of licensees that failed local compliance checks	129
Numbers pertain to the 12 months ending	09/30/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes
Total amount in fines across all licensees	37
Numbers pertain to the 12 months ending	\$99,000
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	Yes
Total days of suspensions across all licensees	16
Numbers pertain to the 12 months ending	39
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	09/30/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>National Capital Coalition to Prevent Underage Drinking</i>	
Number of youth served	1,000
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	nparker@nccpud.net
URL for more program information	nparker@nccpud.net
<p>Program description: The National Capital Coalition to Prevent Underage Drinking (NCCPUD) is a nonprofit organization dedicated to preventing and reducing underage drinking and its related harms in the District of Columbia. A coalition of community-based organizations, government, youth, and colleges, NCCPUD has been in the vanguard of developing and implementing prevention programs aimed at reducing underage drinking since 1997. Its mission is to organize, advocate, educate, and build the capacity of local public and private organizations, youth, and the community to reduce underage drinking and related harm. NCCPUD's vision is for a safe environment that embraces youth, supports healthy behaviors, and encourages youth leadership and community investment.</p> <p>The goals of NCCPUD are to:</p> <ul style="list-style-type: none"> • Advocate for environmental policies and practices to reduce underage drinking and unhealthy outcomes related to all substance abuse. • Build the capacity of the community to develop and implement evidence-based strategies to protect young people from the risk of alcohol and drugs. • Use media and communications as educational tools to change public perspectives and attitudes, leading to the reduction of underage drinking and related harms. • Organize and support grassroots responses to the consequences of substance abuse in the community. • Involve youth at every level of the organization. <p>NCCPUD, through its Youth Advocates Peer Program, provides and sponsors weekly training/workshops to over 40 area youth on various alcohol-related laws, enforcement activities, and issues currently affecting their environment. NCCPUD Youth Advocates give peer presentation at various public and private senior high schools in the District of Columbia and sponsor yearly Prom Promise events that encourage youth not to make destructive decisions during prom season. NCCPUD also serves as the District Coordinator for the National Students Against Destructive Decisions (SADD) program. Since its inception, NCCPUD has trained over 500 youth advocates for the prevention of underage drinking and currently serves more than 1,000 youth each year in the District of Columbia.</p>	
<i>Alcoholic Beverage Regulation Administration</i>	
Number of youth served	No data
Number of parents served	No data

Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	http://abra.dc.gov
URL for more program information	No data
<p>Program description: The Alcoholic Beverage Regulation Administration (ABRA) issues and renews licenses that enable qualified businesses to sell and serve alcoholic beverages. ABRA monitors compliance with alcoholic beverage control (ABC) laws and takes appropriate enforcement action when licensees violate these laws. When appropriate, ABRA proposes new laws regulating the manufacture, distribution, and sale of alcoholic beverages in the District. ABRA also offers educational programs that help ABC establishments prevent underage individuals from purchasing and consuming alcohol.</p>	
DC Prevention Centers	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: In 2010, the District of Columbia Department of Health's Addiction, Prevention, and Recovery Administration (APRA) funded four DC Prevention Centers that cover all eight Wards through Substance Abuse Prevention and Treatment Block Grant-Prevention Set-Aside funds. Each Prevention Center has two designated Wards (Wards 1 and 2; Wards 3 and 4; Wards 5 and 6; Wards 7 and 8) that serve as dynamic hubs that engage, support, and help connect the many community elements that are needed for promoting healthy children, youth, and families as well as a drug-free city. Prevention Centers address three capacity-building functions in ways that reflect the characteristics and priorities of the populations and geographic areas served. The three functions—community education, community leadership, and community changes—are designed to address priority risk factors and the following outcomes:</p> <ul style="list-style-type: none"> • Increase attitudes opposed to alcohol, tobacco, and drug use among children and youth. • Delay the onset or first use and progression of risk among children and youth. • Increase involvement of families, youth, and concerned citizens in their community's planning, decisionmaking, and evaluation for substance abuse prevention. <p>Data measures have been identified and are being tracked at the District and Ward levels. DC Prevention Centers have a primary role in the DC Strategic Prevention Framework State Incentive Grant (SPF SIG) draft strategic plan pending approval from SAMHSA. Preventing the onset of underage alcohol use and reducing risk are among the priorities proposed in the plan.</p>	

Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking

DC Public Schools (DCPS) Life Skills Training

URL for more program information: Abby.Bonder@dc.gov (Executive Office of the Mayor)

Program description: In the District of Columbia Public Schools (DCPS), the LifeSkills Training (LST) curriculum is currently being implemented in 16 high schools, 14 middle schools, and 20 educational campuses (PK through 8s) in the 6th, 7th, 8th, and 10th grades, reaching over 4,000 students. Since the program began in 2008, 75 health teachers have been trained. The LST curriculum is aligned with State academic standards, is paced into the DCPS health curriculum, and is taught by health teachers. In 2007, the Office of the Deputy Mayor for Education (DME) was created to provide additional support and capacity to DCPS and other local education agencies (LEAs) in the District. To date, the DME has facilitated, in partnership with DCPS, the implementation of the LifeSkills Training program. The DME has

a half-time coordinator who is responsible for organizing trainings, ensuring that trained teachers have materials for implementation, encouraging all key personnel (e.g., principals, vice principals) to take an active role in the program's implementation, ensuring that the program is being implemented with fidelity by making frequent classroom visits, and providing technical assistance to each teacher. Technical assistance includes, but is not limited to, observing a lesson and providing feedback, coteaching an LST lesson, and troubleshooting problems the teacher may have with the curriculum, such as engaging students in the lesson. Teachers may request assistance at any time, but the coordinator also reaches out to each teacher on a monthly basis. The coordinator has been collecting data on training saturation and teaching levels so that we can ensure fidelity to the program model.

DC Strategic Prevention Framework State Incentive Grant (SPF SIG)

URL for more program information: Judith.Donovan@DC.Gov

Program description: DC is part of the Cohort IV SPF SIG funded through SAMHSA with a \$10.6 million award. The SPF SIG goals are to:

- Prevent the onset and reduce the progression of substance abuse, including childhood and underage drinking and marijuana use among youth in communities.
- Reduce substance abuse problems, especially underage drinking and marijuana use among youth in communities.
- Build prevention capacity and infrastructure at the State and community levels to address priority areas, reduce risk, and increase protection for children and youth.

SAMHSA reviews and approves a data-driven strategic plan before 85 percent or all community-level funds can be allocated. Approval of the DC plan is pending; however, underage drinking is one of two DC priorities.

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No Recognized Tribal Governments
Description of collaboration	Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Program description: National Capital Coalition to Prevent Underage Drinking Metro Teen AIDS Ward 8 Drug Free Coalition (STOP ACT Grantee)	

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
<p>Best practice standards description:</p> <p>These codes are the foundation for all DC underage drinking programs and practices and serve as guiding standards for developing and implementing evidence-based prevention services:</p> <ul style="list-style-type: none"> • D.C. Official Code §25-765 No window advertisements within 400 feet of a church/school • D.C. Official Code §25-782 Restrictions on hours of minors entering stores from 8 a.m. to 3 p.m. during school days • D.C. Official Code §25-781 Sale to minors or intoxicated persons prohibited • D.C. Official Code §25-783 Production of valid identification document required; penalty 	

DC Prevention Centers are based on a public health and risk and protective factor model.

Additional Clarification

The District's overall goal is to delay the access and aid of underage drinking by bringing the issue to the forefront and making it a priority in communities and businesses. The statewide task force shall include: compliance checks, training/educational and enforcement activities, and prevention and intervention activities. The Justice Grants Administration (JGA) will also continue working with the OJJDP technical assistance provider PIRE and will use those EUDL resources to build on the work of the underage drinking stakeholders in the communities to develop prevention and intervention service strategies. In addition, JGA will maintain its relationship with the DC Prevention Network (DCPN) to support best practices, innovative and/or encourage collaboration with new/existing projects/programs on preventing and intervening underage drinking. DCPN is currently comprised of the following agencies and organizations:

- District agencies: Alcoholic Beverage Regulation Administration (ABRA) and the Metropolitan Police Department Traffic Safety and Specialized Enforcement Branch
- Community-based organizations: National Capital Coalition to Prevent Underage Drinking (NCCPUD), Bridging Resources In the Community (BRIC), Metro TeenAIDS, Alliance of Concerned Men, Public Charter School Center for Student Support, and University of Maryland

In addition, the Department of Health Addiction Prevention and Recovery Administration (APRA) provides coordination and technical assistance to support a diverse array of policy, prevention interventions, and enforcement activities around underage drinking to both JGA and the Network.

State Interagency Collaboration

<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information: Name: Enforcing Underage Drinking Laws (EUDL), Justice Grants Administration (JGA) Email: Brenda.Smith@dc.gov Address: 1350 Pennsylvania Ave., NW Washington, DC 20004 Phone: 202-727-6331	
Agencies/organizations represented on the committee: Alcoholic Beverage Regulation Administration Bridging Resources In Communities, Inc. Metro TeenAIDS Metropolitan Police Department National Capital Coalition to Prevent Underage Drinking Public Charter School-Center for Student Support University Systems Maryland Foundation DC Department of Health, Addiction Prevention and Recovery Administration	
<i>A Web site or other public source exists to describe committee activities</i>	No
URL or other means of access	Not applicable

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: DC Department of Health, Addiction Prevention and Recovery Administration Plan can be accessed via: Judith.Donovan@DC.Gov	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Justice Grants Administration Plan can be accessed via: Brenda.Smith@dc.gov	

Additional Clarification

A second State-level interagency governmental body/committee exists that coordinates or addresses underage drinking prevention activities in the District of Columbia.

DC Prevention Council (SPF SIG Advisory) agencies/organizations represented:

- Executive Office of the Mayor
- Office of the City Administrator
- Department of Mental Health
- Metropolitan Police Department
- Deputy Mayor of Education
- Youth Rehabilitation Services
- Department of Employment Services
- Community Anti-Drug Coalition of America
- RAND Corporation
- Department of Health, Addiction Prevention and Recovery Administration
- Center for Substance Abuse Prevention, SAMHSA

SPF SIG Project Officer serves as a member.

Tori Fernandez Whitney, Senior Deputy Director and SPF SIG Project Director
Addiction Prevention and Recovery Administration
District of Columbia Department of Health
1300 First Street NE, Suite 319
Washington, DC 20002
Phone: 202-727-8941
Fax: 202-727-1763
Mobile: 202-380-6622
Email: Tori.Whitney@dc.gov
Web: <http://www.doh.dc.gov>

Judith Ann Donovan, Director of Prevention Services and SPF SIG Coordinator
Office of Prevention Services
Addiction Prevention and Recovery Administration
District of Columbia Department of Health
1300 First Street NE, Room 246
Washington, DC 20002
Phone: 202-727-7598
Mobile: 202-997-4106
Email: Judith.Donovan@DC.Gov
Web: <http://www.doh.dc.gov>

State Expenditures for the Prevention of Underage Drinking

Compliance checks/decoy operations in retail outlets:

Estimate of State funds expended	\$15,000
Estimate based on the 12 months ending	12/31/2010

Checkpoints and saturation patrols:

Estimate of State funds expended	\$15,000
Estimate based on the 12 months ending	12/31/2010

Community-based programs to prevent underage drinking:

Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

K-12 school-based programs to prevent underage drinking:

Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Programs targeted to institutes of higher learning:

Estimate of State funds expended	\$5,000
Estimate based on the 12 months ending	09/30/2010

Programs that target youth in the juvenile justice system:

Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

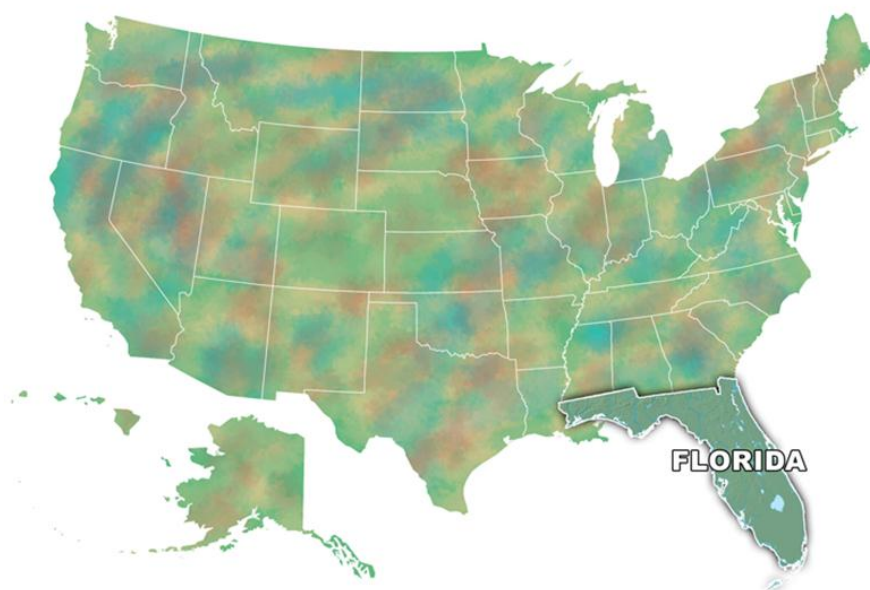
Programs that target youth in the child welfare system:

Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Other programs:

Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Florida

State Profile and Underage Drinking Facts

State Population: 18,801,310
Population Ages 12–20: 2,128,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	26.8	571,000
Past-Month Binge Alcohol Use	16.3	348,000
Ages 12–14		
Past-Month Alcohol Use	6.5	41,000
Past-Month Binge Alcohol Use	3.0	19,000
Ages 15–17		
Past-Month Alcohol Use	23.1	167,000
Past-Month Binge Alcohol Use	13.8	99,000
Ages 18–20		
Past-Month Alcohol Use	46.8	363,000
Past-Month Binge Alcohol Use	29.6	230,000
Alcohol-Attributable Deaths (under 21)		Number 273
Years of Potential Life Lost (under 21)		16,280

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	19.0	75

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 18.

Type(s) of Violation Leading to Driver's License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver's License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: 180 days
- Maximum: 365 days

Graduated Driver's License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 12 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 11 p.m. for 16-year-olds; 1 a.m. for 17-year-olds
 - Primary enforcement of the night-driving rule
- No passenger restrictions

License Stage

- Minimum age to lift restrictions: 18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols

Age of Decoy

- Minimum: 16
- Maximum: 19

Appearance Requirements

- Obviously underage in appearance
- No uniforms
- Dress based on community standards in target area
- Male: No facial hair
- Female: Age-appropriate hair and makeup; no revealing attire

ID Possession

- Discretionary

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

- Time period/conditions: Not specified
- First offense: \$1,000 and 7-day license suspension
- Second offense: \$3,000 and 30-day license suspension
- Third offense: License revocation

Responsible Beverage Service***Voluntary Beverage Service Training***

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Incentives for Training

- Mitigation of fines or other administrative penalties for sales to minors
- Protection against license revocation for sales to minors

Minimum Ages for Off-Premises Sellers

- Beer: Not specified
- Wine: Not specified
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Willful and unlawful furnishing to minor

Social Host Liability Laws

- There is no statutory liability.
- The courts recognize common law social host liability.

Note: Common law liability rests on a violation of the criminal social host statute. The criminal social host statute prohibits an adult from allowing an open house party to take place at a residence he/she controls and knowingly allowing a minor to possess or consume alcohol at the residence and failing to take reasonable steps to prevent the possession or consumption of the alcoholic beverage.

Host Party Laws

Social host law is specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Preventive action by the host negates the violation

Note: The “preventive action” provision in Florida requires the prosecution to prove that the host failed to take preventive action.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are not permitted.

Note: Although current law suggests that direct shipments of alcoholic beverages are prohibited, the Florida Department of Business and Professional Regulation’s informal policy allows out-of-state wineries to make direct shipments of wine to Florida consumers. Florida statutes that purport to ban direct shipments are not being enforced pursuant to a stipulation entered into by the State in a lawsuit challenging the constitutionality of the law (Fla. Stat. Ann. §§ 561.54, 561.545, www.flsenate.gov/data/Publications/2006/Senate/reports/interim_reports/pdf/2006-146rilong.pdf).

Keg Registration

Registration is not required.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.48 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$2.25 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$6.50 per gallon (\$2.25 per gallon for alcohol content of less than 17.259 percent).

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Price posting requirements: Post
- Retailer credit: Restricted—15 days maximum

Wine (12 percent alcohol)

- Retailer credit: Restricted—15 days maximum

Spirits (40 percent alcohol)

- Retailer credit: Restricted—15 days maximum

Florida State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Department of Business and Professional Regulation/Division of Alcoholic Beverages and Tobacco (ABT)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>	
No data	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Unknown
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	2,471
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	10,788
Number of licensees that failed State compliance checks	1,159
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	82
Total amount in fines across all licensees	\$82,300
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	72
Total days of suspensions across all licensees	371
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
Number of license revocations imposed ⁵	3
Numbers pertain to the 12 months ending	12/31/2010

Additional Clarification

No data

¹ Or having consumed or purchased per State statutes² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors³ Does not include fines imposed by local agencies⁴ Does not include suspensions imposed by local agencies⁵ Does not include revocations imposed by local agencies**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs SPECIFIC TO Underage Drinking****Be The Wall Campaign**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	No data

Program description: "Be The Wall" is a statewide social marketing campaign sponsored by the Florida Governor's Office of Drug Control, the Florida Department of Children and Families, and the Strategic Prevention Framework State Incentive Grant (SPF-SIG).

Impaired Driving Coalition

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: No data

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking****Too Good for Drugs & Violence**

URL for more program information <http://www.mendezfoundation.org>

Program description: Too Good for Drugs & Violence is a comprehensive prevention education program for high school students. Designed to equip students with the knowledge, skills, and attitudes they need to remain safe and drug free, this program builds on the core concepts of Too Good for Violence K–8 and Too Good for Drugs K–8.

Too Good for Drugs & Violence offers 10 lessons to be delivered once a week for 10 weeks in a single grade level plus 12 additional lessons to be infused into English, science, health, and/or social studies courses so that students can gain critical information and practice essential skills throughout their high school years. These developmentally appropriate lessons feature topics of great interest to high school students, such as identifying the effects of underage drinking, distinguishing healthy and unhealthy relationships, and recognizing the stages of addiction and possible sources of help. Lessons reveal misconceptions about tobacco and marijuana, and the dangers of abusing prescription and over-the-counter drugs, ecstasy, cocaine, and methamphetamine. Students also learn to analyze media influence, deal with prejudice and discrimination, and de-escalate conflicts.

Project Northland	
URL for more program information	http://www.hazelden.org/web/go/projectnorthland
<p>Program description: Alcohol is the drug of choice for American teenagers, and alcohol use during early adolescence increases the likelihood of progression to heavy alcohol use and to the use of other illicit drugs. The influence of peers, family members, school, the media, and the community have been shown to play a critical role in promoting or discouraging alcohol use among teens. Thus, the prevention researchers who developed Project Northland focused on engaging not only youth but also schools, families, and the larger community in one comprehensive prevention effort.</p> <p><i>Project Northland Grades 6-8 - Alcohol Use Prevention Curriculum Used in SAMHSA's Reach Out Now Program</i> by Cheryl L. Perry, Ph.D., Kelli A. Komro, Ph.D., Carolyn L. Williams, Ph.D., Sara Veblen-Mortenson, M.S.W., M.P.H., and Bonnie S. Dudovitz, M.Ed. Developed by the University of Minnesota.</p>	
Guiding Good Choices	
URL for more program information	http://www.channing-bete.com/prevention-programs/guiding-good-choices/guiding-good-choices.html
Program description: No data	
Passport To Peace	
URL for more program information	http://www.hanleycenter.org/prevention/programs-services/passport-to-peace/
Program description: No data	
Life Skills Training	
URL for more program information	http://www.lifeskillstraining.com
Program description: No data	

Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No formal collaborative agreements
<p>Program description: The Miccosukee Tribe operates an educational system ranging from the Head Start preschool program through senior high school, adult, vocational, and higher education programs and other social services. The Seminole Tribe maintains an Education Division comprised of programs that deliver educational services to all Tribal members beginning at 5 years old through senior citizens. The programs are delivered to all six reservations, all nonresistance Seminole, and throughout the Nation. The administrative offices are located in Hollywood, FL, with local education program personnel on the reservations to coordinate the education services. In addition, those Tribal members who reside in the community are able to participate in substance abuse programs throughout the school system and community.</p>	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description: No data	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
<p>Best practice standards description: The Best Practices Recognition Program provides recognition for those programs that exemplify "best practice" methods in substance abuse prevention and treatment services. These programs' efforts are shown to measurably improve service outcomes and the quality of life for program participants. All aspects of the Best Practices Recognition Program process are intended to bring recognition to the recipients, to the quality of care for persons receiving substance abuse supports and services, and to the efforts being made in providing substance abuse services in Florida.</p> <p>The Substance Abuse Response Guide (SARG): The Substance Abuse Program's Prevention Team uses SARG to help communities change conditions that underlie illegal, illicit, and problematic alcohol and drug use patterns and related consequences in the State of Florida (http://www.dcf.state.fl.us/programs/samh/SubstanceAbuse/prevention.shtml).</p>	

Evidence-Based Practice Initiative (http://fcpr.fsu.edu/prevention/fps_document.html): When speaking about implementing a prevention program or strategy “with fidelity,” the process starts with its selection. Even faithful implementation of a program or strategy that poorly fits the needs and make-up of the community can be as ineffective as implementing a program with no evidence of effectiveness. Thoughtful selection is essential. Three principles drive selection: relevance, appropriateness, and evidence of effectiveness. It is important that a program or strategy have evidence that it is likely to influence troublesome factors or conditions that are driving a community’s substance abuse problems. It is also important that the program or strategy be supported by the community and that it fits the community’s demographics, culture, resources, and capacity. This guidance provides elements that reflect a selection process that achieves good fit. It includes a glossary of terms, one list of benchmarks for the process and another for provider qualities, and a more indepth discussion of each element. The principle of goodness of fit, as reflected in the elements of relevance and appropriateness, will be the foundation of the Department’s Evidence-Based Practices Initiative. Circuits and managing entities will use them to make funding and resource allocation decisions and assure the implementation of evidence-based practices.

Additional Clarification

No data

State Interagency Collaboration

A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities Yes

Committee contact information:

Name: Skip Forsyth

Email: skip_forsyth@dcf.state.fl.us

Address: 1317 Windwood Blvd., Bldg. 6, Rm. 300, Tallahassee, FL 32399

Phone: 850-717-4411

Agencies/organizations represented on the committee:

Department of Education

Department of Children and Families

Department of Juvenile Justice

Division of Alcoholic Beverages and Tobacco

Department of Health

A Web site or other public source exists to describe committee activities No
URL or other means of access Not applicable

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years Yes

Prepared by: Florida Office of Drug Control

Plan can be accessed via: http://drugcontrol.flgov.com/odc_strategies.html

State has prepared a report on preventing underage drinking in the last 3 years Yes

Prepared by: Health Economics Research Group, Sociology Research Center, University of Miami

Plan can be accessed via: http://www.dcf.state.fl.us/programs/samh/SubstanceAbuse/docs/CostOfUnderageDrinkingInFlorida_060809_FINAL.pdf

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

Compliance checks/decoy operations in retail outlets:

Estimate of State funds expended Data unavailable

Estimate based on the 12 months ending Data unavailable

<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$635,000
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking

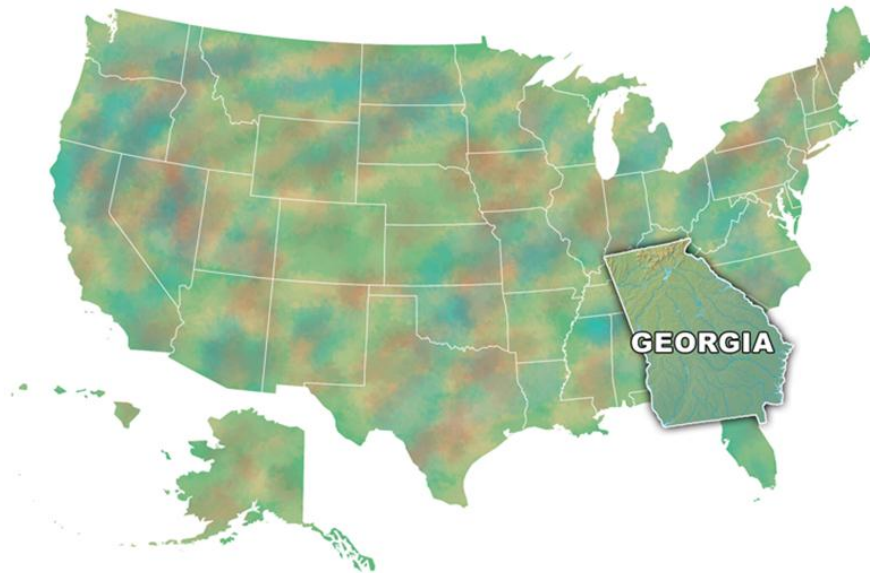
State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	No data

Description of funding streams and how they are used: No data

Additional Clarification

No data



Georgia

State Profile and Underage Drinking Facts

State Population: 9,687,653
Population Ages 12–20: 1,217,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.6	287,000
Past-Month Binge Alcohol Use	14.7	178,000
Ages 12–14		
Past-Month Alcohol Use	7.1	25,000
Past-Month Binge Alcohol Use	1.8	6,000
Ages 15–17		
Past-Month Alcohol Use	19.3	90,000
Past-Month Binge Alcohol Use	11.2	52,000
Ages 18–20		
Past-Month Alcohol Use	43.6	172,000
Past-Month Binge Alcohol Use	30.5	120,000
Alcohol-Attributable Deaths (under 21)		Number 157
Years of Potential Life Lost (under 21)		9,436

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	15.0	23

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Parent/guardian’s home AND
- Parent/guardian

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 180 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 12 months
- Minimum supervised driving requirement: 40 hours—6 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - No primary enforcement of the night-driving rule
- Passenger restrictions exist: First 6 months, immediate family only. Second 6 months, no more than one passenger under 21 who is not immediate family. After 1 year, no more than three passengers under 21 who are not immediate family.
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Parent/guardian’s home AND
- Parent/guardian

Compliance Check Protocols

Age of Decoy

- Minimum: 17
- Maximum: 19

Appearance Requirements

- No facial hair

ID Possession

- Prohibited

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

- Time period/conditions: Not specified
- First offense: \$500–\$2,500 fine, 12-month probation, and/or up to 30-day suspension

Note: Mitigating and/or aggravating circumstances may be considered.

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: Not specified
- Wine: Not specified
- Spirits: Not specified

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Furnishing with knowledge that customer was a minor and would soon be operating a motor vehicle.

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Furnishing with knowledge that customer was a minor and would soon be operating a motor vehicle.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Producer must verify age of purchaser.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Note: Wineries that hold a Federal basic wine manufacturing permit, regardless of whether they are licensed by the State of Georgia, may also ship wines directly to consumers. The consumer must purchase the wine while physically present on the premises of the winery, and the winery must verify that the consumer is of the age to do so.

Keg Registration

- Keg definition: more than 2 gallons
- Prohibited:
 - Possessing an unregistered, unlabeled keg—maximum fine/jail: \$1,000/12 months
 - Destroying the label on a keg—maximum fine/jail: \$1,000/12 months
- Purchaser information collected:
 - Purchaser’s name and address
 - Verified by a government-issued ID
 - Address where keg will be consumed
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Note: Although Georgia does not require a retailer to record the number of a keg purchaser’s ID, it does require the retailer to record the form of identification presented by the purchaser, as well as the purchaser’s name, address, and date of birth.

Alcohol Pricing Policies

Home Delivery

- Beer: Prohibited
- Wine: Prohibited
- Spirits: Prohibited

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$1.01 per gallon

Reported tax rate is calculated for the rate imposed on 12-ounce containers. Tax is \$0.32 per gallon for malt beverages sold in barrels or bulk containers containing not more than 31 gallons, along with a \$0.39 per gallon tax per on containers 15.5 gallons or less.

Wine (12 percent alcohol)

- Specific excise tax: \$0.42 per gallon

\$1.10 per gallon imposed on the “importation for use, consumption, or final delivery” into the State of all wines with an alcohol content of 14 percent or less.

Spirits (40 percent alcohol)

- Specific excise tax: \$5.37 per gallon

\$1.89 per gallon on the “importation for use, consumption, or final delivery” into the State of all distilled spirits.

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Price posting requirements: Post and hold—180 days minimum
- Retailer credit: Not permitted—if retailer owns more than one business and payment is made from a central office, then credit not to exceed 5 days after delivery and invoice.

Wine (12 percent alcohol)

- Retailer credit: Not permitted

Spirits (40 percent alcohol)

- Price posting requirements: Post and hold—14 days minimum
- Retailer credit: Not permitted

Georgia State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Georgia Department of Revenue Alcohol & Tobacco Division	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The Alcohol & Tobacco Division of the Georgia Department of Revenue works in partnership, through an intergovernmental agreement, with the Georgia Department of Behavioral Health & Developmental Disabilities Division of Addictive Disease (DAD). DAD's Office of Prevention Services & Programs (OPSP) funds compliance checks to enforce the law (O.C.G.A. 3-3-23) that prohibits furnishing any alcoholic beverage to any person under age 21. OPSP is funding the University of Georgia's Carl Vinson Institute of Government to initiate and implement an annual alcohol report for underage drinking compliance check data. Regular meetings are held with team members from these two governmental agencies to remain informed of activities and discuss opportunities and issues that may arise.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws	Georgia Department of Revenue—
addressing direct sales/shipments of alcohol to minors	Alcohol & Tobacco Division
Such laws are also enforced by local law enforcement agencies	Unknown
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	6
Number pertains to the 12 months ending	06/30/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	4,349
Number of licensees that failed State compliance checks	711
Numbers pertain to the 12 months ending	06/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	708
Total amount in fines across all licensees	\$724,300
Numbers pertain to the 12 months ending	06/30/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of suspensions imposed by the State ⁴	115
Total days of suspensions across all licensees	371
Numbers pertain to the 12 months ending	06/30/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	06/30/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Georgia Underage Drinking Prevention Initiative

Number of youth served	250
Number of parents served	100
Number of caregivers served	50
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	No data
URL for more program information	http://www.livedrugfree.org

Program description: The Georgia Underage Drinking Prevention Initiative helps educate young people and caring adults about the risks associated with underage drinking through a Prevention Education Campaign. The Prevention Education Campaign also helps local community coalitions convene town hall meetings about underage drinking prevention and conduct community information sessions. The Initiative also creates Responsible Sales & Service (RASS) Workshops to provide owners and staff of alcohol-licensed establishments with valuable resources and information to prevent sales to underage youth in college communities.

Gwinnett Mobilizing for Change in ATOD

Number of youth served	58,129
Number of parents served	75
Number of caregivers served	175
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.guideinc.org

Program description: Gwinnett Mobilizing for Change in ATOD is an environmental strategies initiative with multiple strategies and services. This initiative follows the evidence-based model Communities Mobilizing for Change in Alcohol (CMCA). The prevention services that are provided include a coalition addressing underage alcohol, tobacco, and drug use prevention; public awareness campaigns focusing on underage drinking (with particular emphasis on social hosting) and underage tobacco use; alcohol vendor training; Enforcement of Underage Drinking Laws (EUDL) compliance checks; youth action teams that provide peer-focused environmental strategies targeting middle and high school age youth; a youth advisory board; and participation in local, regional, and statewide coalitions addressing underage drinking and tobacco use.

Cobb and Douglas Alcohol Policy Partnership	
Number of youth served	1,951
Number of parents served	676
Number of caregivers served	150
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: The Cobb and Douglas Alcohol Policy Partnership (CDAPP) uses an environmental prevention strategy to provide training and technical assistance to coalitions/task forces in Cobb and Douglas counties to prevent and reduce underage drinking. CDAPP's efforts consist of offering regular training to all supporters and participants of each group. The collaboratives and groups develop their own policy agendas, strategize the number and frequency of compliance investigations of alcohol establishments, design and produce public education and awareness materials, devise dissemination strategies, establish media literacy and advocacy tools, conduct environmental scans, and construct organizational development systems that allow for youth leadership and involvement in the groups' decisionmaking and advocacy efforts.</p>	

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**

Bulloch Council—Prime for Life Under 21

URL for more program information <http://www.bullochadc.org>

Program description: PRIME for Life Under 21 intervenes during the experimental stage of substance abuse when adolescents have yet to develop a serious alcohol or drug problem and can be positively influenced by an age-appropriate introduction to and review of the possible negative consequences of their actions. Prime for Life Under 21 is designed for youth with high-risk behavior between the ages of 13 and 20. The program is designed to reduce alcohol-related violations in 200 young adult substance-abusing populations.

Twin Cedars Youth Services/Troup County Prevention Coalition

URL for more program information <http://www.twincedars.org>

Program description: This program serves as a catalyst for systems change and sustained collaborative work in the community to ensure the reduction and prevention of alcohol, drug, and tobacco use among Troup County youth. It aims to reduce substance abuse among youth and, over time, among adults by addressing factors that increase risk of substance abuse and promoting factors that minimize that risk. Twin Cedars helps establish and strengthen collaboration among communities, private nonprofit agencies, and Federal, State, and local governments to support the efforts of community coalitions to prevent and reduce substance abuse among youth.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking No

Program description: Not applicable.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing Yes

Program description: The Georgia Underage Drinking Prevention Initiative performed environmental scans in five targeted communities (Candler, Rockdale, Spalding, Upson, and Ware counties). Environmental scans are an organized method of "scanning" existing conditions in a community environment that, according to research, either promotes or discourages underage alcohol use. The purpose of the scans was to assess what local store owners were doing or not doing with regard to youth access to alcohol in high-risk communities and to investigate the environments of these communities. Scans examined number of alcohol billboards near schools, parks, etc.; marketing practices of alcohol outlets; and youth exposure to alcohol advertising.

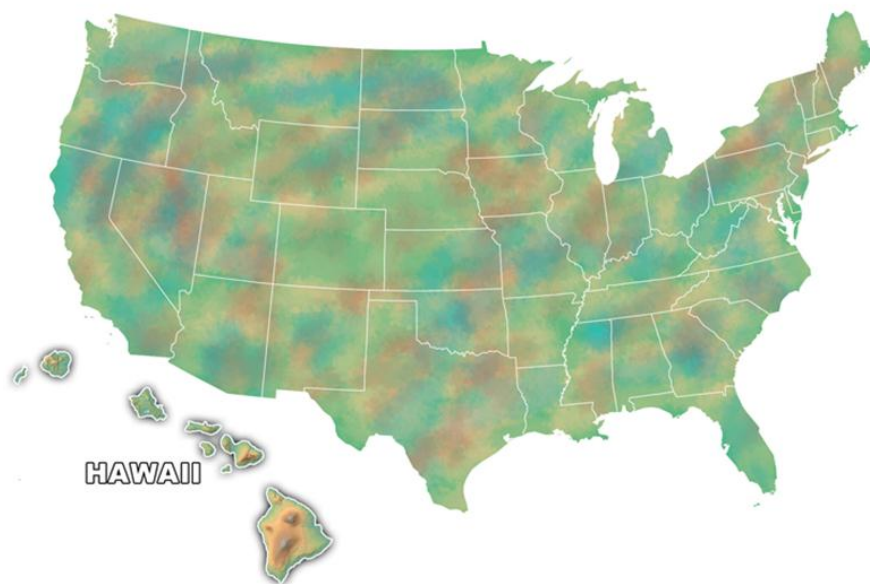
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description: Not applicable.	
Additional Clarification	
No data	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	No
<i>Committee contact information</i>	Not applicable
<i>Agencies/organizations represented on the committee</i>	Not applicable
<i>A Web site or other public source exists to describe committee activities</i>	No
<i>URL or other means of access</i>	Not applicable

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Georgia Strategic Prevention Framework State Incentive Grant Plan can be accessed via: http://www.ga-spf.org	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$272,000
Estimate based on the 12 months ending	06/30/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	09/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used: No data</i>	
Additional Clarification	
No data	



Hawaii

State Profile and Underage Drinking Facts

State Population: 1,360,301
Population Ages 12–20: 143,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	24.7	35,000
Past-Month Binge Alcohol Use	17.0	24,000
Ages 12–14		
Past-Month Alcohol Use	7.6	3,000
Past-Month Binge Alcohol Use	4.6	2,000
Ages 15–17		
Past-Month Alcohol Use	20.7	10,000
Past-Month Binge Alcohol Use	12.3	6,000
Ages 18–20		
Past-Month Alcohol Use	44.2	22,000
Past-Month Binge Alcohol Use	32.9	16,000
Alcohol-Attributable Deaths (under 21)		Number
Years of Potential Life Lost (under 21)		12
		699

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	74.0	15

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private location

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private location

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Note: Hawaii has a statutory provision stating that, “[n]o minor shall consume or purchase liquor and no minor shall consume or have liquor in the minor’s possession or custody in any public place, public gathering, or public amusement, at any public beach or public park, or in any motor vehicle on a public highway” and that “‘consume’ or ‘consumption’ includes the ingestion of liquor” (Haw. Rev. Stat. § 281-101.5). Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession, for purposes of this report.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- General affirmative defense—the retailer came to a good faith or reasonable decision that the purchaser was 21 years or older; inspection of an identification card not required.

Note: In Hawaii, the retailer has a defense to a charge of furnishing to a minor if, in making the sale or allowing the consumption of liquor by a minor, the retailer was misled by the appearance of the minor and the attending circumstances into honestly believing that the minor was of legal age, and if the retailer can prove that he or she acted in good faith.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Provisions apply to minors under age 18.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Discretionary

Length of Suspension/Revocation

- Minimum: 180 days
- Maximum: Not specified

Provisions Applicable to Minors Ages 18 to 21

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: 180 days
- Maximum: Not specified

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15 years, 6 months
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 11 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger under 18, except household members, unless accompanied by parent or guardian
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Parent/guardian

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

No data

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Note: Liquor can be sold by persons 18 to 20 years of age only in licensed establishments where selling or serving the intoxicating liquor is part of the minor's employment, and where there is proper supervision of these minor employees to ensure that the minors shall not consume the intoxicating liquor.

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Note: Liquor can be sold or served by persons ages 18 to 20 only in licensed establishments where selling or serving the intoxicating liquor is part of the minor's employment, and where there is proper supervision of these minor employees to ensure that the minors shall not consume the intoxicating liquor. Persons below age 18 may sell or serve liquor in individually specified licensed establishments found to be otherwise suitable by the liquor commission in which an approved program of job training and employment for dining room waiters and waitresses is being conducted in cooperation with the University of Hawaii, the State community college system, or a federally sponsored personnel development and training program, under arrangements that ensure proper control and supervision of employees.

Dram Shop Liability

- There is no statutory liability.
- The courts recognize common law dram shop liability.

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on who may be sued: Social host must be age 21 or older.

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements: None

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Note: Any adult may obtain a State permit to receive one shipment of beer, wine, or distilled spirits per year for personal use from outside the State, not to exceed 5 gallons. Only one permit is allowed per household.

Keg Registration

Not required

Alcohol Pricing Policies**Home Delivery**

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.93 per gallon (\$0.54 per gallon for containers of 7 gallons or more).

- Wine (12 percent alcohol): Specific excise tax is \$1.38 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$5.98 per gallon.

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Wine (12 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Hawaii State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Honolulu Liquor Commission and Honolulu Police Department	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The Alcohol and Drug Abuse Division and the County's Police Department coordinate efforts to conduct off-premises (retail) compliance checks. In addition, Kauai is the only island that coordinates efforts to conduct compliance checks of restaurants and bars with the Alcohol and Drug Abuse Division. The University of Hawaii Cancer Center provides the Alcohol and Drug Abuse Division with scheduling of compliance checks and also conducts our Random Sample Survey.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Yes
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	141
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	Unknown
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	259
Number of licensees that failed State compliance checks	30
Numbers pertain to the 12 months ending	12/31/2009
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	450
Number of licensees that failed local compliance checks	103
Numbers pertain to the 12 months ending	12/31/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	No data
Total amount in fines across all licensees	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	No data
Total days of suspensions across all licensees	No data
Numbers pertain to the 12 months ending	No data

<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Unknown
Number of license revocations imposed ⁵	No data
Numbers pertain to the 12 months ending	No data
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

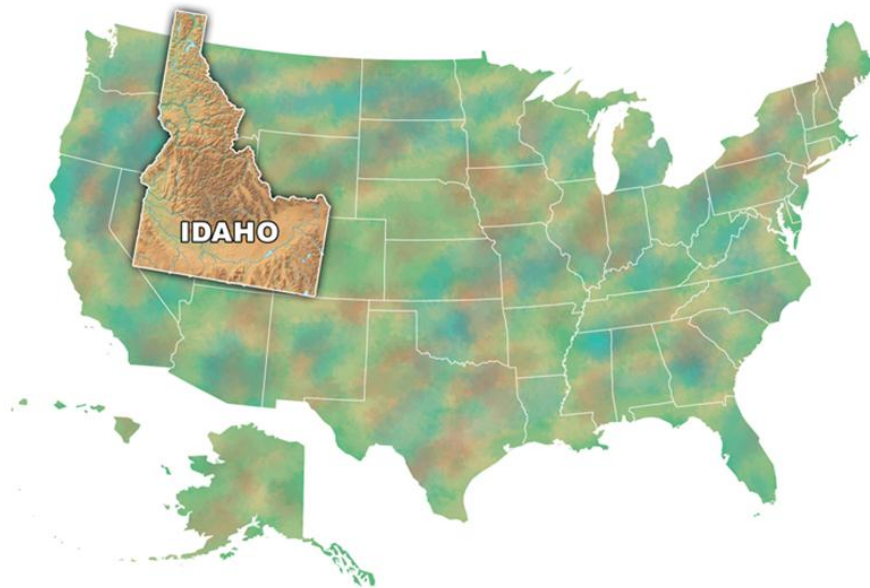
⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Enforcing Underage Drinking Laws Program</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Enforcing Underage Drinking Laws (EUDL) Program supports and enhances efforts by our coalitions to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. (Minors are defined as individuals under 21 years old.)	
<i>Strategic Prevention Framework—State Incentive Grant</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	No data
Program description: The purpose of the Hawaii Strategic Prevention Framework—State Incentive Grant (HSPF-SIG) is to improve the quality of life for Hawaii citizens of all ages by preventing and reducing their abuse of and dependence on alcohol and drugs.	
<i>Sober Truth on Preventing Underage Drinking (STOP) Act Coalition for Drug Free Hawaii</i>	
Number of youth served	1,330
Number of parents served	2,000
Number of caregivers served	60
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The purpose of this program is to prevent and reduce alcohol use among youth in the Ewa, Hawaii, community. It was created to strengthen collaboration among the coalition-formed EWAlution and to use state-of-the-art practices and initiatives that have proven to be effective in preventing and reducing alcohol use among youth.	

Mothers Against Drunk Driving (MADD)—Hawaii	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://maddhawaii.com
<p>Program description: MADD Hawaii offers free support services to injured victims of impaired driving crashes and to bereaved families and friends of those killed or injured in highway crashes. MADD Hawaii has helped pass scores of new laws to strengthen the State's impaired-driving statutes, prevent underage drinking, and give victims of impaired-driving crashes more voice. MADD has underage drinking prevention programs available for high school students (Youth in Action), elementary school children (Protecting You Protecting Me), and college students (UMADD). MADD Hawaii has offices in Honolulu and Hilo and programs operating on Maui and Kauai. MADD Hawaii has been conducting its signature holiday red ribbon campaign, "Tie One on for Safety," for over 20 years. Over 400,000 ribbons are distributed annually for people to display on their vehicles as a pledge that they will be a safe and sober driver.</p>	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking	
None listed	
URL for more program information	Not applicable
Program description: Not applicable.	
Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No recognized Tribes
Program description: Not applicable.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description: Not applicable.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Best practice standards description: On- and off-premises compliance checks	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information: Name: Val Mariano Email: valerie.s.mariano@hawaii.gov Address: 235 South Beretania St. #401, Honolulu, HI 96813-2419 Phone: 808-586-1444	
Agencies/organizations represented on the committee: Hawaii Department of Transportation Advocacy Organization Alcohol and Drug Abuse Division Department of the Attorney General Liquor Control Board County Police Departments Department of Land and Natural Resources	

Mothers Against Drunk Driving Coalition for Drug Free Hawaii Department of Defense—Hawaii Army National Guard	
<i>A Web site or other public source exists to describe committee activities</i>	No
URL or other means of access	Not applicable
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Hawaii Partnership to Prevent Underage Drinking (HPPUD) Coalition	
Plan can be accessed via	No data
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Alcohol and Drug Abuse Division	
Plan can be accessed via: http://hawaii.gov/health/substance-abuse/prevention-treatment/survey/adsurv.htm	
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$267,000
Estimate based on the 12 months ending	05/31/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$17,779,050
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Idaho

State Profile and Underage Drinking Facts

State Population: 1,567,582
Population Ages 12–20: 196,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.6	46,000
Past-Month Binge Alcohol Use	15.4	30,000
Ages 12–14		
Past-Month Alcohol Use	5.3	4,000
Past-Month Binge Alcohol Use	2.3	2,000
Ages 15–17		
Past-Month Alcohol Use	20.5	12,000
Past-Month Binge Alcohol Use	14.3	9,000
Ages 18–20		
Past-Month Alcohol Use	47.6	30,000
Past-Month Binge Alcohol Use	31.5	20,000
Alcohol-Attributable Deaths (under 21)		Number 24
Years of Potential Life Lost (under 21)		1,415

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	19.0	8

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Note: Idaho’s exceptions relate specifically to the possession of beer or wine.

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Note: Although Idaho does not prohibit Internal Possession, it has a statutory provision that makes it unlawful “[f]or any person under the age of twenty-one (21) years to purchase, attempt to purchase, possess, serve, dispense, or consume beer, wine or other alcoholic liquor” such that “[a] person shall also be deemed to “possess” alcohol that has been consumed by the person, without regard to the place of consumption” (Idaho Code § 23-949). Laws that prohibit minors from having alcohol in their bodies, but do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession, for purposes of this report.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.
- It is a criminal offense to manufacture or distribute a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- No statutory affirmative defense—statutes do not provide an affirmative defense related to retailer’s belief that the minor was age 21 or older.

Note: Retailers are only required to deliver documents to law enforcement that have been lost or voluntarily surrendered; however, when presented with identification documents that appear to be mutilated, altered, or fraudulent, they must contact law enforcement and refuse service.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: Not specified
- Maximum: 365 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14 years, 6 months—upon completion of driver education, instruction permit signed over to allow driving with adult over 21
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 15
- Unsupervised night driving
 - Night driving is not restricted—no unsupervised driving ½ hour after sunset
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: Any licensee under 17 shall have no more than one passenger under 17, except relatives
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 16—passenger restrictions expire 6 months after issuance of license; unsupervised night-driving restrictions remain until age 16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols

Age of Decoy

- Minimum: 16
- Maximum: 20.5

Appearance Requirements

- Age-appropriate appearance
- Male: Not large in stature; no excessive facial hair
- Female: Minimal makeup and jewelry

ID Possession

- Required

Verbal Exaggeration of Age

- Not specified

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 19
- Wine: 19
- Spirits: 19

Minimum Ages for On-Premises Sellers

- Beer: 19 for both servers and bartenders
- Wine: 19 for both servers and bartenders
- Spirits: 19 for both servers and bartenders

Dram Shop Liability

Statutory liability exists.

Social Host Liability Laws

Statutory liability exists.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements

- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: 7.75 gallons or more
- Prohibited: Possessing unregistered, unlabeled keg—maximum fine/jail: \$1,000/6 months
- Purchaser information collected: Purchaser's name and address
- Warning information to purchaser: Not required
- Deposit: Not required
- Provisions do not specifically address disposable kegs

Alcohol Pricing Policies**Home Delivery**

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax***Beer (5 percent alcohol)***

- Specific excise tax: 0.15 per gallon

\$0.45 per gallon applies to beer over 5 percent alcohol. Beer with 5 percent or less alcohol is sold by license. Beer greater than 5 percent but less than 7.5 percent alcohol is sold by both license and the State.

Wine (12 percent alcohol)

- Control State

Spirits (40 percent alcohol)

- Control State

Drink Specials

No law

Wholesale Pricing

Restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Banned
- Price posting requirements: Post and hold—6 months minimum
- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Control State

Spirits (40 percent alcohol)

- Control State

Idaho State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Idaho State Police (ISP) Alcohol Beverage Control (ABC)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> ISP-ABC enters into memoranda of understanding (MOUs) with local law enforcement agencies. It also organizes, coordinates, and—using Enforcing Underage Drinking Laws (EUDL) grant funds—pays for costs related to underage compliance checks and other underage drinking enforcement activities. Minigrants are also issued to local agencies by the Department of Juvenile Corrections for local enforcement efforts.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	No
Number pertains to the 12 months ending	Data not collected
Data include arrests/citations issued by local law enforcement agencies	Data not collected
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	339
Number of licensees that failed State compliance checks	84
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	No
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes
Total amount in fines across all licensees	48
Numbers pertain to the 12 months ending	\$42,000
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	Yes
Total days of suspensions across all licensees	6
Numbers pertain to the 12 months ending	60
	12/31/2010

<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
There are numerous direct shipment violations; however, the State is unable to enforce direct shipment laws due to lack of staffing.	
¹ Or having consumed or purchased per State statutes	
² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors	
³ Does not include fines imposed by local agencies	
⁴ Does not include suspensions imposed by local agencies	
⁵ Does not include revocations imposed by local agencies	
Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Idaho Liquor Division Education Fund</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.liquor.idaho.gov
Program description: \$10,000 from liquor profits goes toward educational projects that reduce underage drinking. This past year, the fund was used to develop the new Office of Drug Policy Web site (http://www.betheparents.org) to educate parents.	
<i>Dept. of Health and Welfare Media campaign</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.healthandwelfare.idaho.gov
Program description: \$50,000 is set aside for a media campaign to reduce underage drinking.	
<i>Enforcing Underage Drinking Laws Program</i>	
Number of youth served	65,531
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	07/01/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.idjc.idaho.gov
Program description: The EUDL program provides funding to State agencies and local organizations (through a minigrant process) to reduce underage drinking. Strategies include enforcement, education, and task force development. Recipients include Alcohol Beverage Control, the State film library, the Idaho College Health Coalition, the statewide Community Coalitions of Idaho organization, local law enforcement agencies, and local community coalitions. Funds totaling \$354,000 are received by the Idaho Department of Juvenile Corrections and coordinated with other Office of Juvenile Justice and Delinquency Prevention (OJJDP) funding.	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking	
Idaho State Treasurer's Office Millenium Fund	
URL for more program information	http://www.sto.idaho.gov
Program description: This fund provides funding for applicant programs, including Public Health Districts, Tobacco Counter Marketing, Nicotine Replacement Therapy, Idaho Supreme Court, Department of Health and Welfare Substance Abuse Prevention and Treatment (\$1.5 million for prevention), American Lung Association, Idaho Meth Project, and Idaho Drug Free Youth i2i Program. Funds total \$4,525,300.00.	
Idaho Dept. of Health and Welfare Prevention Block Grant	
URL for more program information	http://www.healthandwelfare.idaho.gov
Program description: \$1.5 million is allocated to best-practice community prevention programs and coalition development.	

Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	Yes
Program description: EUDL funding is sometimes awarded to Tribal applicants. Further, the EUDL coordinator is a member of the State Advisory Group for juvenile justice (JJ) and a chair of one of eight local JJ councils. Another of the councils is made up of representatives from Idaho's Tribes. There are quarterly collaborative meetings.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description: Not applicable.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description: Not applicable.	
Additional Clarification	
Although there is no requirement that EUDL programs be certified as "best practice," recipients of funds are required to identify the research basis of their proposed strategies.	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information:	
Name: Debbie Field	
Email: debbie.field@odp.idaho.gov	
Address: 304 N. 8th Street, Ste. 455, Boise, ID 83702	
Phone: 208-854-3040	
Agencies/organizations represented on the committee:	
Office of Drug Policy	
Department of Juvenile Corrections	
State Department of Education	
Department of Transportation	
Idaho State Police Bureau of Alcohol Beverage Control	
Drug Free Idaho	
Community Coalitions of Idaho	
Parent-Teacher Association	
Idaho Liquor Division	
Idaho RADAR Center	
Idaho Department of Health and Welfare	
Project Filter	

Idaho Meth Project Idaho Drug Free Youth District V Alcohol Project Local coalitions	
A Web site or other public source exists to describe committee activities	Yes
URL or other means of access	http://www.odp.idaho.gov

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: The Strategic Prevention Planning Committee (of the Interagency Committee on Substance Abuse)	
Plan can be accessed via	Plan is in progress
State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: Idaho Department of Juvenile Corrections	
Report can be accessed via: The report is part of the annual EUDL application process	

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$1,560,000
Estimate based on the 12 months ending	07/01/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$60,000
Estimate based on the 12 months ending	07/01/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking

<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i> This revenue goes largely into the general funds and is then reallocated, so funds may be used indirectly to support the prevention/reduction of underage drinking.	

Additional Clarification

It is difficult to determine enforcement funding because there are only two State ABC positions, and much of their support for underage drinking enforcement comes from EUDL funding. Idaho has a great need for more positions and higher alcohol taxes.



Illinois

State Profile and Underage Drinking Facts

State Population: 12,830,632
Population Ages 12–20: 1,654,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	28.4	469,000
Past-Month Binge Alcohol Use	19.9	328,000
Ages 12–14		
Past-Month Alcohol Use	4.8	24,000
Past-Month Binge Alcohol Use	2.2	11,000
Ages 15–17		
Past-Month Alcohol Use	23.7	133,000
Past-Month Binge Alcohol Use	16.2	91,000
Ages 18–20		
Past-Month Alcohol Use	53.2	312,000
Past-Month Binge Alcohol Use	38.7	226,000
Alcohol-Attributable Deaths (under 21)		Number 209
Years of Potential Life Lost (under 21)		12,444

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	25.0	33

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Parent/guardian

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through an administrative procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.
- It is a criminal offense to manufacture or distribute a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 180 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 9 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 10 p.m.—11 p.m. on Friday and Saturday
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger under 20, except for siblings and children
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18—passenger restrictions expire 12 months after issuance of license; unsupervised night-driving restrictions remain until age 18.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law

Compliance Check Protocols

Age of Decoy

- Minimum: Not specified
- Maximum: Not specified

Appearance Requirements

- Age-appropriate dress
- No clothing with alcohol logos
- Female: No heavy makeup, excessive jewelry, wedding bands, or suggestive clothing

ID Possession

- Required

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Mandated

Penalty Guidelines for Sales to Minors

- Time period/conditions: Not specified
- First offense: \$500 fine
- Second offense: \$2,500 fine and 3-day suspension
- Third offense: \$10,000 fine and 10-day suspension
- Fourth offense: License revocation

Note: Mitigating and/or aggravating circumstances may be considered.

Responsible Beverage Service

Voluntary Beverage Service Training

- Applies to both on-sale and off-sale establishments.
- The law does not specify new or existing outlets.

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on damages: Injured parties: \$62,961.47 per person. Families of injured parties (for loss of means of support): \$76,952.91

Note: The dram shop statute requires the Illinois Comptroller to determine each year the liability limits for causes brought under the statute in accordance with the consumer price index during the preceding 12 months. See Illinois Comptroller, Dram Shop Liability Limits, on State of Illinois website.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

Law Applicable to Parties in Residences

Social host law is not specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Consumption

- Property type(s) covered by liability law: Residence
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Exception(s): Family

Law Applicable to Parties in Other Locations

Social host law is not specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Consumption
- Property type(s) covered by liability law: Other
- Standard for hosts' knowledge or action regarding the party: Overt act—host must have actual knowledge and commit an act that contributes to the occurrence.

Note: A person commits a social host offense by renting a hotel or motel room for the purpose of or with the knowledge that such room be used for the consumption of alcoholic liquor by underage persons.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements

- Producer must record/report purchaser's name.
- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

Registration not required

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.23 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$1.39 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$8.55 per gallon—\$1.39 per gallon for alcohol content of more than 14 percent and less than 20 percent

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Prohibited
- Unlimited beverages: Prohibited
- Increased volume: Prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Wine (12 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Illinois State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
No one agency has primary responsibility for enforcement of underage drinking laws.	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>	
No data	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Unknown
Such laws are also enforced by local law enforcement agencies	No data
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	No
Number pertains to the 12 months ending	Data not collected
Data include arrests/citations issued by local law enforcement agencies	Data not collected
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	1,264
Number of licensees that failed State compliance checks	236
Numbers pertain to the 12 months ending	06/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	No
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes
Total amount in fines across all licensees	No data
Numbers pertain to the 12 months ending	\$288,250
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	Yes
Total days of suspensions across all licensees	No data
Numbers pertain to the 12 months ending	300
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
Number of license revocations imposed ⁵	Yes
Numbers pertain to the 12 months ending	9
	06/30/2010

Additional Clarification

Only State-level data have been reported.

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs SPECIFIC TO Underage Drinking**
Enforcing Underage Drinking Laws – Block Grant

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	No data

Program description: The Enforcing Underage Drinking Laws Block Grant provides funds to support the enforcement of State laws prohibiting the sale of alcoholic beverages to minors and to prevent the purchase or consumption of alcoholic beverages by minors.

Partnerships for Success

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	No data

Program description: The Partnerships for Success program is designed to address gaps in prevention services and to increase the ability of Illinois to help specific populations or geographic areas with serious, emerging substance abuse problems. The goals of the project are (1) to use a data-driven process to leverage existing prevention dollars and (2) to reduce underage drinking at the State level. Illinois is partnering with 20 subrecipient community coalitions to meet those targets. Subrecipient communities will implement at least two evidence-based environmental programs, policies, and practices guided by the five steps of the strategic prevention framework (SPF).

Enforcing Underage Drinking Laws - College Discretionary Initiative

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	No data

Program description: The Enforcing Underage Drinking Laws College Discretionary Initiative is designed to reduce underage drinking on campuses and in selected communities by systematically implementing environmental strategies that increase the enforcement of underage drinking laws and enhance research-based prevention planning and programming with a special emphasis on underage drinking among college students. Two subrecipients are funded by this initiative.

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**

Comprehensive Grant Program

URL for more program information: No data

Program description: The Comprehensive Grant Program supports approximately 100 providers in the delivery of services that are designed to reduce alcohol, tobacco, and drug use among youth ages 10 through 17. The direct services include the following approaches:

- Youth prevention education
- Parent/family education
- Tutoring
- Mentoring
- Technical assistance for student assistance programming
- Communication campaigns
- Strategic prevention framework and public policy for alcohol, tobacco, and drug prevention

Statewide Grant Programs

URL for more program information: No data

Program description: The Statewide Grant Programs address unique populations or geographic areas throughout the State of Illinois. These programs target business (promoting the adoption of policies and practices that support a drug-free workplace), institutions of higher education, and high school students (developing leadership skills to address alcohol, tobacco, and drugs).

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No recognized Tribes
Program description	Not applicable

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
---	-----

Best practice standards description: The State requires the use of evidence-based programs, practices, and policies. In Illinois, evidence-based standards are also promoted. For example, if a community-based provider proposed an underage drinking communication campaign, the provider would be expected to address all of the standards for communication campaigns. To review standards for communication campaigns, visit http://www.cprd.illinois.edu/files/ResearchBrief_CommCampaigns_2009.pdf and see pages 18-19.

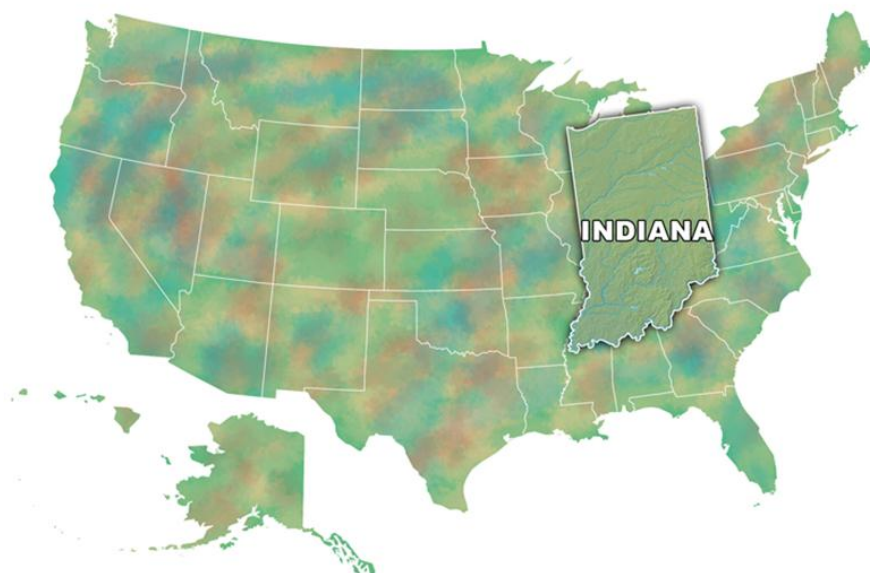
Evidence-based standards exist for the following approaches:

- Social norms and communication campaigns
- Mentoring
- Tutoring
- Parent/family education
- Youth prevention education
- Strategic prevention framework
- Public policy
- Technical assistance to student assistance programs

Additional Clarification	
No data	
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	No
<i>Committee contact information</i>	Not applicable
<i>Agencies/organizations represented on the committee</i>	Not applicable
<i>A Web site or other public source exists to describe committee activities</i>	Not applicable
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by: Department of Human Services, Bureau of Community-Based and Primary Prevention, Substance Abuse Prevention Program	
Plan can be accessed via	No data
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Illinois Department of Human Services, Division of Community Health and Prevention, Substance Abuse Prevention Program - Strategic Prevention Framework State Incentive Grant	
Plan can be accessed via: http://www.cprd.illinois.edu/publications/epidemiology-alcohol-use-state-illinois-2008	
Additional Clarification	
The plan developed is associated with the Strategic Prevention Framework State Incentive Grant program.	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$6,859,854
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	No
Fees	Yes
Other	No data
<i>Description of funding streams and how they are used:</i> Fees: The Illinois Liquor Control Commission collects alcohol license fees, and a portion of the fees is used to support substance abuse prevention services. Taxes: The Substance Abuse Prevention Program is also supported by General Revenue funds.	
Additional Clarification	
No data	



Indiana

State Profile and Underage Drinking Facts

State Population: 6,483,802
Population Ages 12–20: 814,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.2	188,000
Past-Month Binge Alcohol Use	16.0	130,000
Ages 12–14		
Past-Month Alcohol Use	4.0	10,000
Past-Month Binge Alcohol Use	1.5	4,000
Ages 15–17		
Past-Month Alcohol Use	23.2	65,000
Past-Month Binge Alcohol Use	16.0	44,000
Ages 18–20		
Past-Month Alcohol Use	40.2	114,000
Past-Month Binge Alcohol Use	28.9	82,000
Alcohol-Attributable Deaths (under 21)		Number 101
Years of Potential Life Lost (under 21)		6,072

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	18.0	23

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is not prohibited.

Note: Indiana does not have a statute that specifically prohibits purchase, but it does prohibit purchasing or attempting to purchase alcohol in connection with making a false statement or using false evidence of majority or identity, except that youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- General affirmative defense—the retailer came to a good faith or reasonable decision that the purchaser was 21 years or older; inspection of an identification card not required.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: 90 days
- Maximum: 365 days

Graduated Driver’s License***Learner Stage***

- Minimum entry age: 15
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16 years, 6 months—16 years, 9 months without driver education
- Unsupervised night driving
 - Prohibited after: 10 p.m.—first 180 days, 10 p.m.; then, 11 p.m. Sunday through Friday and 1 a.m. on Saturday and Sunday
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No passengers except immediate family, unless accompanied by parent or a licensed driver at least 21 years old
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18—passenger restrictions expire 180 days after issuance of intermediate license; unsupervised night-driving restrictions remain until age 18.

Laws Targeting Alcohol Suppliers**Furnishing Alcohol to Minors**

Furnishing is prohibited—no explicit exceptions noted in the law.

Compliance Check Protocols***Age of Decoy***

- Minimum: 18
- Maximum: 20.75

Appearance Requirements

- Age-appropriate dress and grooming

ID Possession

- Prohibited

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Mandated

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

Mandatory Beverage Service Training for Licensees, Managers, Servers

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: 19
- Wine: 19
- Spirits: 19

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Minimum Ages for On-Premises Sellers

- Beer: 19 for servers and 21 for bartenders
- Wine: 19 for servers and 21 for bartenders
- Spirits: 19 for servers and 21 for bartenders

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Knowledge of visible intoxication

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Knowledge of visible intoxication.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

- Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:
- Consumer must make at least one trip to producer's place of business to verify age before any direct shipments are permitted.

Age Verification Requirements

- Producer must verify age of purchaser.
- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Note: Brewers who manufacture not more than 30,000 barrels of beer in a single calendar year may ship up to one-half barrel of beer directly to Indiana consumers without being subject to the restrictions placed on wine shipments.

Keg Registration

- Keg definition: At least 7¾ gallons
- Prohibited: Possessing an unregistered, unlabeled keg—maximum fine/jail: \$1,000
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Not required
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted—6.75 to 15.75 gallons in a single transaction depending on the type of retail license
- Wine: Permitted
- Spirits: Permitted—4 to 12 quarts in a single transaction depending on the type of retail license

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.12 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.47 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$2.68 per gallon.

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price at specified day or time: Prohibited
- Unlimited beverages: Not prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Price posting requirements: Post—all prices, discounts, or allowances offered by wholesalers shall be disseminated to customers in such a manner and for such a period of time to ensure that customers are afforded reasonable opportunity to secure the discount. For dissemination purposes, the customer is anyone the wholesaler had sold alcoholic beverages to within the last 30 days. For the purposes of this rule, a reasonable opportunity to secure the discount shall be presumed when offer is extended for not less than 7 days after dissemination of the price list.
- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Price posting requirements: Post—all prices, discounts, or allowances offered by wholesalers shall be disseminated to customers in such a manner and for such a period of time to insure that customers are afforded reasonable opportunity to secure the discount. For dissemination purposes, the customer is anyone the wholesaler had sold alcoholic beverages to within the last 30 days. For the purposes of this rule, a reasonable opportunity to secure the discount shall be presumed when offer is extended for not less than 7 days after dissemination of the price list.
- Retailer credit: Restricted—15 days maximum

Spirits (40 percent alcohol)

- Price posting requirements: Post—all prices, discounts, or allowances offered by wholesalers shall be disseminated to customers in such a manner and for such a period of time to insure that customers are afforded reasonable opportunity to secure the discount. For dissemination purposes, the customer is anyone the wholesaler had sold alcoholic beverages to within the last 30 days. For the purposes of this rule, a reasonable opportunity to secure the discount shall be presumed when offer is extended for not less than 7 days after dissemination of the price list.
- Retailer credit: Restricted—15 days maximum

Indiana State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Indiana Alcohol and Tobacco Commission–Indiana State Excise Police	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> No data	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	No data
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	2,310
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	911
Number of licensees that failed State compliance checks	No data
Numbers pertain to the 12 months ending	No data
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	No data
Total amount in fines across all licensees	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	No data
Total days of suspensions across all licensees	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
Number of license revocations imposed ⁵	No data
Numbers pertain to the 12 months ending	No data

Additional Clarification

Total fines for alcoholic beverage permits holders were \$709,375. These fines are not limited to violations related to minors and alcohol but do include that category. The Indiana Alcohol and Tobacco Commission/Indiana State Excise Police also has suspension periods for permits but that data is not readily available.

¹Or having consumed or purchased per State statutes

²Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³Does not include fines imposed by local agencies

⁴Does not include suspensions imposed by local agencies

⁵Does not include revocations imposed by local agencies

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs SPECIFIC TO Underage Drinking**
Indiana Coalition to Reduce Underage Drinking

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.icrud.org/about/

Program description: The Indiana Coalition to Reduce Underage Drinking (ICRUD) is a program of Mental Health America of Indiana. The Coalition's mission is to create healthier and safer environments by reducing the accessibility and availability of alcohol to underage persons. It aims to reduce youth access to alcohol by educating policymakers and the public about underage drinking and advocating for effective alcohol policies and laws. The Coalition believes that all young people have the right to live and learn in environments that do not promote, condone, or allow underage drinking.

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**
None

URL for more program information	Not applicable
----------------------------------	----------------

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No. (See additional clarification below).
Program description: Not applicable.	

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description: Not applicable.	

<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description: Not applicable.	

Additional Clarification

The total American Indian/Alaska Native population in Indiana is 0.3%.

A portion of the 0.3% American Indian/Alaska Native population is made up of the Miami Nation of Indiana. The Miamis are not a federally recognized tribe. Legislation was introduced in the 2011 session of the Indiana General Assembly to confer State recognition on the Miami Nation but the bill died in committee without receiving a hearing.

The Pokagon Band of Potawatomi Indians is a federally recognized tribe of 3,150 members. The land held by the Tribe in Federal trust is all located in Michigan and the Tribal government is located in Dowagiac, Michigan. The Tribe considers the Pokagon Homeland to be made up of four counties in southwest Michigan and six counties (LaPorte, St. Joseph, Elkhart, Starke, Marshall, and Kosciusko) in northwest Indiana.

The Pokagon own and operate the Four Winds Casino Resort in New Buffalo, Michigan, and the new Four Winds Hartford, scheduled to open in the late summer of 2011.

The Pokagon Band Behavioral Health Services is licensed by the State of Michigan to provide outpatient counseling for mental health and substance abuse.

Due to the Tribal government and services being based in Michigan, the Indiana Division of Mental Health and Addiction (DMHA) has not had a relationship with the Pokagon Band of Potawatomi Indians.

However, DMHA is in the process of identifying the proper contact in the Pokagon Tribal government to ascertain the willingness of the Tribe to consult in the ongoing development of the SAPT Block Grant plan. Additionally, this could include invitations to participate as a member of the State Epidemiological Outcomes Workgroup (SEOW) or the Mental Health and Addiction Planning and Advisory Council (MHAPAC).

State Interagency Collaboration

<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	No
<i>Committee contact information:</i> Not applicable.	
<i>Agencies/organizations represented on the committee:</i> Not applicable.	
<i>A Web site or other public source exists to describe committee activities</i>	Not applicable

Underage Drinking Reports

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Report can be accessed via	Not applicable

Additional Clarification

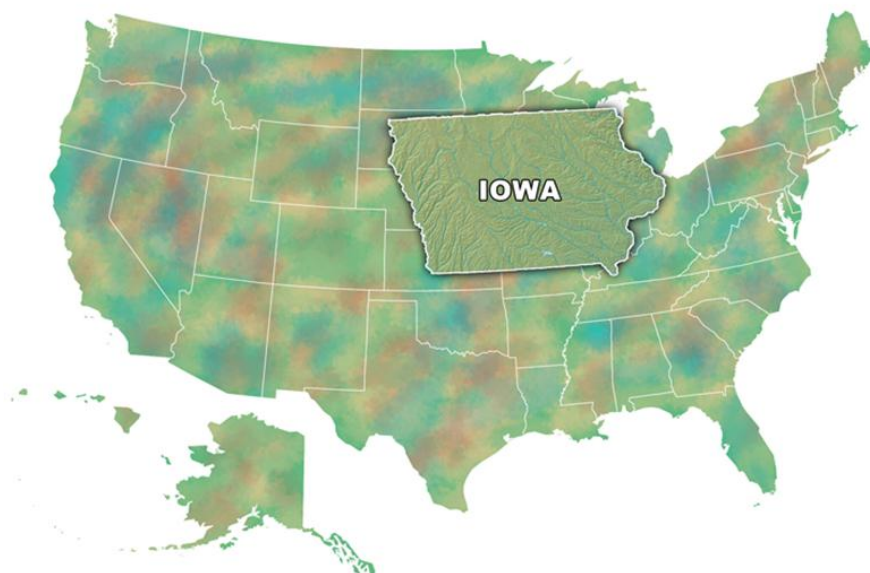
No data

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No data
Fines	No data
Fees	No data
Other	No data
<i>Description of funding streams and how they are used:</i> No data	
Additional Clarification	
No data	



Iowa

State Profile and Underage Drinking Facts

State Population: 3,046,355
Population Ages 12–20: 371,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	29.2	108,000
Past-Month Binge Alcohol Use	20.5	76,000
Ages 12–14		
Past-Month Alcohol Use	7.1	8,000
Past-Month Binge Alcohol Use	3.3	4,000
Ages 15–17		
Past-Month Alcohol Use	24.6	30,000
Past-Month Binge Alcohol Use	17.6	22,000
Ages 18–20		
Past-Month Alcohol Use	53.0	69,000
Past-Month Binge Alcohol Use	38.7	51,000
Alcohol-Attributable Deaths (under 21)		Number 36
Years of Potential Life Lost (under 21)		2,168

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	19.0	13

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Note: Iowa law does not specifically prohibit consumption of alcohol by persons under 21. Iowa does, however, have a general statute prohibiting the use or consumption of alcohol by any person in a public place. In addition, Iowa law provides that if a child, defined as a person under 18 years old, is found to have violated the general prohibition against consumption of alcohol in a public place, the child's driver's license or operating privilege may be suspended or revoked for a period of 1 year.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial or administrative procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs.
- General affirmative defense—the retailer came to a good faith or reasonable decision that the purchaser was 21 years or older; inspection of an identification card not required.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 18.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage consumption

Authority To Impose Driver’s License Sanction

- Discretionary

Length of Suspension/Revocation

- 365 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 20 hours—2 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12:30 a.m.
 - Primary enforcement of the night-driving rule
- No passenger restrictions

License Stage

- Minimum age to lift restrictions: 17

Note: In addition to the supervised driving requirement at the learner’s stage, Iowa requires an intermediate license holder to complete 10 hours of supervised driving with two of these hours being at night.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

- Time period/conditions: Second offense, 2 years; third and subsequent offenses, 3 years
- First offense: \$500 fine
- Second offense: \$1,500 fine and 30-day license suspension
- Third offense: \$1,500 fine and 60-day license suspension
- Fourth offense: License revocation

Note: Affirmative defense is possible for licensees when the employee guilty of the violation has successfully completed the Iowa Program for Alcohol Compliance Training.

Responsible Beverage Service

Voluntary Beverage Service Training

- Applies to both on-sale and off-sale establishments
 - The law does not specify new or existing outlets.

Incentives for Training

- Mitigation of fines or other administrative penalties for sales to minors
- Protection against license revocation for sales to minors

Note: Mitigation of penalties incentive does not apply if a sale is made to a minor under age 18.

Minimum Ages for Off-Premises Sellers

- Beer: 16
- Wine: 16
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on who may sue: Retailers that furnish alcohol for off-premises consumption are exempt.
- Limitations on elements/standards of proof: Retailer should have known that minor was intoxicated or was going to become intoxicated.

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on elements/standards of proof: Social host should have known that minor was intoxicated or was going to become intoxicated.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: 5 or more gallons
- Prohibited: Destroying the label on a keg—maximum fine/jail: \$625/30 days
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Passive—no purchaser action required
- Deposit required
- Provisions specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.19 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$1.75 per gallon.
- Spirits (40 percent alcohol): Control State.

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Control State

Iowa State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Iowa Department of Public Safety, Iowa State Patrol	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> There is no single State Alcohol Agency in Iowa. The Iowa State Patrol works closely with local law enforcement agencies to conduct projects involving underage drinking. Strong working relationships have enabled the program to succeed and prosper into something that is making a difference in communities across the State of Iowa. The Division of Criminal and Juvenile Justice Planning in the Iowa Department of Human Rights receives Enforcing Underage Drinking Laws (EUDL) grant funds, and part of those funds are used by the State Patrol to conduct compliance checks and other underage drinking education efforts. Some community coalitions fund compliance checks.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Yes
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	1,942
Number pertains to the 12 months ending	12/31/2009
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	349
Number of licensees that failed State compliance checks	176
Numbers pertain to the 12 months ending	09/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,333
Number of licensees that failed local compliance checks	152
Numbers pertain to the 12 months ending	09/30/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	175
Total amount in fines across all licensees	\$75,500
Numbers pertain to the 12 months ending	09/30/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	0

Total days of suspensions across all licensees	0
Numbers pertain to the 12 months ending	09/30/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	09/30/2010
Additional Clarification	
There is a direct shipping law, but it is not known how widely it is enforced. Suspensions and revocations are considered the same in Iowa. The data on minors found in possession was cited from liquor law violations and drunkenness arrests.	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Iowa Department of Public Health (IDPH) Community Coalition Grant Program</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.idph.state.ia.us/bh/sa_community_coalition.asp
Program description: The grant program funds community coalitions to provide environmental substance abuse prevention strategies to reduce underage use of alcohol in communities. Coalitions receive up to \$3,000 from State appropriations for 1 year. Coalitions currently receiving funding from any State or Federal agency in a cumulative amount of greater than \$10,000 are not eligible. In State fiscal year (FY) 2010, 13 coalitions were funded by IDPH. No numbers of youth or adults served were required in the year-end report. Additionally, 20 Iowa communities receive Drug Free Communities (DFC) Support Program Grants from the Office of National Drug Control Policy (ONDCP) and SAMHSA. Two communities have DFC Mentoring Grants. Much of their work is to prevent and reduce underage drinking, and it affects overall underage drinking efforts in Iowa. Several of the Substance Abuse Prevention and Treatment (SAPT) Block Grant-funded agencies work very closely with them. Also, Iowa has an Alliance of Coalitions for 4 Change (AC4C), a network of substance abuse prevention coalitions that have quarterly retreats and share strategies to reduce underage drinking. More information about DFCs is available from SAMHSA and ONDCP.	
<i>IDPH Strategic Prevention Framework State Incentive Grant</i>	
Number of youth served	0
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.idph.state.ia.ua/spfsig/default.asp
Program description: In 2009, IDPH received the Strategic Prevention Framework State Incentive Grant (SPF SIG) funded by SAMHSA. It is a 5-year grant to prevent the onset and reduce the progression of substance abuse including childhood and underage drinking, reduce substance abuse-related problems in communities, and build prevention capacity and infrastructure at the State/Tribal and community levels.	

SPF is a five-step process that assists States in developing a comprehensive plan and supports selected communities in implementing effective programs, policies, and practices. One of the two data-driven priorities for Iowa is underage drinking. Environmental strategies will be implemented in 23 Iowa counties selected based on needs data. SAMHSA has approved the Strategic Plan, and this spring, the counties will begin receiving funding. Therefore, no local service data are available at this time.	
Iowa Department of Education Prime for Life OWI Program	
Number of youth served	1,490
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	http://www.iowa.gov/educate/index.php?option=com_docman&task=doc_download&gid=9353
URL for more program information	No data
Program description: PRIME for Life is an alcohol and drug program designed to challenge common beliefs and attitudes that directly contribute to high-risk use of alcohol and other drug use. This State-mandated program is required for all individuals (regardless of age) convicted of operating while intoxicated (OWI) in Iowa. The program goals are to reduce the risk for health problems and impairment problems. PRIME for Life's intervention component focuses on self-assessment to help people understand and accept the need for change. PRIME for Life is recognized as an evidence-based program on SAMHSA's National Registry of Evidence-Based Programs and Practices (NREPP). During FY2010, 14,891 offenders took PRIME for Life courses from 51 agencies statewide. Approximately 1,490 recipients were 20 years old or younger. The program is for offenders only; parents and caregivers are not included.	
Iowa Department of Public Health Youth Diversion Programs	
Number of youth served	1,754
Number of parents served	1,071
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	http://www.idph.state.ia.us/bh/common/pdf/substance_abuse/comp_prevention_evaluation.pdf
URL for more program information	http://www.idph.state.ia.us/bh/sa_comprehensive_prevention.asp
Program description: IDPH funds 18 community-based agencies for 23 service areas, which collectively cover all 99 Iowa counties. These comprehensive substance abuse prevention contracts are funded by the prevention portion of the Substance Abuse Prevention and Treatment (SAPT) Block Grant and some State appropriations. Among the services provided are diversion programs in most of the 23 services areas. A diversion program is for youth who have received a minor-in-possession charge or other alcohol offense (except OWI). If a youth successfully completes the program, he or she may be diverted from the court system. The programs have different names, such as "Rethinking Drinking" or "Juvenile Education Group (JEG)," and vary somewhat as to the number of sessions and whether or not a parent or guardian is required to attend. One diversion program receives EUDL funds from the Department of Human Rights.	
Coalitions to Prevent and Reduce Alcohol Abuse at Institutes of Higher Education	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: The Iowa Office of Drug Control Policy funds substance abuse prevention coalitions to work with 10 of the 15 community colleges in Iowa. The U.S. Department of Education Office of Safe and Drug-Free Schools provides funding. The coalitions are assessing reported past-30-day alcohol use and past-30-day binge drinking among underage students using the CORE survey. Five of the 10 schools are also funded to implement environmental and social media strategies aimed at reducing both past-30-day use and binge drinking. The local substance abuse coalitions are also participating with the statewide AC4C.

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

IDPH Alcohol, Tobacco, and Other Drug Education Programs

URL for more program information http://www.idph.ia.us/bh/sa_comprehensive_prevention.asp

Program description: Through the SAPT Block Grant, IDPH funds Comprehensive Substance Abuse Prevention contracts. The contracts collectively cover all 99 Iowa counties. One of the main services provided is alcohol, tobacco, and other drug education programs in school and community settings. Many are small-group, recurring-service (multiple sessions), evidence-based programs. Some of the names of the evidence-based programs include LifeSkills Training Program, Project ALERT, Project Towards No Tobacco Use, Project Towards No Drug Abuse, All Stars, and Too Good For Drugs.

IDPH Youth Mentoring and Prevention Through Mentoring Programs

URL for more program information http://www.idph.state.ia.us/bh/sa_youth_mentoring.asp

Program description: IDPH receives State appropriations to fund the Prevention Through Mentoring contracts, which create new and support existing community youth mentoring programs. The program supports the State's goals of primary prevention of the use or abuse of alcohol, tobacco, and other drugs. Other State funding from Sunday sales liquor license fees is used by IDPH for the Youth Mentoring contracts. These also establish or sustain mentoring programs that promote relationship building and social skills development, use elements of effective practice as established by the National Mentoring Partnership, and promote a positive perception of caring adults in the community. The Mentoring Collaborative Grant is administered by IDPH from Byrne Justice Assistance Grant Program funds received from the Iowa Office of Drug Control Policy to fund mentoring services for at-risk youth ages 11 to 18 in two counties in Iowa. In addition to substance abuse goals, these contracts also work to reduce crime rates. See also http://www.idph.state.ia.us/bh/sa_mentoring.asp and http://www.idph.state.ia.us/bh/substance_abuse_mentoring.asp.

IDPH Youth Development Program

URL for more program information http://www.idph.state.ia.us/bh/sa_youth_development.asp

IDPH Safe and Drug-Free Schools Program

URL for more program information http://www.idph.state.ia.us/bh/sa_free_schools.asp

IDPH Children of Substance Abusers/Alcoholics (COSA/COA) Small Groups

URL for more program information http://www.idph.state.ia.us/bh/sa_comprehensive_prevention.asp

Drug Abuse Resistance Education (D.A.R.E.)

URL for more program information <http://www.dareiowa.org>

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking No

Program description: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program description: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs No

Best practice standards description: Not applicable

Additional Clarification	
Some, but not all, agencies specify using evidence-based practices when funding contracts for prevention services.	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: Becky Swift and Debbie Synhorst, co-chairs Email: Becky.swift@iowa.gov; Deborah.synhorst@idph.iowa.gov Address: ODCP, Wallace Office Building, 502 E 9th, 1st Floor, Des Moines, IA 50319; IDPH, 321 East 12th Street, Des Moines, IA 50319 Phone: 515-725-0301; 515-281-4404	
<i>Agencies/organizations represented on the committee:</i> Office of Drug Control Policy Department of Public Health Department of Commerce, Alcohol Beverage Division Department of Human Rights, Division of Criminal and Juvenile Justice Planning Department of Public Safety, Governor's Traffic Safety Bureau State Patrol Department of Education State Police Officers' Association Alliance of Coalitions 4 Change Helping Services for Northeast Iowa, Inc. Youth and Shelter Services, Inc. Partnerships in Prevention Science Institute, Iowa State University	
<i>A Web site or other public source exists to describe committee activities</i>	No
URL or other means of access	Not applicable

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Underage Drinking Task Force Plan can be accessed via: http://www.iowa.gov/odcp/docs/UnderageDrinkingPlanAug2010.pdf	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable Report can be accessed via: Not applicable	
Additional Clarification	
The Underage Drinking Plan needs to be updated.	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$118,373
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	\$12,824
Estimate based on the 12 months ending	06/30/2010
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	Yes
Other	No data

Description of funding streams and how they are used: IDPH Community Coalitions Grants come from State funds that are generated from the sale of Sunday beer and liquor permits.

Additional Clarification

The Iowa Office of Drug Control Policy produces the Iowa Drug Control Strategy as a required annual report to the legislature and the general public. It describes funding, but allocations are not broken out specifically for underage drinking. The Strategy is available at www.iowa.gov/odcp/drug_control_strategy/strategy.pdf. The amount provided for higher education on page 37 was only from one State university.



Kansas

State Profile and Underage Drinking Facts

State Population: 2,853,118
Population Ages 12–20: 351,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	27.7	97,000
Past-Month Binge Alcohol Use	18.5	65,000
Ages 12–14		
Past-Month Alcohol Use	7.4	8,000
Past-Month Binge Alcohol Use	2.6	3,000
Ages 15–17		
Past-Month Alcohol Use	22.7	28,000
Past-Month Binge Alcohol Use	14.1	17,000
Ages 18–20		
Past-Month Alcohol Use	50.6	62,000
Past-Month Binge Alcohol Use	36.9	45,000
Alcohol-Attributable Deaths (under 21)		Number
		48
Years of Potential Life Lost (under 21)		2,857

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	35.0	29

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Note: Kansas has an exception permitting persons under 21 years old to possess alcohol, but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor which is more than 4 percent ABV).

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Note: Kansas has an exception permitting persons under 21 years old to consume alcohol, but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor which is more than 4 percent ABV).

Internal Possession by Minors

Internal possession is prohibited—no explicit exceptions noted in the law.

Note: Kansas has an exception permitting persons under 21 years old to possess or consume alcohol but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any such liquor which is more than 4 percent ABV).

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- No driver's license suspension procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 30 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14
- Minimum learner-stage period: 12 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 9 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger under 18 who is not immediate family
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 16 years, 6 months

Note: Kansas has a “restricted license” that allows unsupervised 15-year-olds to drive to and from school or work using the most direct route possible. They must have completed driver’s education, held an instruction permit for 12 months, completed 25 hours of supervised driving with an additional 25 hours of driving prior to age 16, and obtained parental consent. They must not operate the vehicle with nonsibling minor passengers.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited—no explicit exceptions noted in the law.

Note: Kansas has an exception permitting the furnishing by a parent or legal guardian to a child or ward but the exception applies only to cereal malt beverages (defined as any fermented but undistilled liquor brewed or made from malt or from a mixture of malt or malt substitute, but not including any liquor which is more than 4 percent ABV).

Compliance Check Protocols

Age of Decoy

- Minimum: 18
- Maximum: 19.5

Appearance Requirements

- Youthful-looking appearance
- Males: No facial hair

ID Possession

- Required

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

- Time period/conditions: Not specified
- First offense: \$500 fine
- Second offense: \$750 fine
- Third offense: \$1,000 fine
- Fourth offense: \$1,000 fine and license suspension for 2 weekend days

Note: Fifth offense: \$1,000 fine and 4-day license suspension (weekend days); sixth offense: \$1,000 fine and 7-day license suspension; seventh offense: \$1,000 fine and 14-day license suspension; eighth offense: \$1,000 fine and license revocation.

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 21
- Wine: 21
- Spirits: 21

Note: Although employees must be at least 21 to sell alcoholic liquors at off-sale establishments, employees who are at least 18 may sell cereal malt beverages (defined as containing not more than 4 percent alcohol by volume) if the licensee's place of business is licensed only to sell cereal malt beverages at retail in original and unopened containers and not for consumption on the premises.

Minimum Ages for On-Premises Sellers

- Beer: 18 for servers and 21 for bartenders
- Wine: 18 for servers and 21 for bartenders
- Spirits: 18 for servers and 21 for bartenders

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Dram Shop Liability

There is no statutory liability.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

Social host law is specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Recklessness
- Exception(s): Family, resident

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Producer must verify age of purchaser.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol

Keg Registration

- Keg definition: 4 or more gallons
- Prohibited:
 - Possessing an unregistered, unlabeled keg—maximum fine/jail: \$1,000/6 months
 - Destroying the label on a keg—maximum fine/jail: \$1,000/6 months
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Not required
- Deposit: Not required

- Provisions specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.18 per gallon
- Ad valorem excise tax (on-premises sales): 10 percent
- Ad valorem excise tax (off-premises sales): 8 percent

General sales tax rate of 6.3 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 10 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 3.7 percent. An additional 8 percent ad valorem tax applies at the onsite wholesale level. The offsite ad valorem tax of 8 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 1.70 percent.

Wine (12 percent alcohol)

- Specific excise tax: \$0.30 per gallon
- Ad valorem excise tax (on-premises sales): 10 percent
- Ad valorem excise tax (off-premises sales): 8 percent

General sales tax rate of 6.3 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 10 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 3.7 percent. An additional 8 percent ad valorem tax applies at the onsite wholesale level. The offsite ad valorem tax of 8 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 1.70 percent.

Spirits (40 percent alcohol)

- Specific excise tax: \$2.50 per gallon
- Ad valorem excise tax (on-premises sales): 10 percent
- Ad valorem excise tax (off-premises sales): 8 percent

General sales tax rate of 6.3 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 10 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 3.7 percent. An additional 8 percent ad valorem tax applies at the onsite wholesale level. The offsite ad valorem tax of 8 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 1.70 percent.

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Prohibited
- Unlimited beverages: Prohibited
- Increased volume: Prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Restricted—distributors cannot sell alcoholic liquor or cereal malt beverages at a discount for multiple case lots.
- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Volume discounts: Restricted—distributors cannot sell alcoholic liquor or cereal malt beverages at a discount for multiple case lots.
- Price posting requirements: Post
- Retailer credit: Not permitted

Spirits (40 percent alcohol)

- Volume discounts: Restricted—distributors cannot sell alcoholic liquor or cereal malt beverages at a discount for multiple case lots.
- Price posting requirements: Post
- Retailer credit: Not permitted

Kansas State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Kansas Department of Revenue, Alcoholic Beverage Control Division (ABC)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> ABC partners with local law enforcement agencies to provide training on how to enforce underage drinking laws and conduct underage drinking enforcement activities.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	No data
Such laws are also enforced by local law enforcement agencies.	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession ¹ by State law enforcement agencies	210
Number pertains to the 12 months ending	06/30/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	608
Number of licensees that failed State compliance checks	97
Numbers pertain to the 12 months ending	06/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Unknown
Number of fines imposed by the State ³	No data
Total amount in fines across all licensees	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	No
Number of suspensions imposed by the State ⁴	Data not collected
Total days of suspensions across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁵	Data not collected
Numbers pertain to the 12 months ending	Data not collected

Additional Clarification

No data

¹ Or having consumed or purchased per State statutes² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors³ Does not include fines imposed by local agencies⁴ Does not include suspensions imposed by local agencies⁵ Does not include revocations imposed by local agencies**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs SPECIFIC TO Underage Drinking*****Communities Mobilizing for Change on Alcohol (CMCA)***

Number of youth served	333,625
Number of parents served	103,846
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: CMCA is a community-organizing program designed to reduce teenagers' (ages 13 to 20) access to alcohol by changing community policies and practices. CMCA seeks both to limit youth access to alcohol and to communicate a clear message to the community that underage drinking is inappropriate and unacceptable.

Saturation Patrols

Number of youth served	387
Number of parents served	356
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: A strategy wherein large numbers of officers are concentrated in a geographic area looking for cases of underage drinking

Responsible Beverage Services/Compliance Checks

Number of youth served	No data
Number of parents served	15
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: Responsible Beverage Services educates merchants who sell alcohol on State laws and standards to eliminate the sale of alcohol to minors. Compliance checks evaluate the selling practices of alcohol outlets and raise awareness of current laws.

Project SUCCESS (Schools Using Coordinated Community Efforts to Strengthen Students)

Number of youth served	212
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010

Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Project SUCCESS is designed to prevent and reduce substance use among students 12 to 18 years old. The program was originally developed for students attending alternative high schools who are at high risk for substance use and abuse due to poor academic performance, truancy, discipline problems, negative attitudes toward school, and parental substance abuse.	
Class Action	
Number of youth served	132
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Class Action is the second phase of the Project Northland alcohol use prevention curriculum series. Class Action (for grades 11 to 12) and Project Northland (for grades 6 to 8) are designed to delay the onset of alcohol use, reduce use among youth who have already tried alcohol, and limit the number of alcohol-related problems experienced by young drinkers.	
Keep a Clear Mind (KACM)	
Number of youth served	105
Number of parents served	59
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: KACM is a take-home drug education program for elementary school students in grades 4 to 6 (ages 9 to 11) and their parents. KACM is designed to help children develop specific skills to refuse and avoid use of “gateway” drugs.	
Too Good for Drugs (TGFD)	
Number of youth served	1,977
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: TGFD is a school-based prevention program for kindergarten through 12th grade that builds on students’ resiliency by teaching them how to be socially competent and autonomous problem-solvers.	
Guiding Good Choices (GGC)	
Number of youth served	No data
Number of parents served	62
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: GGC is a drug use prevention program that provides parents of children in grades 4 through 8 (9 to 14 years old) with knowledge and skills to guide their children through early adolescence.	
Life Skills Training (LST)	
Number of youth served	1,108
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: LST is a school-based program that aims to prevent alcohol, tobacco, and marijuana use and violence by targeting the major social and psychological factors that promote the initiation of substance use and other risky behaviors.	
Protecting You/Protecting Me (PY/PM)	
Number of youth served	168
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: PY/PM is a 5-year classroom-based alcohol use prevention and vehicle safety program for students in grades 1 to 5 (ages 6 to 11) and grades 11 and 12.	
YouthFriends	
Number of youth served	86
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Mentoring program	
Big Brothers/Big Sisters	
Number of youth served	76
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Mentoring program	
Lion's Quest	
Number of youth served	2,741
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data

<p>Program description: Lion's Quest programs (kindergarten through 12th grade) are among the most highly acclaimed positive prevention programs in the world. Lion's Quest curricula is designed to:</p> <ul style="list-style-type: none"> • Reduce risk factors that encourage drug use. • Engage the home, school, and community in developing assets for young people within a unified school–community initiative. • Promote protective factors that discourage drug use. • Establish normative beliefs that drug use is not the norm among young people, and provide a clear “no use” message. • Address internal and external pressures to use drugs and teach peer pressure strategies. • Teach resistance and other social skills as well as emotional competencies. 	
Strengthening Families Program for Parents and Youth 10-14 (SPF 10-14)	
Number of youth served	306
Number of parents served	35
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: SPF 10-14 is a family skills training intervention designed to enhance school success and reduce youth substance use and aggression among 10- to 14-year-olds.</p>	
Project Alert	
Number of youth served	80
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: Project Alert is a school-based prevention program for middle or junior high school students that focuses on alcohol, tobacco, and marijuana use. It seeks to prevent adolescent nonusers from experimenting with these drugs and to prevent youth who are already experimenting from becoming regular users or abusers.</p>	
All Stars	
Number of youth served	130
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
<p>Program description: All Stars is a multiyear school-based program for middle school students (11 to 14 years old) designed to prevent and delay the onset of high-risk behaviors such as drug use, violence, and premature sexual activity.</p>	
Capturing Kids' Hearts	
Number of youth served	No data
Number of parents served	59
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No

URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Capturing Kids' Hearts training teaches parents—specifically Hispanic community or high-risk families—skills to help their students, raise their families, and gear their children toward further education.	
Positive Action	
Number of youth served	2,629
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	No data
URL for more program information	No data
Program description: Positive Action is an integrated and comprehensive program designed to improve academic achievement; school attendance; and problem behaviors such as substance use, violence, suspensions, disruptive behaviors, dropping out, and sexual behavior.	

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

None listed

URL for more program information Not applicable

Program description: Not applicable.

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking Yes

Program description: The Regional Prevention Center provides interactive prevention education activities to a youth group sponsor focused on underage drinking. These activities help students develop healthy beliefs regarding underage and binge drinking. Underage drinking is the focus for their activities every few months.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program description: Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Best practice standards description: A definition of “evidence based” was established during the planning phase of the Strategic Prevention Framework State Incentive Grant (SPF-SIG). The definition of evidence-based prevention strategies established for the Kansas SPF-SIG is as follows:

1. Included in a Federal list or registry of evidence-based intervention strategies, OR
2. Reported in a peer-reviewed journal to have produced positive results, OR
3. Meeting all of the following criteria:
 - Based on a solid theory or theoretical perspective that has validated research; AND
 - Supported by a documented body of knowledge—a converging of empirical evidence of effectiveness—generated from similar or related interventions that indicate effectiveness; AND
 - Judged by consensus of informed experts to be effective based on their combined knowledge of theory and their research and practice experience. “Informed experts” may include key community leaders and elders or other respected leaders within indigenous cultures.

Additional Clarification	
No data	

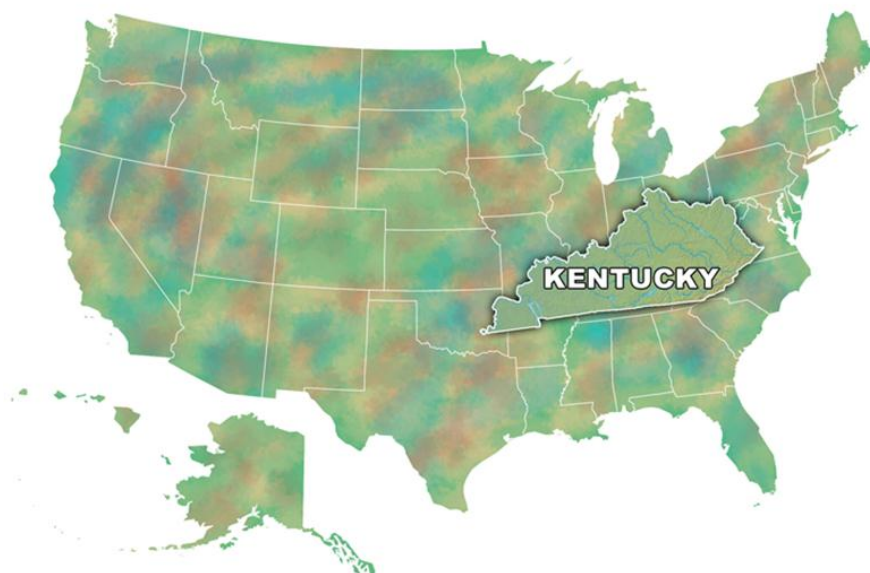
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: Sarah Fischer Email: sarah.fischer@srs.ks.gov Address: 915 SW Harrison, 9th Floor, Topeka, KS 66612 Phone: 785-291-3632	
<i>Agencies/organizations represented on the committee:</i> Department of Social and Rehabilitation Services Department of Transportation Department of Health and Environment Department of Education Kansas Board of Regents Department of Revenue Department of Corrections Juvenile Justice Authority Highway Patrol University of Kansas Army National Guard Office of the Governor League of Kansas Municipalities Wichita Regional Prevention Center Kansas Children's Cabinet and Trust Bureau of Investigation Kansas Family Partnership	
<i>A Web site or other public source exists to describe committee activities</i>	No
URL or other means of access	Not applicable

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Strategic Prevention Framework Advisory Committee	
Plan can be accessed via	No data
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Kansas Family Partnership	
Report can be accessed via	No data
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i> No data	
Additional Clarification	
No data	



Kentucky

State Profile and Underage Drinking Facts

State Population: 4,339,367
Population Ages 12–20: 519,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	25.5	132,000
Past-Month Binge Alcohol Use	17.8	92,000
Ages 12–14		
Past-Month Alcohol Use	5.3	8,000
Past-Month Binge Alcohol Use	1.5	2,000
Ages 15–17		
Past-Month Alcohol Use	20.4	37,000
Past-Month Binge Alcohol Use	15.0	27,000
Ages 18–20		
Past-Month Alcohol Use	48.1	87,000
Past-Month Binge Alcohol Use	34.5	63,000
Alcohol-Attributable Deaths (under 21)		Number
Years of Potential Life Lost (under 21)		75
		4,468

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	13.0	18

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

No use/lose law

Graduated Driver’s License

Learner Stage

- Minimum entry age: 16
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 60 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16 years, 6 months
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger under 20, unless supervised by instructor
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Parent/guardian

Compliance Check Protocols

Age of Decoy

- Minimum: 18
- Maximum: 20.5

Appearance Requirements

- Age-appropriate appearance and character

ID Possession

- Prohibited

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Mandated

Penalty Guidelines for Sales to Minors

- Time period/conditions: 2 years
- First offense: \$1,800 fine and/or 36-day suspension
- Second offense: \$3,600 fine and/or 72-day license suspension

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 20
- Spirits: 20

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Note: Although 20 years old is the minimum age requirement to sell alcoholic beverages at both off-sale and on-sale establishments, 18-year-olds may stock, arrange displays, accept payment for, and sack malt beverages by the package, under supervision of a person 20 years old or older.

Minimum Ages for On-Premises Sellers

- Beer: 20 for both servers and bartenders
- Wine: 20 for both servers and bartenders
- Spirits: 20 for both servers and bartenders

Dram Shop Liability

Statutory liability exists.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements: None

Shipping Label Statement Requirements: None

Note: Kentucky's laws permitted direct wine shipments provided the customer purchased the wine at the producer's place of business. This provision was ruled unconstitutional as violating the U.S. Constitution's interstate commerce clause. The remainder of the statutory scheme was upheld (*Cherry Hill Vineyards, LLC v. Hudgins* (W.D.Ky. 2006) 488 F.Supp.2d 601, affirmed by *Cherry Hill Vineyards, LLC v. Lilly*, 553 F.3d 423, 424+(6th Cir.(Ky.) Dec 24, 2008) (NO. 07-5128)).

Keg Registration

Registration not required

Alcohol Pricing Policies

Home Delivery

- Beer: No law
- Wine: Prohibited
- Spirits: Prohibited

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.08 per gallon
- Ad valorem excise tax (on-premises sales): 11 percent
- Ad valorem excise tax (off-premises sales): 11 percent
- Ad valorem tax applied at wholesale level

Wine (12 percent alcohol)

- Specific excise tax: \$0.50 per gallon
- Ad valorem excise tax (on-premises sales): 11 percent
- Ad valorem excise tax (off-premises sales): 11 percent
- Ad valorem tax applied at wholesale level

Spirits (40 percent alcohol)

- Specific excise tax: \$1.92 per gallon
- Ad valorem excise tax (on-premises sales): 11 percent
- Ad valorem excise tax (off-premises sales): 11 percent
- Ad valorem tax applied at wholesale level

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Not prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Retailer credit: Restricted—30 days maximum

Kentucky State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Kentucky Department of Alcoholic Beverage Control (ABC)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The Enforcement Division of the Kentucky ABC prioritizes combating youth access to alcohol. ABC Investigators are vested with full police powers to enforce all State laws. Investigators are strategically located throughout the Commonwealth to maximize their special emphasis on enforcement of the State's alcohol laws on a daily basis. The enforcement division conducts and engages in effective alcohol compliance enforcement strategies by coordinating with other local law enforcement agencies on a regular basis. These strategies, Operation Zero Tolerance (OZT) and Targeted Enforcement Details (TEDs), are proactive law enforcement strategies that have proven effective in reducing youth access to alcoholic beverages at the point of sale.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Kentucky ABC
Such laws are also enforced by local law enforcement agencies	Yes
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	No data
Number pertains to the 12 months ending	No data
Data include arrests/citations issued by local law enforcement agencies	No data
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	3,616
Number of licensees that failed State compliance checks	226
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	347
Total amount in fines across all licensees	\$485,450
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of suspensions imposed by the State ⁴	27
Total days of suspensions across all licensees	875
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	10
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
We do not collect data on the number of minors found in possession of alcohol (or having consumed). (A.4)	
We do not collect data on the number of licensees upon which underage compliance checks are conducted by local law enforcement agencies. (B.2)	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Early Intervention Program

Number of youth served	1,253
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	https://eip.reachoflouisville.com/state/EvaluationReport.aspx?Region=&BeginDate=01/01/2010&EndDate=12/31/2010
URL for more program information	No data

Program description: The Early Intervention Program (EIP) provides multifaceted prevention and intervention targeting specific needs related to alcohol- and drug-related behaviors and choices. There are two primary target populations for this program. The first is young persons under 21 who are charged with a zero-tolerance offense—driving with a blood alcohol content of 0.02 to 0.08. The second target population is juveniles at risk of becoming involved or already involved with the Juvenile Justice System and youth who are identified as using or at risk for using substances. The outcomes of the Early Intervention Program are:

1. Divert youth from involvement with the juvenile justice system.
2. Reduce the recidivism rate of first- and second- time juvenile offenders.
3. Reduce the number of youth who experience future substance-related problems.

These outcomes are achieved through screenings, prevention and intervention strategies, education, and referrals to community resources. Program components contribute to reducing youth substance use, increasing parent-child communication, and encouraging youth to make positive peer and lifestyle choices.

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

Prime for Life

URL for more program information	http://www.primeforlife.org/homepage.cfm?CFID=342699&CFTOKEN=60435865
----------------------------------	---

Program description: Prime for Life is an alcohol and drug program for people of all ages. It is designed to gently but powerfully challenge common beliefs and attitudes that directly contribute to high-risk alcohol and drug use. The program goals are to reduce the risk of health and impairment problems.

A primary goal of Prime for Life is prevention of any type of alcohol or drug problem. This includes prevention of health problems such as alcoholism, or impairment problems such as car crashes or fights. Emphasis is on knowing and understanding risks one cannot change and reducing risks one can change. Many people who attend a Prime for Life program already show signs of alcohol- or drug-related health or impairment problems. Prime for Life is designed to effectively interrupt the progression of use with these audiences. Prime for Life's intervention component focuses on self-assessment to help people understand and accept the need for change. Intensive prevention services, counseling, or treatment may be necessary to support these changes. For those who already need treatment, the program serves as pretreatment and support for abstinence.

Additional Information Related to Underage Drinking Prevention Programs

<p><i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i></p> <p>Program description: Not applicable.</p>	No recognized Tribes
<p><i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i></p> <p>Program description: The Alcohol Prevention Enhancement Site (PES), funded by the Division for Behavioral Health and operated by Bluegrass Regional Prevention Center in Lexington, Kentucky, researches alcohol environmental strategies, disseminates the latest national research, and provides technical assistance and training on implementing and evaluating effective environmental strategies to reduce alcohol availability to underage youth. The Alcohol PES also seeks to build community capacity to implement policies to limit the availability of alcohol and excessive advertising of alcohol and to change community norms that are favorable to underage drinking. The Alcohol PES was established in 1999 during Kentucky's first State Incentive Grant (SIG) and has been instrumental in a number of countywide alcohol policy changes such as responsible beverage server, social host, and keg registration ordinances.</p> <p>The Fetal Alcohol Spectrum Disorder Prevention Enhancement Site (FASD PES) was created in 2007 to increase awareness of FASD in Kentucky and to offer training and technical assistance to communities to prevent it. The scope of work of the FASD PES includes:</p> <ul style="list-style-type: none"> • Establishing a training network composed of a variety of community partners and other interested community members that will provide indepth information about FASD and prepare participants to be local FASD trainers. • Training Regional Prevention Center staff to educate middle and high school students about FASD and to gather data for use in FASD curriculum development. • Advocating for effective public policy at the State and local levels. • Working with colleges and universities throughout the State to determine effective and efficient methods for including FASD information in freshman orientation and other forums. • Responding to information requests and supporting local efforts toward mobilizing around FASD issues. • Maintaining a resource and networking list for people seeking assistance outside the scope of prevention to be shared with stakeholders across the State. • Remaining current on new information, research, and best practices in FASD through participation and mentoring with the National Organization on Fetal Alcohol Syndrome (NOFAS). 	Yes
<p><i>State has adopted or developed best practice standards for underage drinking prevention programs</i></p> <p>Best practice standards description: Our State standard is SAMHSA's document entitled <i>Identifying and Selecting Evidence Based Interventions</i>. Although not formally adopted, the document was disseminated to all Regional Prevention Centers with the expectation that they use it to guide community selection of prevention strategies. In addition, the Alcohol PES and FASD PED conduct research regularly on best practices for alcohol prevention. This information is disseminated to our network of Regional Prevention Centers, which use it to inform community planning on underage drinking (UAD) prevention.</p>	Yes

Additional Clarification	
The State Prevention Branch of the Kentucky Division of Behavioral Health funds the FASD and Alcohol PESs and the Regional Prevention Centers through the Prevention portion of the Substance Abuse Prevention and Treatment (SAPT) Block Grant.	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	No
<i>Committee contact information:</i> Not applicable.	
<i>Agencies/organizations represented on the committee:</i> Not applicable.	
<i>A Web site or other public source exists to describe committee activities</i>	Not applicable
URL or other means of access	Not applicable

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Prevention Branch of the Division of Behavioral Health Plan can be accessed via: The Plan was our application for SAMHSA's Center for Substance Abuse Prevention Partnership for Success (PFS) grant. The State Epidemiological Outcomes Workgroup (SEOW) needs assessment conducted as part of the application process identified UAD as the State priority. Kentucky was not awarded the PFS but is implementing parts of the PFS to the extent possible with limited resources. These efforts include two minigrants to Marion and Meade Counties and the statewide "Changing Social Norms and Policy" (CSNaP) initiative. The plan itself is not posted on a Web site.	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Report can be accessed via	Not applicable
Additional Clarification	
Although the State has not prepared any reports on UAD within the last 3 years, several reports on UAD were produced by Kentucky's SEOW in year 1 of the SPF SIG, 5 years ago. These reports can be accessed at http://sig.reachoflouisville.com/ReportsPresentations.aspx#presentations . Also, the Kentucky Prevention Branch has collaborated in the past with the Kentucky State Police Highway and Traffic Safety Branch on UAD initiatives and Enforcing Underage Drinking Laws (EUDL) Grants. We have also worked with the Kentucky Network, a collaborative alcohol and drug prevention effort among colleges and universities across the State. The Network provides support for UAD through grant opportunities as well as training opportunities. Both the Highway and Traffic Safety Branch and the Kentucky Network served on our SPF Advisory Council.	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	S.T.A.R.
Estimate of State funds expended	\$5,700,000
Estimate based on the 12 months ending	06/30/2010

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	Yes
Fines	Yes
Fees	No data
Other	No data

Description of funding streams and how they are used: Part of the funding for our Early Intervention Program comes from fines assessed from convictions of driving under the influence (DUIs). Our responsible beverage server program (S.T.A.R.) is funded by State General funds.

Additional Clarification

No data



Louisiana

State Profile and Underage Drinking Facts

State Population: 4,533,372
Population Ages 12–20: 577,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	29.7	171,000
Past-Month Binge Alcohol Use	17.7	102,000
Ages 12–14		
Past-Month Alcohol Use	6.8	12,000
Past-Month Binge Alcohol Use	2.4	4,000
Ages 15–17		
Past-Month Alcohol Use	25.8	49,000
Past-Month Binge Alcohol Use	14.1	27,000
Ages 18–20		
Past-Month Alcohol Use	53.1	110,000
Past-Month Binge Alcohol Use	34.2	71,000
Alcohol-Attributable Deaths (under 21)		Number 124
Years of Potential Life Lost (under 21)		7,366

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	36.0	56

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence OR EITHER
- Parent/guardian OR
- Spouse

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private residence OR EITHER
- Parent/guardian OR
- Spouse

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: 90 days
- Maximum: 365 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours—15 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 11 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: Unless accompanied by a licensed driver at least 21 years old, no more than one unrelated passenger under 21 between the hours of 6 p.m. and 5 a.m.
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s): EITHER

- Parent/guardian OR
- Spouse

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

No data

Responsible Beverage Service

Mandatory Beverage Service Training for Managers, Servers

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: Not specified
- Wine: Not specified
- Spirits: Not specified

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

- There is no statutory liability.
- The courts recognize common law dram shop liability.

Social Host Liability Laws

- There is no statutory liability.
- The courts recognize common law social host liability.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements

- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: 4 or more gallons
- Purchaser information collected:

- Purchaser’s name and address
- Verified by a government-issued ID
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: No law
- Wine: Permitted—no more than 144 (750ml) bottles per year per person per household
- Spirits: No law

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.32 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.11 per gallon (\$0.32 per gallon for alcohol content of 6 percent ABV or less).
- Spirits (40 percent alcohol): Specific excise tax is \$2.50 per gallon.

Drink Specials

- Free beverages: Not prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited—not permitted after 10 p.m.
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Banned
- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Volume discounts: Banned
- Retailer credit: Restricted—15 days maximum

Spirits (40 percent alcohol)

- Volume discounts: Banned
- Retailer credit: Restricted—15 days maximum

Louisiana State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Louisiana Department of Revenue, Office of Alcohol and Tobacco Control (ATC)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> ATC works closely with local police departments and sheriff's offices on enforcement operations and compliance checks. ATC also provides training for alcohol and tobacco enforcement at police academies across the State.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors: Louisiana Department of Revenue, Office of Alcohol and Tobacco Control (ATC)	Yes
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	Yes 950
Number pertains to the 12 months ending	06/30/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	4,312
Number of licensees that failed State compliance checks	347
Numbers pertain to the 12 months ending	06/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	No
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes
Total amount in fines across all licensees	No data
Numbers pertain to the 12 months ending	\$191,105 06/30/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	No
Total days of suspensions across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected

<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	No
Number of license revocations imposed ⁵	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Project Northland

Number of youth served	7,412
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: Hard copy available by request.	
URL for more program information: http://www.hazelden.org/web/go/projectnorthland	

Program description: Project Northland is a multilevel intervention involving students, peers, parents, and communities in programs designed to delay the age at which adolescents begin drinking, reduce alcohol use among those already drinking, and limit the number of alcohol-related problems among young drinkers. Administered to adolescents in grades 6 to 8 on a weekly basis, the program has a specific theme within each grade level that is incorporated into the parent, peer, and community components. The 6th-grade home-based program targets communication about adolescent alcohol use through student-parent homework assignments, in-class group discussions, and a communitywide task force. The 7th-grade peer- and teacher-led curriculum focuses on resistance skills and normative expectations regarding teen alcohol use, and it is implemented through discussions, games, problem-solving tasks, and role plays. During the first half of the 8th-grade Powerlines peer-led program, students learn about community dynamics related to alcohol use prevention through small-group and classroom interactive activities. During the second half, they work on community-based projects and hold a mock town meeting to make community policy recommendations to prevent teen alcohol use.

Protecting You/Protecting Me

Number of youth served	1,190
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report: Hard copy available by request	
URL for more program information: http://www.pypm.org http://www.hazelden.org/pypm	

Program description: Protecting You/Protecting Me (PY/PM) is a 5-year classroom-based alcohol use prevention and vehicle safety program for elementary school students in grades 1 to 5 (ages 6 to 11) and high school students in grades 11 and 12. The program aims to reduce alcohol-related injuries and death among children and youth due to underage alcohol use and riding in vehicles with drivers who are not alcohol free. PY/PM consists of a series of 40 science- and health-based lessons, with eight lessons per year for grades 1 to 5. All lessons are correlated with educational achievement objectives. PY/PM lessons and activities focus on teaching children about:

1. The brain—how it continues to develop throughout childhood and adolescence, what alcohol does to the developing brain, and why it is important for children to protect their brains.
2. Vehicle safety, particularly what children can do to protect themselves if they have to ride with someone who is not alcohol free.
3. Life skills, including decisionmaking, stress management, media awareness, resistance strategies, and communication.

Lessons are taught weekly and are 20 to 25 minutes or 45 to 50 minutes in duration depending on the grade level. A variety of ownership activities promote students' ownership of the information and reinforce the skills taught during each lesson. Parent take-home activities are offered for all 40 lessons. PY/PM's interactive and affective teaching processes include role playing, small group and classroom discussions, reading, writing, storytelling, art, and music. The curriculum can be taught by school staff or prevention specialists. PY/PM also has a high school component for students in grades 11 and 12. The youth-led implementation model involves delivery of the PY/PM curriculum to elementary students by trained high school students who are enrolled in a peer-mentoring, family and consumer science, or leadership course for credit. The program's benefits to high school students are derived from learning about the brain and how alcohol use can affect adolescents, serving as role models to the elementary school participants, and taking coursework in preparation for delivering the curriculum.

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking

LifeSkills Training

URL for more program information: <http://www.lifeskillstraining.com>

Program description: LifeSkills Training (LST) is a school-based program that aims to prevent alcohol, tobacco, and marijuana use and violence by targeting the major social and psychological factors that promote the initiation of substance use and other risky behaviors. LST is based on both the social influence and the competence enhancement models of prevention. Consistent with this theoretical framework, LST addresses multiple risk and protective factors and teaches personal and social skills that build resilience and help youth navigate developmental tasks, including the skills necessary to understand and resist pro-drug influences. LST is designed to provide information relevant to the important life transitions that adolescents and young teens face by using culturally sensitive and developmentally and age-appropriate language and content. Facilitated discussion, structured small-group activities, and role-playing scenarios are used to stimulate participation and promote the acquisition of skills. Separate LST programs are offered for elementary school (grades 3 to 6), middle school (grades 6 to 9), and high school (grades 9 to 12); the research studies and outcomes reviewed for this summary involved middle school students.

Positive Action

URL for more program information: <http://www.positiveaction.net>

Program description: Positive Action is an integrated and comprehensive program that is designed to improve academic achievement; school attendance; and problem behaviors such as substance use, violence, suspensions, disruptive behaviors, dropping out, and sexual behavior. It is also designed to improve parent-child bonding, family cohesion, and family conflict. Positive Action has materials for schools, homes, and community agencies. All materials are based on the same unifying broad concept (one feels good about oneself when taking positive actions) with six explanatory subconcepts (positive actions for the physical, intellectual, social, and emotional areas) that elaborate on the overall theme. Program components include grade-specific curriculum kits for kindergarten through 12th grade, drug education kits, a conflict resolution kit, sitewide climate development kits for elementary and secondary school levels, a counselor's kit, a family kit, and a community kit. All the components and their parts can be used separately or in any combination and are designed to reinforce and support one another.

Project Alert

URL for more program information: <http://www.projectalert.com>

Coping Skills

URL for more program information: http://www.learncopingskills.com/about_the_program

Too Good for Drugs
URL for more program information: http://www.mendezfoundation.org
Al's Pals: Kids Making Healthy Choices
URL for more program information: http://www.wingspanworks.com/educational_programs
Strengthening Families
URL for more program information: http://www.strengtheningfamiliesprogram.org/about.html
All Stars
URL for more program information: http://www.allstarsprevention.com
Stay on Track
URL for more program information: http://www.ncprs.org/sotHome.htm
Growing Up Strong
URL for more program information: http://www.growingupstrong.org/

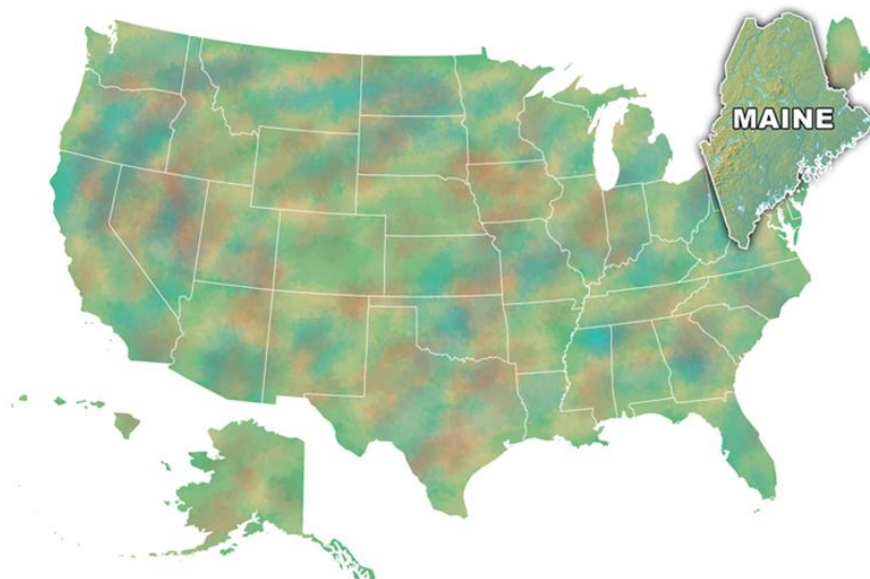
Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No
Description of collaboration: Not applicable.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description: Not applicable.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Best practice standards description: The State funds programs that meet the following criteria:	
<ol style="list-style-type: none"> 1. Inclusion in a Federal List or Registry of evidence-based interventions. 2. Being reported (with positive effects) in a peer-reviewed journal. 3. Documentation of effectiveness based on the following guidelines: <ol style="list-style-type: none"> a. The intervention is based on a theory of change that is documented in a clear logic or conceptual model. b. The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature. c. The intervention is supported by documentation indicating effective implementation in the past, and multiple times, in a manner attentive to Identifying and Selecting Evidence-Based Interventions scientific standards of evidence and with results that show a consistent pattern of credible and positive effects. d. The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review; local prevention practitioners; and key community leaders as appropriate, e.g., officials from law enforcement and education sectors or elders in indigenous cultures. 	
Additional Clarification	
PART II B: RELATED UNDERAGE DRINKING PREVENTION PROGRAMS The following are additional related Underage Drinking Prevention Programs: Keep a Clear Mind, Dare To Be You, Life Skills, Parenting: Guiding Good Choices, and ATLAS (Athletes Training and Learning to Avoid Steroids) Teen Intervene.	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
Committee contact information:	
Name: Dawn Diez, SPF-SIG Project Director, Louisiana Governor's Office/Safe and Drug Free Schools and Communities	
Email: Dawn.Diez@LA.GOV	
Address: 150 Third Street, Room 123, Baton Rouge, LA 70802	
Phone: 225-342-1836	

<i>Agencies/organizations represented on the committee:</i>	
Governor's Office/Safe and Drug Free Schools & Communities	
LA Department of Public Safety and Corrections, Office of Juvenile Justice	
LA Highway Safety Commission	
LA Department of Education	
LA Department of Health and Hospitals/Office of Behavioral Health	
LA Department of Health and Hospitals/Office Public Health	
CSAP Prevention Fellowship Program	
LA Department of Revenue/Office of Alcohol and Tobacco Control	
Louisiana Center Addressing Substance Use in Collegiate Communities (LaCASU)	
Mothers Against Drunk Driving	
LA Attorney General's Office Denham Springs High School	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://www.gov.state.la.us/index.cfm?md=pagebuilder&tmp=home&cpid=387	

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Task Force to Prevent Underage and High Risk Drinking (subcommittee of the Prevention Systems Committee) Plan can be accessed via: http://gov.louisiana.gov/index.cfm?cpID=124&md=pagebuilder&tmp=home	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: LA Department of Health and Hospitals Office of Behavioral Health (OBH) sponsors the LA Caring Communities Youth Survey (CCYS) State Epidemiology Workgroup Plan can be accessed via: http://www.gov.state.la.us/index.cfm?md=pagebuilder&tmp=home&cpID=125	
Additional Clarification	
<p>Since 1998, OBH has cosponsored the CCYS of students in grades 6, 8, 10, and 12 statewide. The survey was developed to measure students' involvement in a specific set of problem behaviors (including alcohol use) as well as their exposure to a set of scientifically validated risk and protective factors identified in the Risk and Protective Factor Model of adolescent problem behaviors. The survey is conducted every 2 years. Web site address:</p> <p>http://new.dhh.louisiana.gov/index.cfm/newsroom/detail/1392.</p> <p>In April 2005, the Drug Policy Board assigned the State Epidemiology Workgroup the task of collection and analyses of data on the consumption and consequences of substance use to guide prevention decisionmaking. The State Epidemiology Workgroup works in collaboration with the Prevention Systems Committee to advise and make recommendations to the Drug Policy Board on issues relating to programs, policies, and practices that build a solid foundation for delivering and sustaining an effective substance abuse prevention effort. The State Epidemiology Workgroup is composed of individuals and organizations who are knowledgeable about alcohol, tobacco, and illicit drug data and prevention issues.</p>	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	0
Estimate based on the 12 months ending	No data
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	0
Estimate based on the 12 months ending	No data
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	0
Estimate based on the 12 months ending	No data
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	0
Estimate based on the 12 months ending	No data
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of State funds expended	Not applicable
Estimate based on the 12 months ending	Not applicable
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Maine

State Profile and Underage Drinking Facts

State Population: 1,328,361
Population Ages 12–20: 152,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	26.2	40,000
Past-Month Binge Alcohol Use	17.8	27,000
Ages 12–14		
Past-Month Alcohol Use	3.9	2,000
Past-Month Binge Alcohol Use	2.4	1,000
Ages 15–17		
Past-Month Alcohol Use	24.1	12,000
Past-Month Binge Alcohol Use	13.2	7,000
Ages 18–20		
Past-Month Alcohol Use	49.4	26,000
Past-Month Binge Alcohol Use	36.7	19,000
Alcohol-Attributable Deaths (under 21)		Number 15
Years of Potential Life Lost (under 21)		863

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	25.0	5

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs.
- No statutory affirmative defense—statutes do not provide an affirmative defense related to retailer's belief that the minor was 21 years of age or older.

Note: In Maine, the provisions targeting suppliers apply to acts prohibited for minors. The more general laws that address adults are not collected here as they are not, for purposes of this report, specific to the lending, transfer, sale, or production of false identification for a minor's obtaining alcoholic beverages.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation.
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

No use/lose law

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 35 hours—5 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: Immediate family members only, unless accompanied by licensed driver who is at least 20 years old
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Private residence AND
- Parent/guardian

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

No data

Responsible Beverage Service

Voluntary Beverage Service Training

- The law does not specify on- or off-sale establishments.
- The law does not specify new or existing outlets.

Incentive for Training

- Defense in dram shop liability lawsuits

Minimum Ages for Off-Premises Sellers

- Beer: 17
- Wine: 17
- Spirits: 17

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Minimum Ages for On-Premises Sellers

- Beer: 17 for both servers and bartenders
- Wine: 17 for both servers and bartenders
- Spirits: 17 for both servers and bartenders

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$350,000 limit for all claims per occurrence. Medical care and treatment costs excluded from limit.

Note: Maine law includes a responsible beverage service defense.

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$350,000 limit for all claims per occurrence. Medical care and treatment costs excluded from limit.

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: At least 7.75 gallons
- Prohibited:
 - Possessing an unregistered, unlabeled keg—maximum fine/jail: \$500
 - Destroying the label on a keg—maximum fine/jail: \$1,000/6 months
- Purchaser information collected: Purchaser's name and address
- Warning information to purchaser: Passive—no purchaser action required
- Deposit required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.35 per gallon
- Ad valorem excise tax (on-premises sales): 7 percent

General sales tax rate of 5 percent does not apply to onsite sales. The onsite ad valorem retail tax is 7 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 2 percent.

Wine (12 percent alcohol)

- Control State

Spirits (40 percent alcohol)

- Control State

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Banned
- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Control State

Spirits (40 percent alcohol)

- Control State

Maine State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Department of Public Safety	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> Local and State enforcement agencies coordinate their efforts through various avenues. One way is through the Underage Drinking Enforcement Task Force, which includes representatives from local, county, and State agencies as well as many other community representatives. Local and county agencies also coordinate efforts by creating local underage drinking enforcement task forces. Local and county agencies also have memoranda of understanding with the Department of Public Safety, which allow them to enforce a limited number of liquor laws. This is an effort to help with fulfilling the responsibilities of our nonexistent liquor enforcement agency.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Yes	
Primary State agency responsible for enforcing laws	Department of Public Safety, Liquor
addressing direct sales/shippments of alcohol to minors	Licensing and Compliance Unit
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
No	
Number of minors found in possession ¹ by State law enforcement agencies	Data not collected
Number pertains to the 12 months ending	Data not collected
Data include arrests/citations issued by local law enforcement agencies	Data not collected
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Yes	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	1,657
Number of licensees that failed State compliance checks	65
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Yes	
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Yes	
Number of fines imposed by the State ³	224
Total amount in fines across all licensees	\$111,842
Numbers pertain to the 12 months ending	12/18/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Yes	
Number of suspensions imposed by the State ⁴	0
Total days of suspensions across all licensees	0

Numbers pertain to the 12 months ending	12/18//2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	12/18//2010
Additional Clarification	
Question A4 - We checked “no” because although we do track the number of minors found in possession, the way these data are reported does not allow us to separate out possession from six other liquor law violations. Data come from the Maine State Police, who report it through the Uniform Crime Report (UCR). When asked, they verified that the data could not be reported separately.	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Healthy Maine Partnership Coalitions

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	http://www.maine.gov/dhhs/osa/prevention/community/spfsig/index.htm
URL for more program information	http://www.healthymainepartnerships.org/

Program description: Healthy Maine Partnerships provide systemic and policy-focused substance abuse prevention with an emphasis on underage drinking, high-risk drinking among young adults, and prescription drug abuse in collaboration with the Maine Center for Disease Control (CDC) and Maine Department of Education (DOE). Healthy Maine Partnership substance abuse prevention specialists work within the Healthy Maine Partnership Coalition structure to develop and improve alcohol policies that support a healthy and safe environment, and also to educate all community members on the risks of underage drinking, binge drinking in young adults, and misuse of prescription drugs. They work with police and sheriff departments to enhance enforcement of alcohol laws and strengthen community relationships.

Student Intervention and Reintegration Program

Number of youth served	76
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	http://www.neias.org/sirp.html

Program description: The Student Intervention and Reintegration Program (SIRP) targets at-risk youth ages 15 to 18 (high school age). Youth are considered at risk if they are experimenting or otherwise using alcohol or drugs but do not qualify for treatment intervention. Young people are referred to SIRP by a parent, teacher, administrator, or probation officer. The aim of SIRP is to empower youth to make healthy decisions and reduce risk for problems. The program focuses on two measurable behavioral prevention goals: reduce risk of alcohol and drug problems throughout their lifetime and reduce high-risk choices. The intervention used by SIRP is the PRIME for Life Under 21 program provided by the Prevention Research Institute, Inc. (PRI); it is designed to influence behaviors using a research-based persuasion protocol. The PRI program is taught by trained and certified PRIME for Life Instructors.

Parent Media Campaign—MaineParents.net	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	http://www.maineparents.net/Media/data_survey.htm
URL for more program information	http://www.maineparents.net
<p>Program description: The first Parent Media Campaign goal in 2002 was to heighten the awareness of Maine Parents that, when it comes to alcohol, their teenager is at risk. The campaign posed the question, “Your teen and alcohol: do you really know?” The goal of this campaign was to reduce/prevent teen alcohol use through effective parenting techniques. Objectives of this campaign were to raise parental awareness of the magnitude of the problem and then localize concern to their own teens; improve behavioral monitoring; and integrate mass awareness with grassroots efforts to enhance the distribution of the message. Material was also created to address parental modeling and help parents understand how their behavior influences their children. In 2006, the campaign continued with “Find Out More, Do More” to heighten parental awareness of Maine’s underage alcohol use. The campaign is intended to increase parental monitoring and modeling techniques. “Find Out More, Do More” centers on practical tips:</p> <ul style="list-style-type: none"> • Limit Access • Network, Reinforce & Enforce • Check In • Be Up and Be Ready <p>It provides a starting point for parental monitoring of teen behavior and alcohol use. For each of the five tips, there are three levels of monitoring—good, better, and best. As parents learn and practice new skills, they are able to step up their monitoring level. This campaign also incorporates the modeling messages from the previous campaign, helping parents of children of all ages understand how their actions can affect their children.</p>	
Enforcing Underage Drinking Laws Block Grant	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.maine.gov/dhhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/index.htm
<p>Program description: The Enforcing Underage Drinking Laws Block Grant, better known as EUDL, is administered by the Office of Substance Abuse (OSA) from the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Each year, OJJDP awards OSA this grant and the funds are used for projects like:</p> <ul style="list-style-type: none"> • Higher Education Alcohol Prevention Partnership (HEAPP). • Statewide compliance checks. • Minigrants for law enforcement agencies to increase enforcement of underage drinking laws. 	
EUDL Assessment, Strategic Planning, and Implementation Initiative	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.maine.gov/dhhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/ASPII%20Grant.htm

Program description: The purpose of this project is to reduce underage drinking and alcohol-related unintentional injuries and car crashes in Maine among persons under 21 years old. The program will aim to do this by:

- Improving Maine's system for analyzing underage drinking data to guide strategic planning.
- Strengthening a statewide Underage Drinking Enforcement Task Force.
- Systematically increasing the effective and visible enforcement of underage drinking laws through enhanced research-based prevention and intervention programming.

By implementing these efforts statewide and within Maine's eight public health districts, this project will affect youth, adult, community, and public system knowledge, attitudes, behaviors, and perceptions around underage drinking and related laws. (*Note: this is a 3-year grant starting 10/1/10.*)

Table Talks—Parents Connecting for Alcohol-Free Youth

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.maine.gov/dhhs/osa/prevention/adult/tabletalks/index.htm

Program description: Table Talks are small-group discussions held in a parent's home or in another community meeting space. They provide a comfortable setting for parents to communicate openly with each other about underage drinking and how to prevent it. Each Table Talk is meant to be friendly and relaxed, and it is led by a trained facilitator.

The Card ME Program

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No data
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: The Card ME Program aims to reduce illegal and/or irresponsible alcohol sales and service by providing communities and liquor licensees with a model and resources to:

- Make it more difficult for underage and visibly intoxicated persons to obtain alcohol from liquor licensees.
- Increase a licensee's capacity and motivation in improving their responsible retailing efforts.
- Build stronger community norms around limiting alcohol availability to underage and visibly intoxicated persons.

The Card ME Program is a voluntary responsible alcohol sales/service program that takes an innovative approach to reducing sales of alcohol to minors and visibly intoxicated persons. The program provides managers and owners with free tools to help set norms and expectations around selling/serving alcohol at their businesses.

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking	
Youth Substance Abuse Prevention Programs	
URL for more program information	No data
Program description: Youth-targeted, evidence-based substance abuse prevention programs have been implemented in several locations throughout the State. Currently funded projects include Project Success, Project Alert, LifeSkills Training, Lion's Quest, and Coping and Support Training Community Action for a Safer Tomorrow (CAST).	
Maine Youth Action Network (MYAN)	
URL for more program information	http://www.myan.org
Program description: MYAN works to empower and prepare youth and adults to partner to create positive change on issues about which they feel passionate.	
Youth Empowerment and Policy Project	
URL for more program information	http://www.neias.org/YEP/
Maine Alliance for the Prevention of Substance Abuse	
URL for more program information	http://www.masap.org/site/mapsa.asp
Building State Capacity Grant From the U.S. Department of Education (DOE)	
URL for more program information	No data
State Epidemiological Outcomes Workgroup	
URL for more program information	http://www.maine.gov/dhhs/osa/data/cesn/index.htm
Additional Information Related to Underage Drinking Prevention Programs	
State collaborates with federally recognized Tribal governments in the prevention of underage drinking	Yes
Program description: OSA is building and strengthening relationships with Tribal communities in Maine. Altogether, there are five federally recognized Tribes in Maine: Penobscot, Passamaquoddy (Indian Township), Passamaquoddy (Pleasant Point), Maliseet, and Micmac. One OSA Prevention Specialist attends the Tribal Health Directors meeting that occurs every other month when invited. Strategic Prevention Framework State Incentive Grant (SPG SIG) funding was allocated toward the development and inclusion of substance abuse questions in the Tribal Health Needs Assessment last year. One OSA Prevention Specialist attends Project Linking Actions for Unmet Needs in Children's Health (LAUNCH) meetings on a regular basis. Relevant information is shared with Tribal health directors and other members as identified (e.g., Prevention News listserv material; OSA resource materials and Web links). OSA also participates in other meetings as identified and invited.	
State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing	Yes
Program description: <i>A Guide to Replicating an Alcohol Retailer's Marketing Code of Conduct in Your Local Community</i> (2007; http://www.neias.org/YEP/documents.html) is intended to help local, statewide, and national groups replicate a code of conduct, which provides a set of guidelines to help retailers make concrete changes in their stores to reduce the impact of alcohol advertising on underage youth. The purpose of this guide is twofold: provide a history and tools for local Maine communities implementing the code, and provide guidelines to communities outside the State of Maine on the replication of the code and explore how alcohol advertising promotes underage drinking in local markets.	
Alcohol Pricing and Promotion Guides (http://www.maine.gov/dhhs/osa/prevention/community/licensee/pricepromo.htm): Low alcohol prices and special promotions meant to encourage product sales and brand loyalty; these can have the unintended consequence of promoting overservice and binge drinking. Low alcohol prices and promotions appeal mostly to younger drinkers—and individuals 18 to 25 years of age comprise our Nation's highest risk age group when it comes to binge and heavy drinking (2006 National Survey on Drug Use and Health: National Findings, SAMHSA Office of Applied Studies).	

Environmental Assessment: Pricing and Promotion was developed to assess a community's environment in regard to pricing and promotions of alcohol, which contribute to high-risk drinking.

Alcohol Pricing and Promotions: Protect Your Business was developed to help licensees understand the laws around pricing and promotion and why pricing and promotions can cause problems. It offers tips for protecting their businesses from the negative consequences of low pricing and promotions.

State has adopted or developed best practice standards for underage drinking prevention programs

Yes

Best practice standards description: OSA SPF-SIG Strategy approval process: The U.S. Department of Health and Human Services' Substance Abuse and Mental Health Services Administration developed a guidance document to help SPF-SIG recipient States and communities identify and select evidence-based interventions through a common definition and process. The SPF-SIG Program specifically requires implementation of evidence-based interventions. Along with being evidence-based, effective strategies should match the needs of the community, include multiple activities, and involve multiple people. To be able to implement strategies that fall outside of options 1 or 2 below, a process needed to be developed to operationalize option 3 below.

Evidence-based strategy definitions:

1. Included on Federal Lists or Registries of evidence-based interventions; OR
2. Reported (with positive effects) in peer-reviewed journals; OR
3. Documented effectiveness based on the three new guidelines for evidence:

Guideline 1: The intervention is based on a solid theory or theoretical perspective that has been validated by research; AND

Guideline 2: The intervention is supported by a documented body of knowledge—a converging accumulation of empirical evidence of effectiveness—generated from similar or related interventions that indicate effectiveness; AND

Guideline 3: The intervention is judged by a consensus among informed experts to be effective based on a combination of theory, research, and practice experience. Informed experts may include key community prevention leaders, and elders or other respected leaders within indigenous cultures.

OSA created two manuals to operationalize an approval process:

- OSA SPF-SIG Strategy Approval Guide For OSA SPF-SIG grantees
(<http://www.maine.gov/dhhs/osa/prevention/community/spfsig/index.htm>)
- Maine OSA SPF-SIG Reviewers Manual, Evidence Based Approval Process Card ME
(<http://www.maine.gov/dhhs/osa/prevention/community/licensee/cardme/index.htm>)

Additional Clarification

No data

State Interagency Collaboration

A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities

Yes

Committee contact information:

Name: Prevention Team Manager

Email: osa.ircosa@maine.gov

Address: 41 Anthony Ave. #11, SHS, Augusta, ME 04333-0011

Phone: 207-287-2595

Agencies/organizations represented on the committee:

Teen and Young Adult Health Program

Maine Office of Substance Abuse

Maine Community Health Promotion Program

U.S. Department of Justice

Maine Drug Enforcement Agency

Higher Education Alcohol Prevention Partnership

Maine Children's Trust Maine Center for Disease Control & Prevention, Healthy Maine Partnership Maine Youth Suicide Prevention Program Maine Department of Health and Human Service, Mental Health Hornby Zeller Associates Maine Department of Education Maine Alliance for the Prevention of Substance Abuse Communities for Children and Youth Maine Coordinated School Health Program Maine Department of Corrections, Juvenile Justice Northern New England Poison Control	
<i>A Web site or other public source exists to describe committee activities</i>	No
URL or other means of access	Not applicable

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Report can be accessed via	Not applicable
Additional Clarification	
<p>In addition to the OSA Prevention advisory board, currently there are two other advisory/planning groups addressing prevention of underage drinking:</p> <p>1. Underage Drinking Enforcement Task Force http://www.maine.gov/dhhs/osa/prevention/lawenforcement/EUDL%20Grantees%20Web/UADETF.htm). The purpose of the Underage Drinking Enforcement Task Force is to ensure, via collaboration, that Maine's underage drinking enforcement efforts are coordinated amongst invested stakeholders. The task force was reconvened in August 2010.</p> <p>2. Maine DOE, in partnership with OSA, was awarded a Building State Capacity Grant from the U.S. DOE. Continuing to help schools create safe, disciplined, and drug-free learning environments that promote academic achievement is a priority. The grant funds will be used to build and sustain capacity to prevent youth substance use and violence and support collaboration between State educational agencies (SEAs) and other State agencies that are involved in efforts to prevent these problems. This 1-year grant includes convening a workgroup of State-level stakeholders across all State Agencies, referred to as the Substance Abuse and Violence Prevention in Schools Workgroup (SAVPS); conducting a needs assessment at the State level of all substance and violence prevention programming; and developing a strategic plan that will allow Maine to better coordinate the delivery of youth substance abuse and violence prevention programming.</p> <p>Maine is currently in the process of developing a strategic plan for substance abuse prevention and is engaged in planning processes with the Underage Drinking Enforcement Task Force and the Substance Abuse and Violence Prevention in Schools Workgroup.</p>	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2011
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2011

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$590,460
Estimate based on the 12 months ending	06/30/2011
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2011
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	\$80,000
Estimate based on the 12 months ending	06/30/2011
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2011
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2011
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2011

Funds Dedicated to Underage Drinking

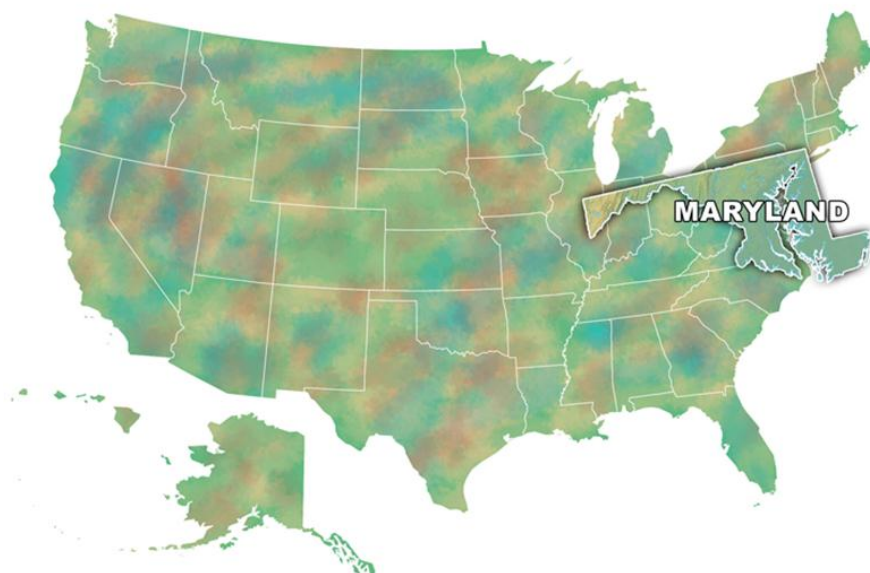
State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	No data

Description of funding streams and how they are used: No data

Additional Clarification

No data



Maryland

State Profile and Underage Drinking Facts

State Population: 5,773,552
Population Ages 12–20: 697,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	27.2	185,000
Past-Month Binge Alcohol Use	17.7	121,000
Ages 12–14		
Past-Month Alcohol Use	3.6	8,000
Past-Month Binge Alcohol Use	1.8	4,000
Ages 15–17		
Past-Month Alcohol Use	23.9	53,000
Past-Month Binge Alcohol Use	16.3	37,000
Ages 18–20		
Past-Month Alcohol Use	53.5	125,000
Past-Month Binge Alcohol Use	34.6	80,000
Alcohol-Attributable Deaths (under 21)		Number 100
Years of Potential Life Lost (under 21)		5,935

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	26.0	23

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private residence AND EITHER
- Parent/guardian OR
- Spouse

Note: Maryland’s exception includes members of an individual’s “immediate family” when the alcoholic beverage is furnished and possessed “in a private residence or within the curtilage of the residence.” The Stop Act State Report interprets the phrase “immediate family” as including a spouse.

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Private residence AND EITHER
- Parent/guardian OR
- Spouse

Note: Maryland’s exception includes members of an individual’s “immediate family” when the alcoholic beverage is furnished and consumed “in a private residence or within the curtilage of the residence.” The Stop Act State Report interprets the phrase “immediate family” as including a spouse.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 18.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Discretionary

Length of Suspension/Revocation

- Minimum: 30 days
- Maximum: 90 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15 years, 9 months
- Minimum learner-stage period: 9 months
- Minimum supervised driving requirement: 60 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16 years, 6 months
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No unrelated passengers under 18
 - No primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18 years—passenger restrictions expire 151 days after issuance of intermediate license.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Private residence AND EITHER
- Parent/guardian OR
- Spouse

Note: Maryland’s exception allows furnishing of alcohol to minors by members of their “immediate family” when the alcoholic beverage is furnished and consumed “in a private

residence or within the curtilage of the residence.” The Stop Act State Report interprets the phrase “immediate family” as including a spouse.

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

No data

Responsible Beverage Service

Mandatory Beverage Service Training for Licensees, Managers

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 21

Note: Maryland statutes allow for exceptions by specific localities within Maryland that may have more or less restrictive laws on the age to sell or serve alcoholic beverages. Such “local options” are not addressed by this report.

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Note: Maryland statutes allow for exceptions by specific localities within Maryland that may have more or less restrictive laws on the age to sell or serve alcoholic beverages. Such “local options” are not addressed by this report.

Dram Shop Liability

There is no statutory liability.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Producer must record/report purchaser's name.
- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: At least 4 gallons
- Prohibited:
 - Possessing an unregistered, unlabeled keg—maximum fine/jail: \$500 (or \$1,000 if repeat violation)
 - Destroying the label on a keg—maximum fine/jail: \$500 (or \$1,000 if repeat violation)
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Not required
- Deposit: Not required
- Provisions specifically address disposable kegs

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted—written approval from the State and county or city is required.
- Wine: Permitted—written approval from the State and county or city is required.
- Spirits: Permitted—written approval from the State and county or city is required.

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.09 per gallon
- Ad valorem excise tax (on-premises sales): 9 percent
- Ad valorem excise tax (off-premises sales): 9 percent

General sales tax rate of 6 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 9 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 3 percent. The offsite ad valorem tax of 9 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 3 percent.

Wine (12 percent alcohol)

- Specific excise tax: \$0.40 per gallon
- Ad valorem excise tax (on-premises sales): 9 percent
- Ad valorem excise tax (off-premises sales): 9 percent

General sales tax rate of 6 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 9 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 3 percent. The offsite ad valorem tax of 9 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 3 percent.

Spirits (40 percent alcohol)

- Specific excise tax: \$1.50 per gallon
- Ad valorem excise tax (on-premises sales): 9 percent
- Ad valorem excise tax (off-premises sales): 9 percent

General sales tax rate of 6 percent does not apply to onsite or offsite sales. The onsite ad valorem retail tax is 9 percent. The “sales tax adjusted” onsite retail ad valorem rate is therefore 3 percent. The offsite ad valorem tax of 9 percent is applied at the retail level. The “sales tax adjusted” offsite retail ad valorem rate is therefore 3 percent.

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Not permitted—all counties require payment on delivery except Worcester County, where 10 days of credit may be extended.

Wine (12 percent alcohol)

- Volume discounts: Uncertain due to case law
- Price posting requirements: Uncertain due to case law
- Retailer credit: Unrestricted—Alcohol and Tobacco Tax (MATT) Regulatory Division posts a list of purchase periods and due dates that is accessible only to Maryland wholesalers and retail licensees.

Spirits (40 percent alcohol)

- Volume discounts: Uncertain due to case law
- Price posting requirements: Uncertain due to case law
- Retailer credit: Unrestricted—Alcohol and Tobacco Tax (MATT) Regulatory Division posts a list of purchase periods and due dates that is accessible only to Maryland wholesalers and retail licensees.

Note: Federal Court of Appeals (4th Circuit) held that Maryland’s wholesaler volume discounting and post-and-hold provisions, considered together, violate the Sherman Act’s ban on price fixing and are not protected by the 21st Amendment. The Court did not determine whether either of the provisions, if enacted separately, violated Federal law (TFWS, Inc. v. Franchot, 572 F.3d 186 (2009)).

Maryland State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> There is no designated State Agency.	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> Maryland is a licensure State, except for Montgomery County, which is a controlled jurisdiction. Licensure means that Maryland issues licenses with conditions to private sellers to indirectly control the sale of alcohol. A controlled jurisdiction directly regulates alcohol sales by controlling retail and/or wholesale distribution. Each county and Baltimore City has, within its Board of License Commissioners, a Liquor Control Board. Each Liquor Control Board has personnel charged with ensuring that State regulations are observed. Often, Liquor Control Board personnel work with local law enforcement to conduct various enforcement initiatives. Enforcement of laws prohibiting underage drinking is a part of their overall mission.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Yes http://compnet.comp.state.md.us/Field_Enforcement_Division/
Such laws are also enforced by local law enforcement agencies	Yes
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	Yes 1,234
Number pertains to the 12 months ending	12/31/2009
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes No
Number of licensees checked for compliance by State agencies	Data not collected
Number of licensees that failed State compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes No data
Total amount in fines across all licensees	No data
Numbers pertain to the 12 months ending	No data

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the State ⁴	No data
Total days of suspensions across all licensees	No data
Numbers pertain to the 12 months ending	No data
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	No data
Numbers pertain to the 12 months ending	No data
Additional Clarification	
The Comptroller's Office is responsible for investigating issues relating to direct shipment of alcohol by licensed wholesalers and wineries except for issues surrounding shipment to minors. Generally, sales to minors are handled by the local police. There is proposed legislation in the 2011 session concerning direct shipment that, if enacted, would make shipment to minors a violation of the license provisions and therefore subject to the authority of the Comptroller's Office. The Office of the Maryland Attorney General contributes to efforts to prevent underage access to and purchase of alcohol through civil consumer protection enforcement initiatives in Maryland and through multi-State actions via the National Association of Attorneys General Youth Access to Alcohol Committee.	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Maryland Alcohol and Drug Abuse Administration—Communities Mobilizing for Change on Alcohol (CMCA)

Number of youth served	5,244
Number of parents served	10,173
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://dhmh.maryland.gov/adaa/

Program description: CMCA is an environmental approach to reducing underage drinking and access to alcohol by changing community policies and practices. CMCA is implemented in 10 jurisdictions in Maryland. County Prevention Coordinators serve as the technical assistance experts to community, agency, school, law enforcement, citizens, and others seeking to make institutional and policy changes that limit youth access to alcohol to improve the health of the entire population in the designated community. Strategies include changing community norms, community mobilization, and law enforcement.

Maryland Alcohol and Drug Abuse Administration—Maryland Strategic Prevention Framework (MSPF) Program

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://dhmh.maryland.gov/adaa/

Program description: The MSPF Program will implement evidence-based programs and strategies that will assist in reducing the misuse of alcohol by youth and young adults as measured by the following indicators:

1. Reduced number of youth ages 12 to 20 reporting past-month alcohol use.
2. Reduced number of young persons ages 18 to 25 reporting past-month binge drinking.
3. Reduced number of alcohol-related crashes involving youth ages 16 to 25.

Maryland's 24 jurisdictions will be required to implement the five-step SPF process (assessment; capacity building; planning; implementation of evidence-based programs; evaluation of effectiveness). Evaluation of the MSPF Program will be initiated in FY 2012.

Maryland Alcohol and Drug Abuse Administration (ADAA)—College Prevention Initiative

Number of youth served	41,285
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://dhmh.maryland.gov/adaa/

Program description: ADAA provides funding to four Maryland universities to develop and maintain programs/activities that prevent and reduce substance use and risk-taking behaviors associated with the use of alcohol, tobacco, and drugs. Alcohol, Tobacco, and Drug Prevention Centers have been established at Frostburg State University, Towson University, Bowie State University, and the University of Maryland Eastern Shore. The centers promote and assist in the design and implementation of campus policies, evidence-based practices, and prevention/wellness education programs for their institutions. They also collaborate with agencies and organizations in communities surrounding the campuses. Center directors have working relationships with local health department prevention coordinators, local drug and alcohol councils, and other colleges/universities in the region.

Maryland Office of the Attorney General—National Association of Attorneys General Youth Access to Alcohol Committee

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.oag.state.md.us/

Program description: The Maryland Attorney General's Office is a leader in the National Association of Attorneys General Youth Access to Alcohol Committee, which, since 2005, has worked to reduce youth access to alcohol by using State consumer protection authority to investigate and curb unfair or deceptive marketing practices by alcohol manufacturers. Examples of efforts include calling for an increase in the alcohol advertising placement standard to reduce youth exposure to alcohol ads, initiatives to restrict youth access to flavored malt beverages (including by enforcing current State laws), and most recently, petitioning the U.S. Food and Drug Administration to identify that caffeinated alcohol beverages are "not generally recognized as safe," and therefore are adulterated and unlawful under Federal food and drug laws.

Governor's Office of Crime Control and Prevention—Enforcing Underage Drinking Laws Initiative (EUDL)	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.goccp.maryland.gov/
Program description: The EUDL Coordinator works in partnership with local coalitions, prevention coordinators, law enforcement, and schools to provide educational training, resources, and initiatives to help combat problems with underage drinking on a local and statewide level. Activities include projects such as Communities Mobilizing for Change on Alcohol (CMCA), Techniques of Alcohol Management (TAM) training, Reducing the Availability of Alcohol to Minors (RAAM) training, compliance checks, and local campaigns to reduce youth substance abuse through marketing and extensive collaboration among Maryland's youth-serving agencies.	
Maryland Motor Vehicle Administration—Maryland Driver Education & Rookie Driver Program	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	http://www.mva.maryland.gov/Driver-Safety/Young/safety.htm
Program description: These programs discuss, in part, the dangers of operating a vehicle while intoxicated and the penalties faced by minors who drive while influenced or impaired by drugs and/or alcohol.	

Underage Drinking Prevention Programs Operated or Funded by the State:	
Programs RELATED TO Underage Drinking	
None	
URL for more program information: Not applicable	
Program description: Not applicable.	

Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No recognized Tribes
Program description	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description	Not applicable
Additional Clarification	
No data	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: Laura Burns-Heffner, Interim Executive Director Email: lburns-heffner@dhmh.state.md.us Address: MD Alcohol and Drug Abuse Administration, 55 Wade Ave, Catonsville, MD 21228 Phone: 410-402-8611	
<i>Agencies/organizations represented on the committee:</i> MD Department of Health and Mental Hygiene MD Department of Public Safety and Correctional Services MD Department of Juvenile Services MD Department of Human Resources MD Department of Budget and Management MD Department of Housing and Community Development MD Department of Transportation MD Department of Education Governor's Office for Children Governor's Office of Crime Control and Prevention Maryland Senate Maryland House of Delegates Circuit Court District Court MD Citizens MD Alcohol and Drug Abuse Administration MD Mental Hygiene Administration MD Division of Parole and Probation Maryland Addiction Directors Council	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://dhmh.maryland.gov/adaa/	
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: Maryland Alcohol and Drug Abuse Administration Plan can be accessed via: MD Strategic Prevention Framework Plan http://dhmh.maryland.gov/adaa/	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: 1. Maryland Alcohol and Drug Abuse Administration 2. Maryland State Dept. of Education 3. Maryland Department of Transportation-State Highway Administration 4. Governor's Office for Children Plan can be accessed via: 1. Maryland Epidemiological Profile: Consequences of Illicit Drug Use, Alcohol Use and Smoking, 2009; Maryland Compendium of Cross County Indicators on Underage Drinking, 2008 http://dhmh.maryland.gov/adaa/ 2. MD Youth Risk Behavior Survey (YRBS)-2009 www.marylandpublicschools.org/msde 3. Task Force to Combat Driving Under the Influence of Drugs and Alcohol- 2009 http://www.sha.maryland.gov/ 4. Maryland's Results for Child Well-Being- 2008 http://www.ocyf.state.md.us	
Additional Clarification	
The Maryland State Drug and Alcohol Abuse Council (SDAAC) was initially established by executive order in 2008 and codified into law on October 1, 2010, as part of a comprehensive strategy to coordinate substance abuse prevention, intervention, and treatment services and to improve the criminal justice and correctional systems' links to these services. The Council is composed of key State cabinet department secretaries, judges, legislators, and citizens. A major responsibility of the Council is to prepare and	

annually update a 2-year strategic plan that identifies priorities for the delivery and funding of services to the State. Other responsibilities include:

- Identifying promising practices in substance abuse prevention, intervention and treatment.
- Conducting annual surveys of Federal and State funds used in Maryland.
- Identifying emerging needs and potential funding sources.
- Disseminating information about funding opportunities to the local and State drug and alcohol abuse councils.

The MSPF Advisory Committee is one of five workgroups of the SDACC. The MSPF committee provides guidance on the implementation of SAMHSA's Strategic Prevention Framework design in Maryland. ADAA administers SAMHSA-SPF funds. ADAA staff provide funding, technical assistance, and additional support for the State Epidemiological Outcomes Workgroup (SEOW) and the MSPF Advisory Committee.

State Expenditures for the Prevention of Underage Drinking

Compliance checks/decoy operations in retail outlets:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Checkpoints and saturation patrols:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Community-based programs to prevent underage drinking:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

K-12 school-based programs to prevent underage drinking:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Programs targeted to institutes of higher learning:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Programs that target youth in the juvenile justice system:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Programs that target youth in the child welfare system:

Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable

Other programs:

Programs or strategies included	No data
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking

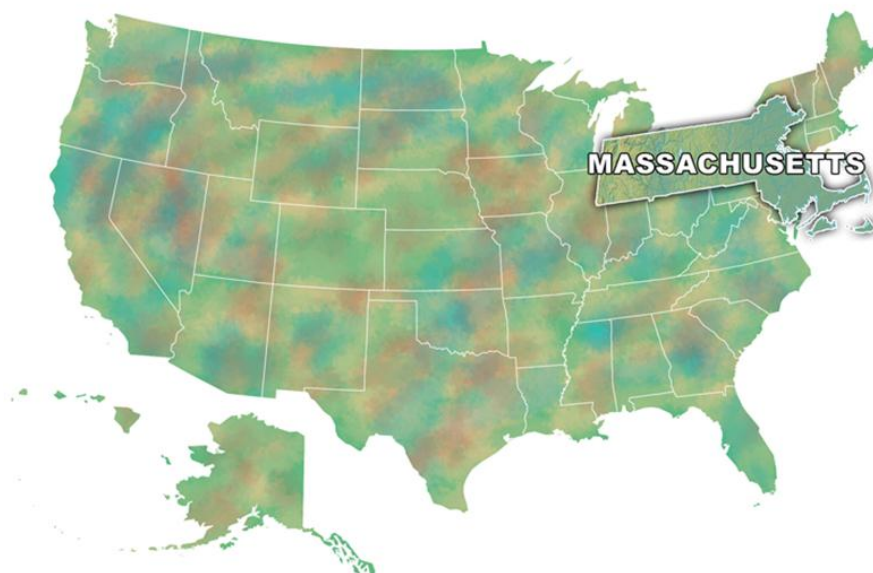
State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	No
Fines	No
Fees	No
Other	No data

Description of funding streams and how they are used:

Additional Clarification

No data



Massachusetts

State Profile and Underage Drinking Facts

State Population: 6,547,629
Population Ages 12–20: 765,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	33.0	253,000
Past-Month Binge Alcohol Use	22.5	172,000
Ages 12–14		
Past-Month Alcohol Use	5.0	13,000
Past-Month Binge Alcohol Use	1.3	3,000
Ages 15–17		
Past-Month Alcohol Use	28.5	71,000
Past-Month Binge Alcohol Use	17.7	44,000
Ages 18–20		
Past-Month Alcohol Use	63.8	169,000
Past-Month Binge Alcohol Use	47.1	125,000
		Number
Alcohol-Attributable Deaths (under 21)		56
Years of Potential Life Lost (under 21)		3,340

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	21.0	10

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Parent/guardian

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver's license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.
- It is a criminal offense to manufacture or distribute a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 90 days

Provisions Applied to Underage Purchase Violations

Use/lose penalties apply to minors under age 21

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 180 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 16
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 40 hours—or 30 hours of supervised driving if applicant completes driver skills program

Intermediate Stage

- Minimum age: 16 years, 6 months
- Unsupervised night driving
 - Prohibited after: 12:30 a.m.
 - Primary enforcement of the night-driving rule: Exception: secondary enforcement between 12:30 and 1 a.m. and between 4 and 5 a.m.
- Passenger restrictions exist: No unrelated passengers under 18, unless supervised by licensed driver over 21
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 18—passenger restrictions expire 6 months after issuance of intermediate license; unsupervised night-driving restrictions remain until full licensure is obtained.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s): EITHER

- Parent/guardian OR
- Spouse

Compliance Check Protocols

Age of Decoy

- Minimum: Not specified
- Maximum: Not specified

Appearance Requirements

- Age-appropriate appearance

ID Possession

- Prohibited

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Recommended

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

- There is no statutory liability.
- The courts recognize common law dram shop liability.

Social Host Liability Laws

- There is no statutory liability.
- The courts recognize common law social host liability.

Host Party Laws

Social host law is not specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence.
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Note: Current law provides that a winery that produces 30,000 gallons of wine or more may obtain a direct shipment only if the winery has not contracted with or has not been represented by a Massachusetts wholesaler licensed for the preceding 6 months. There is no such requirement on wineries producing less than 30,000 gallons, which includes all wineries in the State of Massachusetts. This provision was ruled unconstitutional in a Federal district court on November 19, 2008, and upheld on appeal in the First Circuit U.S. Court of Appeals on January 14, 2010.

Keg Registration

- Keg definition: More than 2 gallons
- Purchaser information collected: Purchaser's name and address
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit required: \$5
- Provisions do not specifically address disposable kegs.

Note: The deposit provisions in Massachusetts require that a purchaser pay the following: (a) a container fee of not less than \$10 for each keg having a capacity of 6 or more gallons and of not less than \$1 for each container having a capacity of less than 6 gallons; and (b) a registration fee of \$10 for each keg having a capacity of 6 or more gallons and of \$4 for each keg having a capacity of less than 6 gallons.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted—each vehicle used for transportation and delivery must be covered by a permit issued by the commission.
- Wine: Permitted—each vehicle used for transportation and delivery must be covered by a permit issued by the commission.
- Spirits: Permitted—each vehicle used for transportation and delivery must be covered by a permit issued by the commission.

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.11 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.55 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$4.05 per gallon.

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Prohibited
- Unlimited beverages: Prohibited
- Increased volume: Prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—60 days maximum

Wine (12 percent alcohol)

- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—60 days maximum

Spirits (40 percent alcohol)

- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—60 days maximum

Massachusetts State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Massachusetts Alcoholic Beverages Control Commission (ABCC)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The ABCC Investigation and Enforcement Division works in close cooperation with local and State law enforcement departments on a weekly basis to obtain optimal enforcement coverage. Most often, these efforts are generated from requests for assistance from local police chiefs who have problematic licensees in their communities or local conflicts of interest that are eliminated through ABCC cooperation. Furthermore, when a complaint is received by ABCC, investigators also reach out to local police departments to conduct cooperative enforcement operations when feasible. The Investigation and Enforcement Division works in a concerted effort with the Massachusetts Police.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Massachusetts Alcoholic Beverages Control Commission
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession ¹ by State law enforcement agencies	1,004
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	1,793
Number of licensees that failed State compliance checks	102
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,378
Number of licensees that failed local compliance checks	118
Numbers pertain to the 12 months ending	12/31/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the State ³	No data
Total amount in fines across all licensees	\$40,000
Numbers pertain to the 12 months ending	12/31/2010

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the State ⁴	117
Total days of suspensions across all licensees	275
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	1
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Bureau of Substance Abuse Services (BSAS), Underage Drinking Prevention Programs, and Regional Centers for Healthy Communities</i>	
Number of youth served	1,336,280
Number of parents served	720,040
Number of caregivers served	308,589
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description:	
<ul style="list-style-type: none"> • 31 underage drinking prevention programs in collaboration with 6 regional centers in communities across the Commonwealth • Coalition/community focused • Require city/town participation • Use SAMHSA's Strategic Prevention Framework (SPF) • Required to use evidence-based environmental strategies that relate directly to assessment-identified problems 	
<i>Town Meeting Initiative</i>	
Number of youth served	No data
Number of parents served	3,341
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: BSAS supported 54 Town Hall Meetings as part of the SAMHSA-sponsored national Town Hall Meeting effort using the recommendations in the Surgeon General's <i>Call to Action</i> to prevent underage alcohol use. These local Town Hall Meetings provided 54 local communities in Massachusetts the opportunity to come together to learn more about new research on underage drinking and its consequences, and to encourage individuals, families, and communities to address the problem.	
<i>Berklee School of Music Demonstration Project</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Office of Juvenile Justice and Delinquency Prevention (OJJDP) funds this demonstration project, which is designed to address underage drinking within the student population of Berklee School of Music. It is particularly geared to first-year students.	
<i>District Attorneys Underage Drinking Prevention</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: OJJDP funding enabled four District Attorneys Offices (Counties of Berkshire, Norfolk, Plymouth, and Worcester) to convene Underage Drinking Prevention Conferences.	
<i>Student Athlete Underage Drinking Prevention Conferences</i>	
Number of youth served	500
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Funded by the National Highway Traffic Safety Administration (NHTSA), two conferences for student athletes were attended by 500 students.	
<i>Dance Don't Chance Contest</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No data
Evaluation report is available	No data
URL for evaluation report	No data
URL for more program information	http://www.youtube.com/promshorts

Program description: Funded by NHTSA, this is a spring prom and graduation season safe-driving video contest in which teens write, produce, and submit a 60-second YouTube video on safe driving and avoiding underage drinking. The winning school is awarded a package of prizes for their prom donated by private sponsors.

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**

Bureau of Substance Abuse Services Underage Drinking Prevention Public Information Initiative

URL for more program information

<http://www.maclearinghouse.com>

Program description: The BSAS Underage Drinking Prevention Public Information Initiative offered science-informed information to parents and youth. The major approaches used were print media and outreach through the Black Ministerial Alliance. Ministers highlighted the availability of step-by-step guides in their services and afterschool programs. The guides were also distributed through health, social services, and educational settings. Radio and cable television advertisements reinforced the power of parents in preventing underage alcohol use, but the number affected is difficult to estimate.

Picture Yourself Alcohol and Drug Free

URL for more program information

No data

Program description: The Picture Yourself Alcohol and Drug Free Program is a creative alcohol and drug prevention pilot program. The campaign features messages from teens for teens. It encourages students to pursue their interests so that alcohol and drugs do not get in their way. Posters and news articles (in the school newsletters and community) as well as school-based incentive items reinforce this message.

Anti-Drug and Violence Prevention; a Safe and Drug-Free Schools Program

URL for more program information

No data

CASASTART in three public schools in Boston and Winthrop

URL for more program information

<http://www.wediko.org/news-events/2008/06/04/casastart-national-model-helping-most-risk-children-and-families>

CASASTART at six Department of Youth Services sites

URL for more program information

<http://www.talkaboutaddiction.org/programs/treatment.htm>

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking

Yes

Program description: Meeting with Regional Centers for Healthy Communities to plan strategies at present. Department of Public Health-wide presentation on disparities in Native American health status was held in November.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing

Yes

Program description: Community level: restrictions on advertising; counter alcohol advertising on billboards and public transportation. State level: counter alcohol advertising via radio.

State has adopted or developed best practice standards for underage drinking prevention programs

Yes

Best practice standards description: BSAS implements best practices through:

1. A competitive request-for-response (RFR) process.
2. Strategy meetings.
3. Regular site visits.

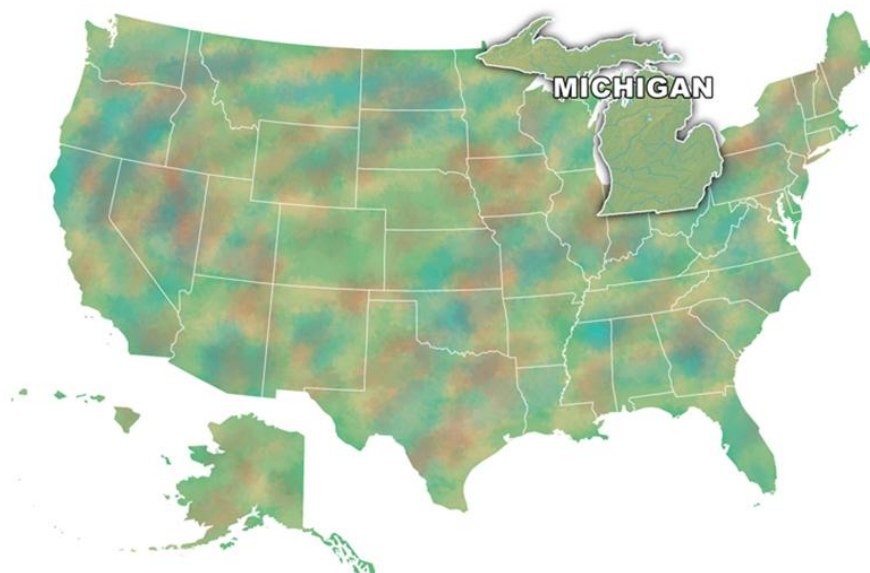
The RFR requires the selection of a science-based model. Regular meetings provide technical assistance to ensure fidelity as well as cultural competence. The community's logic model, action plan, accomplishments, and challenges are reviewed at the yearly site visits.

Additional Clarification	
No data	
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: William D. Luzier, Executive Director, Interagency Council on Substance Abuse & Prevention Email: william.luzier@state.ma.us Address: 250 Washington St., Floor 3, Boston, MA 02108 Phone: 617-624-5121	
<i>Agencies/organizations represented on the committee:</i> Lieutenant Governor, Chair Executive Office of Health and Human Services Executive Office of Public Safety Executive Office of Elder Affairs Executive Office of Veterans Affairs Department of Elementary and Secondary Education Department of Corrections Parole Board Department of Probation Department of Public Health Department of Youth Services Department of Mental Health Department of Developmental Services Massachusetts Rehabilitation Commission Department of Transitional Assistance Department of Children and Families Department of Health Care Finance and Policy Department of the Deaf and Hard of Hearing Department of Early Education and Care Bureau of Substance Abuse Services MassHealth (Medicaid) Superior Court District Court Juvenile Court Governor's Office Senate House Private citizen recovering from substance abuse problems	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access	http://www.mass.gov/?pageID=gov3subtopic&L=5&L0=Home&L1=Our+Team&L2=Lieutenant+Governor+Timothy+P.+Murray&L3=Councils%2c+Cabinets%2c+and+Commissions&L4=Interagency+Council+on+Substance+Abuse+and+Prevention&sid=Agov3
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by	Interagency Council on Substance Abuse and Prevention
Plan can be accessed via	http://www.mass.gov/Agov3/docs/Strategic%20Plan%20Update%20-%20July%202010.pdf
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by:	Department of Elementary & Secondary Education and the Department of Public Health
Report can be accessed via	http://www.doe.mass.edu/cnp/hprograms/yrrbs/

Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$27,500
Estimate based on the 12 months ending	12/31/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$100,000
Estimate based on the 12 months ending	12/31/2010
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$450,000
Estimate based on the 12 months ending	06/30/2010
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$319,000
Estimate based on the 12 months ending	06/30/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	No data
Fees	No data
Other	No data
<i>Description of funding streams and how they are used:</i> A 6.25% sales tax on alcohol was implemented effective July 1, 2009 but was repealed by voters in November 2010 (effective January 1, 2011). This revenue stream was dedicated to substance abuse and prevention programs.	
Additional Clarification	
No data	



Michigan

State Profile and Underage Drinking Facts

State Population: 9,883,640
Population Ages 12–20: 1,300,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	26.6	346,000
Past-Month Binge Alcohol Use	17.2	224,000
Ages 12–14		
Past-Month Alcohol Use	5.8	24,000
Past-Month Binge Alcohol Use	2.5	10,000
Ages 15–17		
Past-Month Alcohol Use	21.9	97,000
Past-Month Binge Alcohol Use	13.4	59,000
Ages 18–20		
Past-Month Alcohol Use	49.5	225,000
Past-Month Binge Alcohol Use	34.0	154,000
Alcohol-Attributable Deaths (under 21)		Number
Years of Potential Life Lost (under 21)		145
		8,703

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	15.0	23

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is prohibited—no explicit exceptions noted in the law.

Internal Possession by Minors

Internal possession is prohibited—no explicit exceptions noted in the law.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

- No use/lose law

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14 years, 9 months
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours, 10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 10 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: No more than one passenger under 21
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

- Furnishing is prohibited—no explicit exceptions noted in the law.
- There is an affirmative defense if the minor is not charged.

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

No data

Responsible Beverage Service

Mandatory Provisions

Mandatory Beverage Service Training for Managers, Servers

- Applies only to on-sale establishments
- Applies only to new outlets

Voluntary Provisions

Voluntary Beverage Service Training

- Applies only to on-sale establishments
- Applies only to existing outlets

Incentives for Training

- Defense in dram shop liability lawsuits
- Discounts in dram shop liability insurance

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists.

Note: Michigan law includes a responsible beverage service defense.

Social Host Liability Laws

- There is no statutory liability.
- The courts recognize common law social host liability.

Host Party Laws

Social host law is specifically limited to underage drinking parties

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence.
- Preventive action by the host negates the violation.
- Exception(s): Family, resident

Note: Michigan's social host statute does not apply if all individuals attending the social gathering are members of the same household or immediate family, or if a minor's use, consumption, or possession of an alcoholic beverage is for religious purposes. The "preventive action" provision in Michigan allows the prosecution to establish guilt by proving that the host failed to take preventive action.

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Producer must verify age of purchaser.
- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements

- Producer must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: 5 gallons or more
- Prohibited: Destroying the label on a keg—maximum fine/jail: \$500/93 days
- Purchaser information collected:
 - Purchaser’s name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit required: \$30
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Prohibited

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.20 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.51 per gallon.
- Spirits (40 percent alcohol): Control State

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Prohibited
- Reduced price at specified day or time: Not prohibited
- Unlimited beverages: Prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Banned
- Price posting requirements: Post and hold—180-day minimum. Prices may be decreased during the 180-day period to meet a competing wholesalers price. The price reduction must not exceed the competition’s price and must continue for the balance of the 180 days filed by the competition.
- Retailer credit: Restricted—30 days maximum for on-sale retailers. No credit extended to off-sale retailers.

Wine (12 percent alcohol)

- Volume discounts: Banned
- Price posting requirements: Post and hold—3-month minimum. By written order the commission may approve a price change for a period of no fewer than 14 days.
- Retailer credit: Restricted—30 days for on-sale retailers. No credit extended to off-sale retailers.

Spirits (40 percent alcohol)

- Control State

Michigan State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Michigan Liquor Control Commission (MLCC)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> MLCC periodically works with local, county, and State police on enforcement assignments. MLCC also conducts training for police on liquor laws on an ongoing basis. Police are encouraged to apprise MLCC of the results of their own enforcement activities so that MLCC can make that information a part of each licensee's operating history on their database.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	No data
Such laws are also enforced by local law enforcement agencies	Unknown
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	Data not collected
Number pertains to the 12 months ending	Data not collected
Data include arrests/citations issued by local law enforcement agencies	Data not collected
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	1,512
Number of licensees that failed State compliance checks	224
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	No data
Number of licensees that failed local compliance checks	340
Numbers pertain to the 12 months ending	12/31/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	2,257
Total amount in fines across all licensees	\$934,976
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	47
Total days of suspensions across all licensees	162
Numbers pertain to the 12 months ending	12/31/2010

<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	4
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
MLCC receives some information from local police (attached to submitted violations); however, they do not maintain those data. This may include selling-to-minor violations (not involving decoys). Police must take action against the minor for MLCC to process violations, and the police must attach proof of action taken to MLCC violations. MLCC, however, does not maintain those data separately.	
Question B.2: Local law enforcement conducts underage compliance checks; however, submission of the data to MLCC is voluntary only. Not all local law enforcement submit the data, and therefore, MLCC does not maintain the data they do receive separately due to incompleteness.	
Question C.1: MLCC collects data on the amount of fines; however, this data is for violations of State liquor code and rules only. MLCC does not maintain records of local fines imposed.	
Question D.1: MLCC conducts enforcement on direct shipper laws only. MLCC does not perform full investigations (field) on direct shippers.	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
<i>Michigan Coalition to Reduce Underage Drinking (MCRUD)</i>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: MCRUD provides training, technical assistance, and networking opportunities for local volunteer and professional groups that are working to address specific issues related to reducing underage drinking at the local level. MCRUD also provides small grants (up to \$1,500) for volunteer grassroots groups on the same topics. Constituents include other statewide organizations (e.g., Mothers Against Drunk Driving), local professional prevention agencies, local public health departments, hospital staff, local teen centers, and volunteer groups (e.g., high school leadership groups, parent groups, and community coalitions).	
<i>OJJDP Enforcing Underage Drinking Laws Program</i>	
Number of youth served	100
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for report: http://www.michigan.gov/documents/msp/2005_EUDL_Evaluation_197592_7.pdf	
URL for more program information	No data

Program description: Michigan's Enforcing Underage Drinking Laws (EUDL) program seeks to reduce the availability of alcohol to minors by enforcing underage drinking laws. Law enforcement agencies across Michigan are actively patrolling for underage drinking parties as well as conducting retail compliance checks with liquor licensees.

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**

Sub-State Regional Coordinating Agencies

URL for more program information: <http://www.michigan.gov/mdch-bsaas>

Program description: No data

Prevention Network

URL for more program information: <http://www.preventionnetwork.org>

Program description: No data

Parenting Awareness Michigan (PAM)

URL for more program information: <http://www.preventionnetwork.org/pam>

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking Yes

Program description: Collaboration with both the Grand Traverse Band of Ottawa and Chippewa Indians and the Little Traverse Bay Band of Odawa Indians occurs through offering technical assistance as appropriate or requested. Both Tribes have member representation with the State Epidemiology Outcomes Workgroup and the Strategic Planning Framework State Incentive Grant (SPF SIG), a State-level collaborative body. In addition, there is partnership with the Michigan Inter-Tribal Council.

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing No

Program description Not applicable

State has adopted or developed best practice standards for underage drinking prevention programs Yes

Best practice standards description: Michigan's EUDL grantees use the controlled dispersal method when processing underage drinkers. Controlled dispersal is a systematic operational plan using the concepts of zero tolerance and education to safely and efficiently close underage drinking parties. A successful controlled dispersal results in effective zero-tolerance enforcement by placing appropriate charges against violators. It also minimizes the potential for disaster by ensuring that the party attendees are provided safe rides home. Proper implementation of the controlled dispersal plan benefits communities and youth by reducing the negative consequences associated with underage drinking.

The Michigan Department of Community Health (MDCH)/Bureau of Substance Abuse and Addiction Services (BSAAS) has also adopted overarching principles of effective prevention based on the Surgeon General's *Call to Action to Prevent and Reduce Underage Drinking* (2007). Specific guidelines for safe prom/graduation initiatives have also been adopted. In addition, MDCH/BSAAS requires that at least 90 percent of prevention programming within a coordinating agency region be evidence based.

Additional Clarification

No data

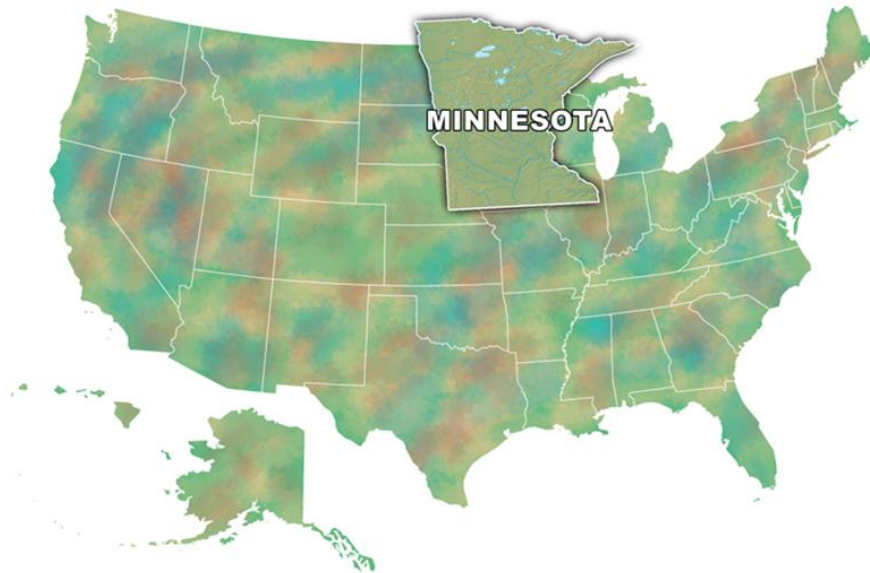
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: Mike Tobias Email: mike@preventionnetwork.org Address: PO Box 4458, East Lansing, MI 48826-4458 Phone: 517-393-6890	
<i>Agencies/organizations represented on the committee:</i> Barry County Community Mental Health Bay County Sacred Heart Cass Alcohol Safety Solutions Cristo Rey Community Center Courageous Persuaders Ingham Substance Abuse Prevention Coalition Little Traverse Bay Band of Odawa Indians Marquette County Health Department/Coalition Michigan Council on Alcohol Problems Michigan Liquor Control Commission Oakland County Health Division Ottawa County Health Department Van Buren Substance Abuse Task Force Washtenaw County Partnership/Clean Teens	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access	http://www.mcrud.org

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by	MDCH/BSAAS
Plan can be accessed via	http://www.michigan.gov/mdch-bsaas
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: SPF SIG Childhood and Underage Drinking (CUAD) Workgroup	
Plan can be accessed via:	http://www.michigan.gov/documents/mdch/Blueprint_for_Michigan_336742_7.pdf
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$100,000
Estimate based on the 12 months ending	12/31/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$1,750,000
Estimate based on the 12 months ending	12/31/2010
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$484,087
Estimate based on the 12 months ending	09/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$40,000
Estimate based on the 12 months ending	09/30/2010
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Other programs:</i>	
Programs or strategies included	SPF SIG
Estimate of State funds expended	\$1,980,000
Estimate based on the 12 months ending	09/30/2010

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	Yes
Fines	Yes
Fees	Yes
Other	No data
<i>Description of funding streams and how they are used:</i> Taxes on alcohol, fines for violations, and license fees and renewal fees. These sources are used to fund controlled-buy operations among other efforts of the MLCC.	
Additional Clarification	
Note on Part IV, question A.2: The \$1.75 million is used solely for saturation patrols. Michigan does not allow checkpoints.	



Minnesota

State Profile and Underage Drinking Facts

State Population: 5,303,925
Population Ages 12–20: 641,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	27.3	175,000
Past-Month Binge Alcohol Use	19.9	128,000
Ages 12–14		
Past-Month Alcohol Use	4.7	9,000
Past-Month Binge Alcohol Use	1.9	4,000
Ages 15–17		
Past-Month Alcohol Use	22.2	49,000
Past-Month Binge Alcohol Use	13.1	29,000
Ages 18–20		
Past-Month Alcohol Use	53.1	117,000
Past-Month Binge Alcohol Use	43.4	95,000
Alcohol-Attributable Deaths (under 21)		Number 63
Years of Potential Life Lost (under 21)		3,762

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	16.0	11

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Parent/guardian’s home

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Parent/guardian’s home AND
- Parent/guardian

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Note: Although Minnesota does not prohibit internal possession, it has a statutory provision that makes it unlawful “[f]or any person under the age of 21 years to consume any alcoholic beverages” and further defines “consume” to “ [include] the ingestion of an alcoholic beverage and the physical condition of having ingested an alcoholic beverage” (Minn. Stat. § 340A.503). Laws that prohibit minors from having alcohol in their bodies, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting internal possession, for purposes of this report.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Retailers are permitted to seize apparently false IDs
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.00
- Any detectable alcohol in the blood is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

No use/lose law

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 30 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 12 a.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: For first 6 months, no more than one unrelated passenger under 20. For second 6 months, no more than three unrelated passengers under 20.
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17—passenger restrictions expire 12 months after obtaining intermediate license; unsupervised night-driving restrictions expire 6 months after issuance of intermediate license.

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Parent/guardian’s home AND
- Parent/guardian

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Note: Minors who have reached age 16 may be employed to provide waiter or waitress service in rooms or areas where the presence of 4 percent ABV “malt liquor” is incidental to food service or preparation. Minnesota defines “4 percent malt liquor” as any beer, ale, or other malt beverage containing not more than 4 percent alcohol by volume.

Dram Shop Liability

Statutory liability exists.

Note: Minn. Stat. § 340A.801(6) states that nothing in Chapter 340A, Minnesota’s alcohol beverage control law, “precludes common law tort claims against any person 21 years old or older who knowingly provides or furnishes alcoholic beverages to a person under the age of 21 years.” The age limitation applied to the furnisher and the “knowingly” evidentiary requirement result in a “no” coding for dram shop common law liability.

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on who may be sued: Social host must be 21 years of age or older.
- Limitations on elements/standards of proof: Knowingly or recklessly furnishing alcohol to a minor or permitting consumption by a minor.

Note: Minn. Stat. § 340A.801(6) states that nothing in Chapter 340A, Minnesota’s alcohol beverage control law, “precludes common law tort claims against any person 21 years old or older who knowingly provides or furnishes alcoholic beverages to a person under the age of 21 years.” The age limitation applied to the furnisher and the “knowingly” evidentiary requirement result in a “no” coding for social host common law liability.

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements: None

Reporting Requirements: None

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21

Keg Registration

- Keg definition: Not less than 7 gallons
- Prohibited: Destroying the label on a keg—maximum fine/jail: \$1,000/90 days
- Purchaser information collected: Verified by a government-issued ID
- Warning information to purchaser: Passive—no purchaser action required
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: Permitted
- Wine: Permitted
- Spirits: Permitted

Alcohol Tax

Beer (5 percent alcohol)

- Specific excise tax: \$0.15 per gallon
- Ad valorem excise tax (on-premises sales): 2.5 percent
- Ad valorem excise tax (off-premises sales): 2.5 percent

\$0.08 per gallon for beverages containing an alcohol content of 4 percent or less. With respect to malt liquor containing 4 percent alcohol or less, the 2.5 percent retail tax is applied only when sold at an on-sale or off-sale municipal liquor store or other establishment licensed to sell any type of intoxicating liquor.

Wine (12 percent alcohol)

- Specific excise tax: \$0.30 per gallon
- Ad valorem excise tax (on-premises sales): 2.5 percent
- Ad valorem excise tax (off-premises sales): 2.5 percent
- Ad valorem tax applied at retail level

Spirits (40 percent alcohol)

- Specific excise tax: \$5.03 per gallon
- Ad valorem excise tax (on-premises sales): 2.50 percent
- Ad valorem excise tax (off-premises sales): 2.50 percent
- Ad valorem tax applied at retail level.

Drink Specials

- Free beverages: Prohibited
- Multiple servings at one time: Not prohibited
- Multiple servings for same price as single serving: Not prohibited
- Reduced price at specified day or time: Not prohibited

- Unlimited beverages: Not prohibited
- Increased volume: Not prohibited

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Not permitted

Wine (12 percent alcohol)

- Volume discounts: Restricted—a variable volume price may not be for a quantity of more than 25 cases.
- Retailer credit: Restricted—30 days maximum.

Spirits (40 percent alcohol)

- Volume discounts: Restricted—A variable volume price may not be for a quantity of more than 25 cases.
- Retailer credit: Restricted—30 days maximum.

Minnesota State Survey Responses

State Agency Information		
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Minnesota Department of Public Safety (DPS)		
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> No data		
Enforcement Strategies		
<i>State law enforcement agencies use:</i>		
Cops in Shops		No
Shoulder Tap Operations		No
Party Patrol Operations or Programs		No
Underage Alcohol-Related Fatality Investigations		Yes
<i>Local law enforcement agencies use:</i>		
Cops in Shops		No
Shoulder Tap Operations		No
Party Patrol Operations or Programs		Yes
Underage Alcohol-Related Fatality Investigations		Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>		
Primary State agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	MN DPS Alcohol & Gambling Enforcement	Yes
Such laws are also enforced by local law enforcement agencies		Unknown
Enforcement Statistics		
<i>State collects data on the number of minors found in possession</i>		
Number of minors found in possession ¹ by State law enforcement agencies		No
Number pertains to the 12 months ending		Data not collected
Data include arrests/citations issued by local law enforcement agencies		Data not collected
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>		
Data are collected on these activities		No
Number of licensees checked for compliance by State agencies		Not applicable
Number of licensees that failed State compliance checks		Not applicable
Numbers pertain to the 12 months ending		Not applicable
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>		
Data are collected on these activities		Yes
Number of licensees checked for compliance by local agencies		1,049
Number of licensees that failed local compliance checks		108
Numbers pertain to the 12 months ending		06/30/2010
Sanctions		
<i>State collects data on fines imposed on retail establishments that furnish minors</i>		
Number of fines imposed by the State ³		No
Total amount in fines across all licensees		Data not collected
Numbers pertain to the 12 months ending		Data not collected
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>		
Number of suspensions imposed by the State ⁴		No
Total days of suspensions across all licensees		Data not collected
Numbers pertain to the 12 months ending		Data not collected
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>		
Number of license revocations imposed ⁵		No
Numbers pertain to the 12 months ending		Data not collected

Additional Clarification

The total number of compliance checks conducted by local enforcement accounts for agencies that participated in a Federal grant program with which MN DPS Alcohol & Gambling Enforcement works. The information does not reflect totals from agencies that conduct such checks but did not participate in the grant program. Our agency responds to complaints of illegal, unlicensed alcoholic beverage sales by entities outside of Minnesota via the Internet who are not properly licensed or allowed by statute to conduct such sales. The activity is not specifically set up to target underage enforcement.

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs SPECIFIC TO Underage Drinking**
**Office of Juvenile Justice and Delinquency Program (OJJDP) Enforcing Underage Drinking
Laws (EUDL) Block Grant**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: Supports and enhances the efforts of State and local jurisdictions to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors.

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**
MN Institute of Public Health

URL for more program information: No data

Program description: Provides minigrants to local law enforcement agencies for alcohol compliance checks

Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	No
Program description	Not applicable
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description	Not applicable

Additional Clarification

Question C.3: Such standards have not been specifically developed by MN DPS Alcohol & Gambling Enforcement. There are entities in Minnesota working in this area, but coordination and communication efforts between interested parties are still in an early stage of development and it is thus problematic to obtain readily available information to address these questions.

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Unknown
<i>Committee contact information</i>	No data
<i>Agencies/organizations represented on the committee</i>	No data
<i>A Web site or other public source exists to describe committee activities</i>	No data
<i>URL or other means of access</i>	No data

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Plan can be accessed via	Not applicable
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by	Not applicable
Report can be accessed via	Not applicable
Additional Clarification	
No data	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included: No data	
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used: No data</i>	

Additional Clarification

There are programs such as those described in this document in Minnesota, but there is no one agency coordinating their efforts. Therefore, data may be kept by individuals participating but there is no mechanism in place to pull it all together.



Mississippi

State Profile and Underage Drinking Facts

State Population: 2,967,297
Population Ages 12–20: 395,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	23.3	92,000
Past-Month Binge Alcohol Use	15.8	62,000
Ages 12–14		
Past-Month Alcohol Use	5.5	7,000
Past-Month Binge Alcohol Use	3.5	4,000
Ages 15–17		
Past-Month Alcohol Use	17.9	23,000
Past-Month Binge Alcohol Use	10.8	14,000
Ages 18–20		
Past-Month Alcohol Use	43.7	62,000
Past-Month Binge Alcohol Use	31.1	44,000
Alcohol-Attributable Deaths (under 21)		Number 74
Years of Potential Life Lost (under 21)		4,437

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	25.0	35

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Private location OR
- Parent/guardian

Note: Mississippi’s parent/guardian exception applies to persons at least 18 years old and only for possession of light wine or beer. The location exception is not limited to persons between 18 and 21, and applies only to alcoholic beverages, not including light wine or beer.

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers age 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- Minimum: Not specified
- Maximum: 90 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 15
- Minimum learner-stage period: 12 months
- There is no minimum supervised driving requirement.

Intermediate Stage

- Minimum age: 16 years
- Unsupervised night driving
 - Prohibited after: 10 p.m. Sunday through Thursday; 11:30 p.m. Friday and Saturday
 - Primary enforcement of the night-driving rule
- No passenger restrictions

License Stage

- Minimum age to lift restrictions: 16 years, 6 months

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s): EITHER

- Parent/guardian OR
- Spouse

Note: Mississippi’s parent/guardian and spouse exceptions apply to persons at least 18 years old and only for furnishing of light wine or beer.

Compliance Check Protocols

Age of Decoy

- Minimum: 16
- Maximum: 19

Appearance Requirements

- Male: No facial hair and youthful looking

ID Possession

- Not specified

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Not specified

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 21
- Wine: 21
- Spirits: 21

Minimum Ages for On-Premises Sellers

- Beer: 18 for servers and 21 for bartenders
- Wine: 18 for servers and 21 for bartenders
- Spirits: 18 for servers and 21 for bartenders

Dram Shop Liability

- There is no statutory liability.
- The courts recognize common law dram shop liability.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

Social host law is specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence.
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are not permitted.

Keg Registration

Not required

Alcohol Pricing Policies

Home Delivery

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.43 per gallon
- Wine (12 percent alcohol): Control State
- Spirits (40 percent alcohol): Control State

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

- Beer (5 percent alcohol): Retailer credit not permitted
- Wine (12 percent alcohol): Control State
- Spirits (40 percent alcohol): Control State

Mississippi State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
Mississippi Alcohol Beverage Control Bureau of Enforcement	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i>	
In some communities, there are task forces through which local agencies and the Bureau of Enforcement work together on initiatives such as compliance checks or walk-throughs at bars. The Bureau also works with the Office of the Attorney General on individual cases when needed.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Mississippi Alcohol Beverage Control Bureau of Enforcement
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession ¹ by State law enforcement agencies	501
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	No
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	5,493
Number of licensees that failed State compliance checks	266
Numbers pertain to the 12 months ending	12/31/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Data not collected
Number of licensees that failed local compliance checks	Data not collected
Numbers pertain to the 12 months ending	Data not collected
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	No
Number of fines imposed by the State ³	Data not collected
Total amount in fines across all licensees	Data not collected
Numbers pertain to the 12 months ending	Data not collected
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the State ⁴	70
Total days of suspensions across all licensees	No data
Numbers pertain to the 12 months ending	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes

Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
Vicksburg Family Development (VFD)	
Number of youth served	2,000
Number of parents served	820
Number of caregivers served	275
Numbers pertain to the 12 months ending	10/09/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: VFD has two primary activities targeting underage drinking: Alcohol Education and Communities Mobilizing for Change. Alcohol Education is an online alcohol prevention program that takes a project-based approach to learning, giving students the opportunity to travel through a community to better understand the risks around drinking alcohol. Communities Mobilizing for Change is a community-organizing effort designed to change policies and practices of major community institutions in ways that reduce underage individuals' access to alcohol.	
Region 1 Community Mental Health Center: Preventing Underage Drinking in Tunica County	
Number of youth served	400
Number of parents served	200
Number of caregivers served	40
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Preventing Underage Drinking in Tunica County is a coalition-based project that uses educational and environmental strategies. Evidence-based programs are used to implement the strategies.	
Region 3 Community Mental Health Center: Prime for Life	
Number of youth served	1,000
Number of parents served	0
Number of caregivers served	0
Numbers pertain to the 12 months ending	02/23/2011
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Prime for Life is an evidence-based alcohol and drug program for people of all ages. The program challenges common beliefs and attitudes that contribute to binge drinking.	

Region 4 Mental Health and Mental Retardation (MH/MR) Commission: Underage Drinking Program	
Number of youth served	400
Number of parents served	65,000
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The program offers lessons on alcohol, tobacco, marijuana, and inhalants. The lessons offer resistance and social skills needed to resist alcohol and other drugs. A media campaign that targets parents via billboards is also used.	
Mississippi Underage Drinking Prevention Coalition of Madison and Rankin Counties (MUDPC)	
Number of youth served	1,670
Number of parents served	385
Number of caregivers served	No data
Numbers pertain to the 12 months ending	01/01/2011
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The vision of the MUDPC is to see every youth in Madison and Rankin Counties alcohol free. The mission is to create a clear community consensus that underage drinking is illegal, unhealthy, and unacceptable. In 2008, DREAM, Inc., and the National Council on Alcohol and Drug Dependence of Central Mississippi (NCADD) collaborated to form the MUDPC.	
DREAM of Hattiesburg, Inc.	
Number of youth served	11,930
Number of parents served	6,827
Number of caregivers served	1,324
Numbers pertain to the 12 months ending	12/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: DREAM of Hattiesburg is a nonprofit drug and alcohol prevention agency working in both the local school districts and surrounding communities. It offers an afterschool tutoring program. DREAM is also a designated Regional Alcohol Drug Awareness Resource (RADAR) center providing prevention materials, training, and activities to reduce and prevent the use of alcohol, tobacco, and drugs.	
DREAM, Inc. Strategic Prevention Framework State Incentive Grant (SPF-SIG)	
Number of youth served	422
Number of parents served	0
Number of caregivers served	50
Numbers pertain to the 12 months ending	02/25/2011
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: SPF-SIG provide services including, but not limited to, community education, underage alcohol drinking awareness, an evidence-based drug education curriculum taught in Rankin County high schools, and an adult and youth community coalition to aid in endeavors to reduce and prevent underage drinking in Rankin County.	

Weems Community Mental Health Center	
Number of youth served	210
Number of parents served	150
Number of caregivers served	50
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: SPF-SIG provides services including, but not limited to, community education, underage alcohol drinking awareness, an evidence-based drug education curriculum taught in Meridian middle schools, and an adult and youth community coalition to aid in endeavors to reduce and prevent underage drinking in Lauderdale and Leake Counties.	
Choctaw Behavioral Health SPF-SIG	
Number of youth served	150
Number of parents served	50
Number of caregivers served	30
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Choctaw Behavioral Health (CBH) SPF-SIG program vision is to promote a healthier, safer Choctaw Community by decreasing underage drinking among Choctaw youth through a framework for collaboration between service agencies, Tribal communities, and policymakers. CBH SPF-SIG teaches Project Northland Class Action to youth in detention, Choctaw Alternative School, and all Tribal Boys & Girls Club locations. It also provides underage drinking prevention activities and education to youth, parents, and healthcare professionals through health fairs, presentations, youth programs and conferences, and monthly community events.	
East MS SPF SIG Partnership	
Number of youth served	130
Number of parents served	410
Number of caregivers served	30
Numbers pertain to the 12 months ending	09/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The Bradley A. Sanders Adolescent Complex (BASAC) SPF-SIG provides services including, but not limited to, community education, underage alcohol drinking awareness, an evidence-based drug education curriculum taught in Leake County middle schools, and an adult and youth community coalition to aid in endeavors to reduce and prevent underage drinking in Leake and Lauderdale Counties.	
Alcohol Services Center	
Number of youth served	1850
Number of parents served	100
Number of caregivers served	50
Numbers pertain to the 12 months ending	10/01/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Class Action is the high school component to Project Northland, Hazelden's evidence-based alcohol prevention program. Class Action looks at the real-world social and legal	

consequences involving teens and alcohol. Teens are divided into six Class Action legal teams to prepare and present hypothetical civil cases in which someone has been harmed as a result of underage drinking.	
Jackson County Community Services Coalition	
Number of youth served	660
Number of parents served	0
Number of caregivers served	12
Numbers pertain to the 12 months ending	01/31/2011
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The goals of Project Northland are to delay the age when young people begin drinking, reduce alcohol use among young people who have already tried drinking, and limit the number of alcohol-related problems among young people. Alcohol is the focus of the Project Northland program because it is the substance of choice of American teenagers and inflicts the most harm during this age period. The programs of Project Northland provide state-of-the-art prevention materials for 6th grade (Slick Tracy), 7th grade (Amazing Alternatives), and 8th grade (Powerlines). These programs invite participation and experiential learning at home and in the classroom. Project Northland has been shown to be effective in delaying and reducing alcohol use among young adolescents in the largest and most rigorous alcohol use prevention trial ever funded by the National Institute on Alcohol Abuse and Alcoholism (NIAAA). Furthermore, among those students who had not begun using alcohol by the beginning of 6th grade, reports of cigarette use and marijuana use were lower in those who participated in the Project Northland prevention programs.	
Pine Belt Mental Healthcare Resources	
Number of youth served	920
Number of parents served	150
Number of caregivers served	150
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: The primary goals of the prevention program are to significantly prevent the use, experimentation with, or continued use of alcohol, tobacco, and drugs and to decrease the problems associated with abuse of these substances. Our prevention program involves services and a curriculum that focus on at-risk individuals prior to harmful involvement with alcohol, tobacco, and drugs. Part of our prevention program and funding, SPF-SIG, focuses specifically on underage drinking and covers two counties within our service area. Pine Belt Mental Healthcare Resources is a regional mental health center in Region XII, in the State of Mississippi, covering a nine-county area. Prevention is provided primarily by the prevention specialist and prevention coordinator. Evidence-based curricula are implemented in local schools, representation is provided at local and State events, and speakers are provided at speaking engagements.	
Community Counseling Services: Project Northland Class Action	
Number of youth served	400
Number of parents served	100
Number of caregivers served	100
Numbers pertain to the 12 months ending	01/01/2011
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Class Action is an evidence-based curriculum that emphasizes the social and legal consequences of underage drinking. Students are grouped into law firms and are assigned one of six cases created by Class Action. The students are responsible for representing the clients in their cases	

who have filed civil law suits because of personal injury or property damage that occurred as a result of consumption of alcohol by teenagers. Students conduct research related to their case and formulate arguments on behalf of their clients, just as actual attorneys would do. In a setting similar to that of official court room proceedings, students present their cases to their classmates, who act as the jury.	
Warren Yazoo Mental Health Services Gateway Make a Promise (MAP) Coalition	
Number of youth served	3,365
Number of parents served	496
Number of caregivers served	319
Numbers pertain to the 12 months ending	02/24/2011
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Program that focuses on underage drinking via coalition and community planning to implement evidence- and research-based individual and environmental strategies to decrease underage drinking in Yazoo County, Mississippi.	
Mississippi Underage Drinking Prevention Coalition of Hinds County (MUDPC-HC)	
Number of youth served	2,500
Number of parents served	1,000
Number of caregivers served	50
Numbers pertain to the 12 months ending	12/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: MUDPC-HC is a collaboration between three freestanding prevention programs: Jackson State University's Metro Jackson Community Prevention Coalition, the Interdisciplinary Alcohol and Other Drug Studies Center, and Alcohol Services Center, Inc. The goals are to reduce alcohol usage and related consequences, including alcohol-related motor vehicle crashes, binge drinking, and drinking and driving, among youth between the ages of 11 and 21 in Hinds County, Mississippi. While implementing the coalition's goals, the following activities are being conducted:	
<ol style="list-style-type: none"> 1. Develop a community strategic plan that includes a comprehensive needs and resource assessment for Hinds County. 2. Identify and implement appropriate evidence-based programs. 3. Provide timely evaluation data to the evaluator. 4. Participate in State-sponsored meetings, trainings, and technical assistance events and efforts. 5. Work collaboratively with other coalitions, key community stakeholders, partners, and others to prevent underage alcohol consumption. 	
Alcohol Beverage Control Bureau of Enforcement	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data
Program description: Staff of the Bureau of Enforcement meet with parent organizations to provide education about the prevalence and consequences of underage drinking and to increase their awareness of current and pending laws related to underage drinking.	
Underage Drinking Prevention Programs Operated or Funded by the State:	
Programs RELATED TO Underage Drinking	
MS Department of Public Safety (DPS) - Recipients of Enforcing Underage Drinking Law (EUDL) grant funding	

URL for more program information	http://www.dps.state.ms.us
Program description: Part of the charge of the DPS is to administer EUDL funds provided by the Office of Juvenile Justice and Delinquency Prevention (OJJDP). These funds are provided to enforcement agencies and community-based agencies for the purpose of targeting underage drinking.	
Prevention Programs funded by the Department of Mental Health via a Substance Abuse Prevention and Treatment Block Grant	
URL for more program information	http://www.dmh.state.ms.us
Program description: Thirty community-based organizations are funded around the State to implement prevention services related to alcohol, tobacco, and drug use and abuse. Each program must submit a work plan that targets underage drinking to be eligible for funding.	
Additional Information Related to Underage Drinking Prevention Programs	
<i>State collaborates with federally recognized Tribal governments in the prevention of underage drinking</i>	Yes
Program description: The MS Department of Mental Health (DMH) Bureau of Alcohol and Drug Abuse provides funds to the MS Band of Choctaw Indians in the form of two grants: SPF-SIG funds and funds provided by a SAMHSA Substance Abuse Prevention and Treatment (SAPT) Block Grant. SPF-SIG directly targets underage drinking using evidence-based programs. The grant allocated by DMH using SAPT Block Grant funds requires that at least one work plan (initiative) target underage drinking. Additionally, a staff member of Choctaw Behavioral Health serves as a member of the Advisory Council for DMH's Bureau of Alcohol and Drug Abuse.	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description: Not applicable.	
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Best practice standards description: Programs must be evidence based as determined by either the National Registry of Evidence-Based Programs and Practices (NREPP) or a peer-reviewed journal.	
Additional Clarification	
No data	
State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: Candice Whitfield Email: cwhitfield@governor.state.ms.us Address: P.O. Box 139, Jackson, MS 39205 Phone: 601-359-3150	
<i>Agencies/organizations represented on the committee:</i> Department of Mental Health Department of Education Department of Public Safety Attorney General's Office Rankin County Youth Court DREAM of Hattiesburg DREAM, Inc. MS National Guard Department of Health Jackson State University Drug Enforcement Agency Region 6 Community Mental Health Center Center for Applied Prevention Technology SAMHSA Center for Substance Abuse Prevention	

<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access	http://www.mpn.ms
Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by	MS Department of Mental Health Bureau of Alcohol and Drug Abuse
Plan can be accessed via	http://www.mpn.ms
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by:	MS Department of Mental Health Bureau of Alcohol and Drug Abuse
Report can be accessed via	http://www.snapshots.ms.gov
Additional Clarification	
No data	
State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	Data unavailable
Estimate based on the 12 months ending	Data unavailable
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	No data
Estimate based on the 12 months ending	No data
Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i>	
No data	
Additional Clarification	
No data	



Missouri

State Profile and Underage Drinking Facts

State Population: 5,988,927
Population Ages 12–20: 734,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	25.3	185,000
Past-Month Binge Alcohol Use	17.5	128,000
Ages 12–14		
Past-Month Alcohol Use	4.0	9,000
Past-Month Binge Alcohol Use	2.0	5,000
Ages 15–17		
Past-Month Alcohol Use	25.2	62,000
Past-Month Binge Alcohol Use	18.2	45,000
Ages 18–20		
Past-Month Alcohol Use	45.4	114,000
Past-Month Binge Alcohol Use	31.2	79,000
Alcohol-Attributable Deaths (under 21)		Number 118
Years of Potential Life Lost (under 21)		7,022

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	28.0	44

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited—no explicit exceptions noted in the law.

Underage Consumption of Alcohol

Consumption is not explicitly prohibited.

Internal Possession by Minors

Internal possession is prohibited—no explicit exceptions noted in the law.

Underage Purchase of Alcohol

Purchase is prohibited, but youth may purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.
- It is a criminal offense to manufacture or distribute a false ID.

Provisions Targeting Retailers

- Licenses for drivers under age 21 are easily distinguishable from those for drivers ages 21 and older.
- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 21.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage purchase
- Underage possession

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 30 days

Note: Although Missouri does not authorize a use/lose penalty for all underage consumption, it does impose the mandatory license sanction on an underage person who “has a detectable blood alcohol content of more than two-hundredths of one percent or more by weight of alcohol in such person’s blood” (Mo. Rev. Stat. §§ 311.325(1), 577.500(2)).

Graduated Driver’s License***Learner Stage***

- Minimum entry age: 15
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 40 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 16
- Unsupervised night driving
 - Prohibited after: 1 a.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: First 6 months, no more than one unrelated passenger under 19. After 6 months, no more than three unrelated passengers under 19.
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 17 years, 11 months

Laws Targeting Alcohol Suppliers**Furnishing Alcohol to Minors**

Furnishing is prohibited with the following exception(s):

- Parent/guardian

Compliance Check Protocols***Age of Decoy***

- Minimum: 18
- Maximum: 19

Appearance Requirements

- Youthful appearance
- No headgear obstructing face or hairline
- Male: No facial hair or receding hairline
- Female: No excessive makeup or jewelry

ID Possession

- Required

Verbal Exaggeration of Age

- Prohibited

Decoy Training

- Mandated

Penalty Guidelines for Sales to Minors

Time period/conditions: No guidelines provided

Responsible Beverage Service

No beverage service training requirement

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Condition(s) That Must Be Met in order for an Underage Person To Sell Alcoholic Beverages

- Manager/supervisor is present.

Minimum Ages for On-Premises Sellers

- Beer: 18 for servers and 21 for bartenders
- Wine: 18 for servers and 21 for bartenders
- Spirits: 18 for servers and 21 for bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on who may sue: Retailers that furnish alcohol for off-premises consumption exempt.
- Limitations on elements/standards of proof: Clear and convincing evidence required to show that retailer knew or should have known underage status.

Social Host Liability Laws

There is no statutory liability.

Host Party Laws

Social host law is not specifically limited to underage drinking parties.

- Action by underage guest that triggers violation: Possession, consumption
- Property type(s) covered by liability law: Residence, outdoor, other
- Standard for hosts' knowledge or action regarding the party: Knowledge—host must have actual knowledge of the occurrence.
- Preventive action by the host negates the violation.
- Exception(s): Family

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for wine with the following restrictions:

Age Verification Requirements

- Common carrier must verify age of recipient.

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.
- State must approve common carrier.

Reporting Requirements

- Common carrier must record/report purchaser's name.

Shipping Label Statement Requirements

- Contains alcohol
- Recipient must be 21
- Keg definition: 4 gallons or more
- Purchaser information collected:
 - Purchaser's name, address, and date of birth
 - Verified by a government-issued ID
- Warning information to purchaser: Active—purchaser action required (e.g., signature)
- Deposit required: \$50
- Provisions specifically address disposable kegs.

Alcohol Pricing Policies**Home Delivery**

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.06 per gallon.
- Wine (12 percent alcohol): Specific excise tax is \$0.42 per gallon.
- Spirits (40 percent alcohol): Specific excise tax is \$2.00 per gallon.

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Volume discounts: Restricted—a quantity discount may be granted only for quantities of 2 or more. Such discounts may be graduated but may not exceed 1 percent.
- Minimum markup/Maximum discount: Yes—1 percent for time of payment; no sales below cost.

- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Wine (12 percent alcohol)

- Volume discounts: Restricted—a quantity discount may be granted only for quantities of two or more. Such discounts may be graduated but may not exceed 1 percent.
- Minimum markup/Maximum discount: Yes—1 percent for time of payment; no sales below cost.
- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Spirits (40 percent alcohol)

- Volume discounts: Restricted—a quantity discount may be granted only for quantities of 2 or more. Such discounts may be graduated but may not exceed 1 percent.
- Minimum markup/Maximum discount: Yes—1 percent for time of payment; no sales below cost.
- Price posting requirements: Post and hold—1 month minimum
- Retailer credit: Restricted—30 days maximum

Missouri State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> Missouri Department of Public Safety	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> The Enforcing Underage Drinking Laws (EUDL) grant in Missouri funds a State Alcohol and Tobacco Control Special Agent position. The person who holds this position is responsible for training EUDL subgrantee local agencies on the alcohol enforcement program, and for providing additional assistance to the subgrantees as requested. The special agent serves on the Review Panel for EUDL applications, provides training at the EUDL Pre-Bid Seminar, collects and reviews compliance check reports from the subgrantees, and refers for State administrative action if warranted. This position also provides training to retail merchants on the proper service of alcoholic beverages, performs compliance checks and other underage drinking enforcement operations, and participates in local and statewide initiatives.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	No
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary State agency responsible for enforcing laws addressing direct sales/shippments of alcohol to minors	Missouri Department of Public Safety
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession ¹ by State law enforcement agencies	13,097
Number pertains to the 12 months ending	12/31/2009
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by State agencies	183
Number of licensees that failed State compliance checks	49
Numbers pertain to the 12 months ending	09/30/2010
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	1,941
Number of licensees that failed local compliance checks	267
Numbers pertain to the 12 months ending	12/31/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	Yes
Number of fines imposed by the State ³	230
Total amount in fines across all licensees	\$58,500
Numbers pertain to the 12 months ending	09/30/2010

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of suspensions imposed by the State ⁴	35
Total days of suspensions across all licensees	86
Numbers pertain to the 12 months ending	09/30/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	Yes
Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	09/30/2010
Additional Clarification	
No data	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking

Partners in Prevention

Number of youth served	120,000
Number of parents served	30,000
Number of caregivers served	400
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	http://pip.missouri.edu/docs/PIP_Annual_Evaluation_Report_FY10.doc
URL for more program information:	http://pip.missouri.edu

Program description: Missouri Partners in Prevention (PIP) is an established statewide substance abuse prevention coalition of Missouri universities implementing evidenced-based strategies to reduce binge and underage drinking among students at participating institutions of higher education. The coalition began as a consortium of 13 public universities, and, in 2009-2010, expanded to include 7 additional private institutions. Since 2001, PIP has effectively reduced binge drinking and underage drinking behavior on campuses throughout the State and has been nationally recognized for its efforts.

Missouri's Youth Adult Alliance (MYAA)

Number of youth served	3,709
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for report:	Upon request
URL for more program information:	http://www.myaa.org

Program description: Missouri Youth Adult Alliance (MYAA) is a statewide coalition that assists local community efforts in addressing underage drinking. Its mission is to encourage advocates to reduce youth access to alcohol by implementing environmental and social change in their communities. Membership in MYAA consists of other agencies as well as other adults and youth interested in reducing underage drinking.

Underage Drinking Prevention Programs Operated or Funded by the State: Programs RELATED TO Underage Drinking		
Missouri School-Based Substance Abuse Prevention Intervention and Resources Initiative (SPIRIT)		
URL for more program information: http://dmh.mo.gov/ada/progs/SPIRIT.htm		
Program description: In 2002, the Missouri Department of Mental Health (DMH) Division of Alcohol and Drug Abuse (ADA) launched the School-Based Prevention Intervention and Resources Initiative (SPIRIT). This project proposes to delay the onset and decrease the use of substances, improve overall school performance, and reduce incidents of violence. To achieve these goals, prevention agencies are paired with participating school districts to provide technical assistance in implementing evidence-based substance abuse prevention programming and referral and assessment services as needed. The project offers a variety of evidence-based prevention programs selected by the districts.		
Regional Support Center Network and Community Coalitions		
URL for more program information: http://dmh.mo.gov/ada/progs/prevention.htm		
Program description: Regional Support Centers (RSC) are the primary sources of technical assistance support for community coalitions. The goal of the RSC is to facilitate development of teams capable of making changes in substance use patterns in their communities. Each RSC has a prevention specialist who works directly with the teams in his or her area and assists with the development of teams and task forces in communities that desire them. Community Coalitions comprise a network of volunteer community teams that focus solely on alcohol, tobacco, and drug issues as a part of a broad mission and/or array of services. Organization and development of community coalitions were initiated in 1987. Each coalition is composed of community volunteers from the area served. Coalitions receive technical assistance and training from the RSC on a variety of topics related to organization, development, and implementation of prevention strategies. The RSC and community coalitions implement various evidence-based strategies and programs.		
Direct Prevention Services for High-Risk Youth		
URL for more program information: http://dmh.mo.gov/ada/progs/DirectPreventionProviders.htm		
St. Louis Arc Fetal Alcohol Syndrome Prevention Project		
URL for more program information: http://www.slarc.org		
DARE Officer Training: (through the Missouri Police Chiefs Association)		
URL for more program information: http://www.mopca.com/		
State of Missouri Alcohol Responsibility Training (SMART) Program		
URL for more program information: http://wellness.missouri.edu/SMART/		
CHEERS to the Designated Driver Program		
URL for more program information: http://wellness.missouri.edu/CHEERS/		
Team Spirit Program		
URL for more program information: http://www.modot.mo.gov/safety/TeamSpirit.htm		
Doc-u-Dramas		
URL for more program information: No data		
Never Say Never Media Campaign		
URL for more program information: Unavailable		
Statewide Training and Resource Center (ACT Missouri)		
URL for more program information: http://dmh.mo.gov/ada/progs/StatewideTrainingandResourceCenter.htm		
Additional Information Related to Underage Drinking Prevention Programs		
State collaborates with federally recognized Tribal governments in the prevention of underage drinking		No recognized Tribes
Program description		Not applicable
State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing		Yes
Program description: Missouri has many coalitions around the State that provide workshops and activities addressing youth exposure to alcohol advertising and marketing.		

<p>State has adopted or developed best practice standards for underage drinking prevention programs</p> <p>Best practice standards description: ADA requires providers to use evidence-based programs and environmental strategies. SAMHSA's publication, <i>Identifying and Selecting Evidence-Based Interventions for Substance Abuse Prevention</i>, serves as a guide, which provides the following definition for evidence-based programs:</p> <ul style="list-style-type: none"> • Inclusion in a Federal list or registry of evidence-based interventions. • Being reported (with positive effects) in a peer-reviewed journal. • Documentation of effectiveness based on the following guidelines: <ul style="list-style-type: none"> ○ Guideline 1: The intervention is based on a theory of change that is documented in a clear logic or conceptual model. ○ Guideline 2: The intervention is similar in content and structure to interventions that appear in registries and/or the peer-reviewed literature. ○ Guideline 3: The intervention is supported by documentation that it has been effectively implemented in the past, and multiple times, in a manner attentive to <i>Identifying and Selecting Evidence-Based Interventions</i> scientific standards of evidence and with results that show a consistent pattern of credible and positive effects. ○ Guideline 4: The intervention is reviewed and deemed appropriate by a panel of informed prevention experts that includes well-qualified prevention researchers who are experienced in evaluating prevention interventions similar to those under review, local prevention practitioners, and key community leaders as appropriate, e.g., officials from law enforcement and education sectors or elders within indigenous cultures. <p>Missouri uses the Strategic Prevention Framework model to implement the four guidelines. The process includes:</p> <ul style="list-style-type: none"> • Assessment of the community's needs and readiness. • Capacity building to mobilize and address the needs of the community. • Development of a prevention plan to identify the activities, programs, and strategies necessary to address the needs. • Implementation of the prevention plan. • Evaluation of the results to achieve sustainability and cultural competence. <p>Missouri identifies appropriate strategies based on validated research, empirical evidence of effectiveness, and the use of local, State, and Federal key community prevention leaders such as National Prevention Network, Southwest Regional Expert Team, and SAMHSA's Center for Substance Abuse Prevention.</p>	Yes
Additional Clarification	
No data	

State Interagency Collaboration	
A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities	Yes
<p>Committee contact information:</p> <p>Name: Alicia Ozenberger, Deputy Director, ACT Missouri Email: aozenberger@actmissouri.org Address: 428 E. Capitol, 2nd Floor, Jefferson City, MO 65101 Phone: 573-635-6669</p>	
<p>Agencies/organizations represented on the committee:</p> <p>Division of Alcohol and Drug Abuse Division of Alcohol and Tobacco Control, Department of Public Safety Department of Health and Senior Services Division of Highway Safety Partners in Prevention</p>	

Preferred Family Healthcare Pathways Community Behavioral Healthcare National Council on Alcoholism and Drug Abuse First Call Community Partnership of the Ozarks Southeast Missouri State University Family Counseling Center of Missouri Prevention Consultants of Missouri Tri-County Mental Health Services Family Counseling Center, Inc.	
A Web site or other public source exists to describe committee activities	Yes
URL or other means of access	http://www.myaa.org

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last 3 years	Yes
Prepared by: Missouri Division of Alcohol and Drug Abuse, with guidance from the State Advisory Council on Alcohol and Drug Abuse	
Plan can be accessed via: http://dmh.mo.gov/docs/ada/Progs/Prevention/StrategicPlanforPrevention2010.pdf	
State has prepared a report on preventing underage drinking in the last 3 years	Yes
Prepared by: Missouri Institute of Mental Health, evaluation staff of the Strategic Prevention Framework State Incentive Grant (SPF SIG)	
Plan can be accessed via: Available upon request	

Additional Clarification

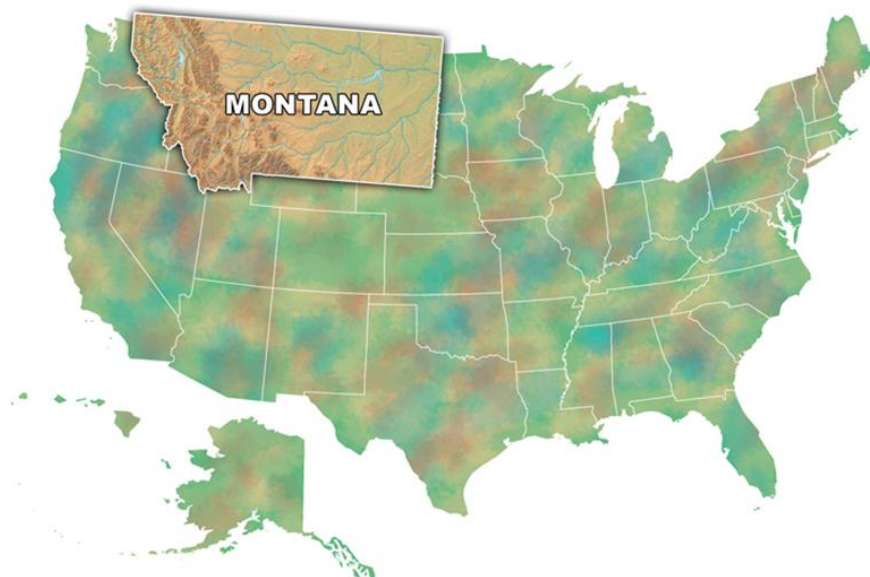
- Underage drinking is one of the priorities in Missouri's Strategic Plan for Prevention.
- Missouri's SPF SIG grant ended in September 2010 and a final report was developed acknowledging activities, outcomes, and lessons learned. The priority under that grant was to reduce risky drinking (binge and underage) in 12- to 25-year-olds.

State Expenditures for the Prevention of Underage Drinking

<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$591,228
Estimate based on the 12 months ending	06/30/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	No data

<i>Other programs:</i>	
Programs or strategies included	Merchant Education and Training
Estimate of State funds expended	\$300,000
Estimate based on the 12 months ending	06/30/2010

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used:</i> No data	
Additional Clarification	
No data	



Montana

State Profile and Underage Drinking Facts

State Population: 989,415
Population Ages 12–20: 119,000

	Percentage	Number
Ages 12–20		
Past-Month Alcohol Use	33.9	40,000
Past-Month Binge Alcohol Use	24.7	29,000
Ages 12–14		
Past-Month Alcohol Use	8.8	3,000
Past-Month Binge Alcohol Use	4.6	2,000
Ages 15–17		
Past-Month Alcohol Use	32.2	12,000
Past-Month Binge Alcohol Use	24.1	9,000
Ages 18–20		
Past-Month Alcohol Use	57.4	25,000
Past-Month Binge Alcohol Use	42.8	18,000
Alcohol-Attributable Deaths (under 21)		Number
Years of Potential Life Lost (under 21)		19
		1,130

	Percentage of All Traffic Fatalities	Number
Traffic Fatalities, 15- to 20-Year-Old Drivers with BAC > 0.01	24.0	10

Laws Addressing Minors in Possession of Alcohol

Underage Possession of Alcohol

Possession is prohibited with the following exception(s):

- Parent/guardian

Note: The parental exception to Montana’s possession and consumption statute applies only to alcohol supplied and consumed in a “nonintoxicating quantity.” In Montana, “intoxicating quantity” is defined as a quantity “sufficient to produce . a blood, breath, or urine alcohol concentration in excess of 0.05 . or substantial or visible mental or physical impairment” (see Mont. Code Ann. §§ 16-6-305, 45-5-624).

Underage Consumption of Alcohol

Consumption is prohibited with the following exception(s):

- Parent/guardian

Note: The parental exception to Montana’s possession and consumption statute applies only to alcohol supplied and consumed in a “nonintoxicating quantity.” In Montana, “intoxicating quantity” is defined as a quantity “sufficient to produce . a blood, breath, or urine alcohol concentration in excess of 0.05 . or substantial or visible mental or physical impairment” (see Mont. Code Ann. §§ 16-6-305, 45-5-624).

Internal Possession by Minors

Internal possession is not explicitly prohibited.

Underage Purchase of Alcohol

Purchase is prohibited and there is NO ALLOWANCE for youth purchase for law enforcement purposes.

False Identification for Obtaining Alcohol

Provision(s) Targeting Minors

- Use of a false ID to obtain alcohol is a criminal offense.
- Penalty may include driver’s license suspension through a judicial procedure.

Provision(s) Targeting Suppliers

- It is a criminal offense to lend, transfer, or sell a false ID.
- It is a criminal offense to manufacture or distribute a false ID.

Provisions Targeting Retailers

- Specific affirmative defense—the retailer inspected the false ID and came to a reasonable conclusion based on its appearance that it was valid.

Laws Targeting Underage Drinking and Driving

BAC Limits: Youth (Underage Operators of Noncommercial Motor Vehicles)

- BAC limit: 0.02
- BAC level at or above the limit is per se (conclusive) evidence of a violation
- Applies to drivers under age 21

Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose Laws”)

Use/lose penalties apply to minors under age 18.

Type(s) of Violation Leading to Driver’s License Suspension, Revocation, or Denial

- Underage possession
- Underage consumption

Authority To Impose Driver’s License Sanction

- Mandatory

Length of Suspension/Revocation

- 30 days

Graduated Driver’s License

Learner Stage

- Minimum entry age: 14 years, 6 months
- Minimum learner-stage period: 6 months
- Minimum supervised driving requirement: 50 hours—10 of which must be at night

Intermediate Stage

- Minimum age: 15
- Unsupervised night driving
 - Prohibited after: 11 p.m.
 - Primary enforcement of the night-driving rule
- Passenger restrictions exist: For first 6 months, no more than one unrelated passenger under 18 unless supervised by a driver at least 18 years old. For second 6 months, no more than three unrelated passengers under 18 unless supervised by a driver at least 18 years old
 - Primary enforcement of the passenger-restriction rule

License Stage

- Minimum age to lift restrictions: 16

Laws Targeting Alcohol Suppliers

Furnishing Alcohol to Minors

Furnishing is prohibited with the following exception(s):

- Parent/guardian

Note: The parental exception applies to the provision of alcohol in a “nonintoxicating quantity.” In Montana, “intoxicating quantity” is defined as a quantity “sufficient to produce . a blood, breath, or urine alcohol concentration in excess of 0.05 . or substantial or visible mental or physical impairment” (see Mont. Code Ann. § 16-6-305).

Compliance Check Protocols

No data

Penalty Guidelines for Sales to Minors

Time period/conditions: 3 years

First offense: \$250 fine

Second offense: \$1,000 fine

Third offense: \$1,500 fine and 20-day license suspension

Fourth offense: License revocation

Note: Lists of aggravating and mitigating factors are provided.

Responsible Beverage Service

Mandatory Provisions

Mandatory Beverage Service Training for Managers, Servers

- Applies to both on-sale and off-sale establishments
- Applies to both new and existing outlets

Minimum Ages for Off-Premises Sellers

- Beer: 18
- Wine: 18
- Spirits: 18

Minimum Ages for On-Premises Sellers

- Beer: 18 for both servers and bartenders
- Wine: 18 for both servers and bartenders
- Spirits: 18 for both servers and bartenders

Dram Shop Liability

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$250,000 noneconomic damages per person and \$250,000 punitive damages per person

Social Host Liability Laws

Statutory liability exists subject to the following conditions:

- Limitations on damages: \$250,000 noneconomic damages per person and \$250,000 punitive damages per person

Host Party Laws

No State-imposed liability for hosting underage drinking parties

Direct Sales/Shipments of Alcohol by Producers

Direct sales/shipments from producers to consumers are permitted for beer, wine with the following restrictions:

Age Verification Requirements: None

State Approval/Permit Requirements

- Producer/shipper must obtain State permit.

Reporting Requirements: None

Shipping Label Statement Requirements: None

Note: An out-of-State brewer or winery desiring to ship beer or wine to an individual in Montana shall register with the Montana Department of Revenue. An individual seeking to receive such a shipment for personal consumption must obtain a connoisseur's license. The licensee must forward to the out-of-State brewer or winery a distinctive address label, provided by the department, clearly identifying any package that is shipped as a legal direct-shipment package to the holder of a connoisseur's license.

Keg Registration

- Keg definition: Not less than 7 gallons
- Prohibited: Destroying the label on a keg—maximum fine/jail: \$500/6 months
- Purchaser information collected:
 - Purchaser's name and address
 - Verified by a government-issued ID
- Warning information to purchaser: Passive—no purchaser action required
- Deposit: Not required
- Provisions do not specifically address disposable kegs.

Alcohol Pricing Policies

Home Delivery

- Beer: No law
- Wine: No law
- Spirits: No law

Alcohol Tax

- Beer (5 percent alcohol): Specific excise tax is \$0.14 per gallon. Reported tax rate is the rate for brewers who produce more than 20,000 barrels of beer per year. Omitted are the tax rates for brewers who produce 20,000 barrels of beer or less per year.
- Wine (12 percent alcohol): Control State
- Spirits (40 percent alcohol): Control State

Drink Specials

No law

Wholesale Pricing

Pricing restrictions exist.

Beer (5 percent alcohol)

- Retailer credit: Restricted—7 days maximum

Wine (12 percent alcohol)

- Retailer credit: Restricted—7 days maximum

Spirits (40 percent alcohol)

- Control State

Montana State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i> MT Department of Revenue Liquor Control Division (for licenses); local law enforcement (for servers)	
<i>Methods by which local and State enforcement agencies coordinate their efforts to enforce laws prohibiting underage drinking:</i> Local law enforcement agencies are responsible for conducting alcohol compliance checks. Law enforcement issues a citation into criminal court and then forwards a copy to the MT Department of Revenue for administrative action against the liquor license holder.	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol-Related Fatality Investigations	No
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol-Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	
Primary State agency responsible for enforcing laws	MT Department of Revenue Liquor Control Division
addressing direct sales/shipments of alcohol to minors	
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	
Number of minors found in possession ¹ by State law enforcement agencies	568
Number pertains to the 12 months ending	12/31/2010
Data include arrests/citations issued by local law enforcement agencies	Yes
<i>State conducts underage compliance checks/decoy operations² to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	No
Number of licensees checked for compliance by State agencies	Not applicable
Number of licensees that failed State compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>Local agencies conduct underage compliance checks/decoy operations to determine if alcohol retailers are complying with laws prohibiting sales to minors</i>	
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	518
Number of licensees that failed local compliance checks	114
Numbers pertain to the 12 months ending	12/31/2010
Sanctions	
<i>State collects data on fines imposed on retail establishments that furnish minors</i>	
Number of fines imposed by the State ³	Yes
Total amount in fines across all licensees	163
Numbers pertain to the 12 months ending	\$71,375
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing minors</i>	
Number of suspensions imposed by the State ⁴	Yes
Total days of suspensions across all licensees	3
Numbers pertain to the 12 months ending	18
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	12/31/2010
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing minors</i>	
	Yes

Number of license revocations imposed ⁵	0
Numbers pertain to the 12 months ending	12/31/2010
Additional Clarification	
The number given for minors in possession (MIP) is the number of adjudicated cases in Montana that are counted in the court tracking system, and is not a function of the MT Department of Revenue Liquor Control Division. The Number of Fines and Number of License Suspensions is estimated, as the tracking system does not collect the data to aggregate in the format requested; a manual count would be required.	

¹ Or having consumed or purchased per State statutes

² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors

³ Does not include fines imposed by local agencies

⁴ Does not include suspensions imposed by local agencies

⁵ Does not include revocations imposed by local agencies

Underage Drinking Prevention Programs Operated or Funded by the State: Programs SPECIFIC TO Underage Drinking	
Student Behavior Contracts	
Number of youth served	5,429
Number of parents served	8,899
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report	Aggregate data report is available
URL for more program information	No data
Program description: Student Behavior Contracts - Block Grant and Strategic Prevention Framework (SPF): Youth pledge to be alcohol free.	
Media Literacy	
Number of youth served	12,340
Number of parents served	397
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for report:	Aggregate data report is available
URL for more program information:	No data
Program description: Media Literacy - Block Grant and SPF includes teaching youth and stakeholder groups about alcohol industry media campaigns and components, how to take apart ads, and how to construct effective countermedia campaigns.	
Alcohol, Tobacco, and Drug Education	
Number of youth served	2,693
Number of parents served	643
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Aggregate data report available for number of participants at events/trainings; pre-/postassessments of each event are available
URL for more program information	No data

Program description: Alcohol, Tobacco, and Drug Education - Block Grant Only: Presentations provide school-age youth (and adult stakeholders) with direct information on brain development and the dangers/consequences of underage drinking.	
Coalition Building	
Number of youth served	624
Number of parents served	2,017
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for report: Some aggregate data available on communities using the Communities That Care model	
URL for more program information	No data
Program description: Coalition building via the Communities That Care model - Block Grant only	
Drug Free Activities	
Number of youth served	2,372
Number of parents served	585
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report: Numbers of participants in events/activities	
URL for more program information	No data
Program description: Drug Free Activities - Block Grant Only: Special events such as Red Ribbon Week, “alcohol free prom” prom pledges, etc.	
Merchant Education	
Number of youth served	5,518
Number of parents served	11,207
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for report: Aggregate data available upon request for number of events/participants	
URL for more program information	No data
Program description: Merchant Education - Block Grant and SPF included reward and reminder; retail alcohol sales; and server training on local level in concert with MT Department of Revenue.	
Alcohol Policy Efforts	
Number of youth served	5,397
Number of parents served	8,817
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report: Number of local and State policies and number of interlocks/boots can be pulled from community reports	
URL for more program information	No data
Program description: Alcohol Policy Efforts - Block Grant and SPF: Includes Institute for Alcohol Policy or special groups; school policies; and aiding with securing interlocks/boots for vehicles in MT and having local automotive shops trained and available to install and service.	

Substance Abuse Prevention Specialist Training (SAPST)	
Number of youth served	0
Number of parents served	258
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for report: Participant pre/post tests can be accessed to measure increases in knowledge	
URL for more program information	No data
Program description: SAPST Training - Block Grant only: Provided to community stakeholders, preventionists, etc.	
Alcohol Compliance Checks	
Number of youth served	5,425
Number of parents served	9,116
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Available upon request
URL for more program information:	No data
Program description: Block Grant/SPF: These are contracted to a local provider who then coordinates local compliance check in concert with law enforcement and local driving under the influence (DUI) Task Forces.	
Enforcement—not EUDL	
Number of youth served	5,656
Number of parents served	9,772
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for report: Specific outcomes on activities under this program effort are available	
URL for more program information:	No data
Program description: Enforcement - not Enforcement of Underage Drinking Laws (EUDL) - funded by Block Grant/SPF and includes: Deterrence theory training, MIP enforcement activities, court watch, creating cross-jurisdictional law enforcement units, dedicating probation officers to youth, and implementation of compliance checks.	
Restrict Alcohol	
Number of youth served	5,476
Number of parents served	9,103
Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report: Individual communities have evaluated for success and individual community reports are available.	
URL for more program information	No data
Program description: Restrict Alcohol - Block Grant and SPF: Restriction of alcohol at special events and signage/product placement in retail establishments.	
Social Host Laws and Initiatives	
Number of youth served	5,445
Number of parents served	9,056

Number of caregivers served	No data
Numbers pertain to the 12 months ending	06/30/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for report: Three communities have passed social host laws, with a statewide bill pending in MT's legislature.	
URL for more program information:	No data
Program description: Social host laws and initiatives focus mostly on local social host ordinances, preliminary work for a statewide social host law being enacted. Two reservations have already passed and adopted social host laws in Montana.	
Respect the Cage—Montana Department of Transportation	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	http://respectthecage.com/
URL for more program information	No data
<p>Program description: The “Respect the Cage” safety exhibit grew out of the 2008 successful video creation entitled “Room to Live.” This video tells the story of two young Montana men who were involved in an alcohol-related rollover crash in 2007. The driver, who was wearing his seatbelt, walked away from the mangled car. His best friend and passenger, a married father of two who wasn’t buckled up, died. (See the “Room to Live” video for more on this story at http://www.mdt.mt.gov/mdt/room_to_live.shtml.)</p> <p>This exhibit is a comprehensive traveling educational and advocacy effort, and includes the following components:</p> <ul style="list-style-type: none"> • The crashed vehicle from “Room to Live.” • Two pickup trucks wrapped in “Respect the Cage – Buckle Up” graphics. The trucks pull trailers carrying the crashed vehicle and a rollover simulator. • The “Room to Live” video, which plays inside the trailer. • A rollover simulator: A compact pickup truck cab affixed to a two-axle low-profile trailer. Acceleration and braking control systems spin the cab to simulate a rollover vehicle crash, and a crash-test dummy gets ejected from the vehicle. • “Fastest Belt in the West” buckle-up contest. • Prizes and giveaways. <p>The exhibit primarily targets men ages 18 through 34. Montana statistics show that this group has a greater danger of being fatally injured in traffic crashes. The “Respect the Cage” theme borrows language and images from mixed-martial-arts fighting, which is currently popular among young men. To increase the appeal, the exhibit is staffed by college-age interns. Staffers report that younger people have been receptive to the message. The crew attended approximately 65 single-day or week-long events this year; they had direct conversational contact with 54,000 people and an estimated indirect contact with over 150,000 people across Montana.</p>	
DUI Task Forces—MT Department of Transportation funded	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for report:	Not applicable
URL for more program information:	http://www.mdt.mt.gov/safety/dui_taskforces.shtml

Program description: DUI task forces are multifaceted coalitions that invite participation from a cross-section of community representatives to maximize their reach and effectiveness. The task forces operate at the county level to reduce and prevent impaired driving, and they may engage in a variety of activities such as:

- Responsible alcohol sales and service training.
- Retail compliance checks.
- Party and kegger patrols.
- Overtime traffic patrols.
- Educational programs.
- Media advocacy.
- Public service announcements.
- Victims impact panels.
- Support for prosecution and adjudication of DUI cases.
- Designated-driver programs.
- Safe ride home programs.

Estimating the number of youth served in this program is impossible. There are 34 task forces representing 38 counties, which serve two thirds of Montana's most populated counties. These are community-based programs over which the Montana Department of Transportation (MDT) has no control. Annual reporting to the county commission is required by MCA 61-2-106 but is not required to be provided to the State.

Enforcing Underage Drinking Laws—MT Board of Crime Control

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report	Not applicable
URL for more program information	No data

Program description: The EUDL program (Federal funds of approximately \$300,000) supports and enhances efforts by the State of Montana and local jurisdictions to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. Under the MT EUDL grant, compliance checks/decoy operations, checkpoints/saturation patrols, small media campaigns, and local community coalition work are funded. Data is not yet ready for 2010.

ParentPower

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Numbers pertain to the 12 months ending	12/31/2010
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for report	Not applicable
URL for more program information:	http://www.parentpower.mt.gov

Program description: ParentPower is a collaborative effort by the Montana Interagency Coordinating Council (ICC) for State Prevention Programs to create and sustain a coordinated, comprehensive system of prevention services in the State of Montana. ParentPower is an online parent toolbox for addressing underage drinking.

**Underage Drinking Prevention Programs Operated or Funded by the State:
Programs RELATED TO Underage Drinking**

Montana Department of Transportation-funded Programs With Highway Traffic Safety Resources

URL for more program information: <http://www.mdt.mt.gov/safety/impaired.shtml>

Program description: The Department of Public Health and Human Services (DPHHS) MDT funded the DPHHS Addictive and Mental Disorders Division/Chemical Dependency Bureau to implement PRIME for Life, an evidence-based program, as the curriculum for DUI offenders. The program is self-sustaining through the collection of workbook fees from DUI offenders (this pays for ongoing instructor trainings). Some MIP offender programs have adopted PRIME for Life as their curriculum.

DUI Task Forces: Many of Montana's DUI Task Forces sponsor responsible alcohol sales and service training within communities using "Let's Control It," a server training program provided by the Department of Revenue (DOR). This program trains those who sell/serve alcoholic beverages on how to keep from overserving obviously intoxicated patrons, how to identify underage patrons, etc.

DUI Task Forces, fiscal year (FFY) 2010-2011: MDT provided supplemental funding to many of Montana's DUI Task Forces to conduct a variety of projects. These include Cops In Shops, a Century Council program aimed at reducing underage purchases; extra law enforcement patrols; compliance checks of retail establishments (to determine whether the clerk/server will sell alcohol to a minor); Cops 'n Docs educational program; additional RASS (responsible alcohol service and sales) training; youth participants on the local task forces, which may incorporate a peer-to-peer education component, and a variety of other programs to reduce impaired driving and underage drinking.

DOR FFY 2010-2011: MDT funded DOR to produce and distribute a video entitled "Last Call" that targets servers and sellers of alcohol. This video will be used in the State's responsible alcohol sales and service training curriculum, called "Let's Control It." Server training enhances public health and can reduce DUI offenses by reducing illegal alcohol sales to minors, preventing overservice to patrons, reducing alcohol abuse, promoting responsible consumption, providing information to servers to protect them from third-party liability lawsuits, and educating licensees and servers about Montana liquor laws. The goal of this project is to effectively educate servers and sellers of alcohol on their social responsibilities and the possible legal ramifications of serving and selling alcohol to minors.

DUI Task Forces, local businesses: Promote designated driver programs and/or "safe ride home" programs in communities to reduce impaired driving.

DUI Courts: MDT funds DUI Court implementation in five courts that include Kalispell, Mineral County, Fort Peck Reservation, Yellowstone County, and 7th Judicial District (a five-county area in eastern Montana). There are several other treatment courts throughout the State that address the underlying addiction associated with DUI offenders. Some of the court participants are under age 21.

Law Enforcement: MDT contracts with local, Tribal, and State law enforcement to conduct overtime traffic patrols via the Selective Traffic Enforcement Program (STEP). MDT also contracts with State, local, and Tribal law enforcement to purchase enforcement equipment to aid in the detection and apprehension of impaired drivers. This includes radars, in-car video cameras, and preliminary breath testers (PBTs).

Missoula City/County Health Department: MDT funds a half-time coordinator to increase the total number of counties with DUI task forces in the State and to continue promoting consistency and unity among existing DUI task forces. At present, there are 34 task forces representing 38 counties; the goal is to have a DUI Task Force in each of Montana's 56 counties.

Montana Highway Patrol (MHP): MDT partnered with MHP to provide Standard Field Sobriety Testing (SFST) training and Advanced Roadside Impaired Driving Enforcement (ARIDE) training to State, local, and Tribal law enforcement. MDT provides funding to MHP to train State, local, and Tribal law enforcement officers to become certified Drug Recognition Experts (DREs). MHP deploys the Mobile Impaired Driving Assessment Center (MIDAC) to large community events where alcohol is prevalent, such as fairs, rodeos, concerts, and university athletic events. MDT originally funded the purchase of the MIDAC and funds the MHP to provide a Strategic Traffic Enforcement Team (STET) known as "roving patrols" to supplement local law enforcement resources on high-crash corridors, at special events, and in other problem areas indicated by crash data.

Media: MDT contracts with media companies to develop educational messages for the public during National Labor Day Impaired Driving and May Mobilization Seatbelt Campaigns.

Office of Public Instruction (OPI): MDT funded a pilot project in FFY 2009 and FFY 2010 to increase the availability of driver education for Native American youth in and around the Crow and North Cheyenne reservations. The contract was not renewed in FFY 2011.

Traffic Safety Resource Prosecutor (TRSP): The TRSP is funded by MDT to provide training for law enforcement and prosecutors to enhance the consistent identification, arrest, prosecution, and sentencing of impaired drivers and underage drinkers.

Tribal Governments Safe On All Roads (SOAR): This traffic safety program aims to reduce impaired driving and riding with an impaired driver while increasing seatbelt and child safety seat usage. The program works through Tribal coordinators to provide messages that are culturally relevant for each Tribe.

Prevention Resource Center AmeriCorps VISTA (Volunteer in Service to America) Program

URL for more program information: www.prevention.mt.gov

Program description: The VISTA Project connects Montana communities, the Interagency Coordinating Council for State Prevention Programs, and AmeriCorps volunteers throughout the State of Montana. It strives to keep Montana children, communities, and families strong and resilient against risks such as unintended and unhealthy pregnancies, child abuse and neglect, substance abuse, crime and violence, and high school dropouts. The VISTA Project works proactively to create and sustain conditions that reduce risk and promote the safety, personal responsibility, and well-being of all. There are VISTAs in many sites throughout the State. VISTAs serve for 1 year, full time, typically at a community-based organization, and work toward building capacity and sustainable programs to eradicate poverty. Sites affecting underage drinking include those at Boys and Girls Clubs, Big Brother/Big Sister programs, local prevention coalitions, and health departments.

State of Montana National Guard Counter Drug Program

URL for more program information: No data

Montana Tobacco Use Program

URL for more program information: <http://tobaccofree.mt.gov/>

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized Tribal governments in the prevention of underage drinking Yes

Program description: Through Montana's Substance Abuse Prevention and Treatment (SAPT) Block Grants and the SPF SIG grants, collaboration occurs on the local level. Specific collaborations include:

- The Governor's Office on Indian Affairs Director is an active member of the State's Interagency Coordinating Council for State Prevention Programs. Her leadership has strengthened relationships, communication, and collaboration.
- Blackfeet Piikani Action Team is a SPF SIG contractor working on Tribal social host laws; responsible alcohol sales and server training (RASS) performed by Tribal members; merchant education policies; court watch; and a cross-jurisdictional law enforcement agreement signed by Tribal Council and Glacier County Sheriff's Department.
- Ft. Peck Reservation is part of the Alcohol District II SPF SIG grant, and the first alcohol compliance checks in 3 years have taken place and are continuing. Tribal agencies are working with nonprofit agencies to collect and track data in a more comprehensive fashion. DUI Court Offender Treatment Program has been implemented on the Reservation, RASS training is provided by Tribal members, and DUI laws on the Reservation have been amended to make the third DUI in a lifetime a felony.
- Flathead Reservation is part of the Northwest Montana Community Change Project SPF SIG and has implemented stepped-up DUI patrols over major holidays as a cross-jurisdictional effort. RASS Training has also been implemented.
- The State Level Epidemiological Work Group for the State SPF has cross-representation with the Montana Wyoming Tribal Leaders Council SPF SIG. This work is enabling the transition of information and data from one grant to the other.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Program description	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Best practice standards description	Not applicable
Additional Clarification	
Montana is looking toward developing best practices and promising approaches in our rural and frontier State that are culturally appropriate. Very few best practices are available to a rural and frontier State such as Montana. This work is anticipated to be done in 2011 as a part of SAPT Block grant activities.	

State Interagency Collaboration	
<i>A State-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i> Name: Vicki Turner Email: vturner@mt.gov Address: PO Box 4210, Helena, MT 59604-4210 Phone: 406-444-3484	
<i>Agencies/organizations represented on the committee:</i> Department of Public Health and Human Safety Department of Corrections Department of Labor and Industry Department of Transportation Department of Revenue Department of Military Affairs Montana Board of Crime Control Montana Children's Trust Fund Montana Office of Public Instruction Governor's Office of Indian Affairs Montana Office of the Commissioner of Higher Education Two Governor-appointed community members	
<i>A Web site or other public source exists to describe committee activities</i>	Yes
URL or other means of access: http://prevention.mt.gov/icc/index.php	

Underage Drinking Reports	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Interagency Coordinating Council for State Prevention Programs Work Group and Prevention Resource Center staff Plan can be accessed via: http://prevention.mt.gov/icc/meetings/schedule.php ; click on June 16, 2010 Work Plan	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: The Interagency Coordinating Council for State Prevention Programs Work Group Plan can be accessed via: http://prevention.mt.gov/icc/meetings/schedule.php ; click on the work plan dated June 16, 2010, which has data presented to the full Interagency Coordinating Council for State Prevention Programs	
Additional Clarification	
The Interagency Coordinating Council (ICC) for State Prevention Programs updates goals and benchmarks; see http://prc.mt.gov/icc/goals/index.php . The Council's work group is in the process of setting new goals for 2020. Reporting of underage drinking is provided through updates and reports given via ICC meetings and media.	

State Expenditures for the Prevention of Underage Drinking	
<i>Compliance checks/decoy operations in retail outlets:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	06/30/2010
<i>Checkpoints and saturation patrols:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010
<i>Programs that target youth in the child welfare system:</i>	
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010
<i>Other programs:</i>	
Programs or strategies included	No data
Estimate of State funds expended	\$0
Estimate based on the 12 months ending	12/31/2010

Funds Dedicated to Underage Drinking	
<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other	No data
<i>Description of funding streams and how they are used</i>	
No data	
Additional Clarification	
<p>The state of Montana does not provide any State funding toward reducing underage drinking in Montana. Higher education strategies in Montana being used to address underage and binge drinking at Montana State University-Bozeman and University of Montana Missoula, the two largest State campuses:</p> <ul style="list-style-type: none"> • Mandatory online alcohol education for all incoming Freshmen (AlcoholEdu), a nationally recognized, evidence-based program. • Early intervention for students who are charged with a campus alcohol/drug violation or a community MIP via screening and brief interventions found to reduce substance abuse among college students. • Free or low-cost late-night social and recreational options on campus. • Late-night safe-ride programs involving the local bus system. • Campus and community policy creation and implementation (e.g., Bozeman's Party Ordinance and pending social host ordinance). • Campus task force to work with high-risk student populations (Greeks, athletes, first-year students). Smaller campuses typically do not have the resources to enact all strategies, but all campuses have substance abuse policies and education efforts. 	